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Carolina dos Santos Amaral

**EFEITO DO ESTRESSE TÉRMICO EM LEUCÓCITOS BOVINOS  
DURANTE O RECONHECIMENTO MATERNO DA GESTAÇÃO**

Santa Maria, RS

2022

Carolina dos Santos Amaral

**EFEITO DO ESTRESSE TÉRMICO EM LEUCÓCITOS BOVINOS DURANTE O  
RECONHECIMENTO MATERNO DA GESTAÇÃO**

Tese apresentada ao Curso de Doutorado do Programa de Pós-Graduação em Medicina Veterinária, área de concentração de Sanidade e Reprodução Animal da Universidade Federal de Santa Maria (UFSM), como requisito parcial para a obtenção do título de **Doutora em Medicina Veterinária**.

Orientador: Dr. Alfredo Quites Antoniazzi

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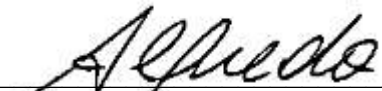
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*Dedico essa tese à minha mãe, com todo meu amor e gratidão, por dedicar grande parte da sua vida à minha formação pessoal e profissional.*

*It is not our abilities that show what we truly are.*

*It is our choices.*

*(Albus Dumbledore)*

## RESUMO

### EFEITO DO ESTRESSE TÉRMICO EM LEUCÓCITOS BOVINOS DURANTE O RECONHECIMENTO MATERNO DA GESTAÇÃO

AUTORA: Carolina dos Santos Amaral  
ORIENTADOR: Alfredo Quites Antoniazzi

O estresse térmico representa uma das maiores causas de perdas produtivas e reprodutivas em um sistema de produção de leite. O estresse térmico induz a hipertermia, altera a foliculogênese, reduz a expressão de sinais de estro, causa diminuição da qualidade oocitária e morte ou desenvolvimento de embriões de baixa qualidade; também induz estresse oxidativo, que é um precursor do estresse de retículo endoplasmático (RE). Os efeitos do estresse térmico se tornam ainda mais acentuados nos primeiros dias de vida do embrião, comprometendo a produção de interferon tau (IFNT), proteína necessária para que ocorra o reconhecimento materno da gestação. Além do reconhecimento da gestação, o IFNT é responsável por induzir a expressão de genes estimulados por interferons (ISGs). Estudos demonstraram a expressão de ISGs em células sanguíneas logo após a sinalização por IFNT no início da gestação em ruminantes. *In vitro*, a presença de IFNT estimula a expressão de ISGs em cultivo de células mononucleares (PBMCs) e polimorfonucleares (PMNs). Além disso, sabe-se que o IFNT modula o sistema imunológico e durante o reconhecimento materno da gestação recruta células imunes para o corpo lúteo (CL), demonstrando sua ação sistêmica na manutenção da gestação. Entretanto, o mecanismo pelo qual o IFNT modula a sua expressão sistêmica durante a ocorrência do estresse térmico em bovinos ainda não é completamente claro. Portanto, nossa hipótese foi que o estresse térmico altera a via dos interferons (IFNs) tipo 1 e ISGs em leucócitos de vacas leiteiras durante o período de reconhecimento materno da gestação, altera os genes da resposta imune inata em vacas leiteiras em fase inicial de gestação de um estado anti-inflamatório para um estado pró-inflamatório e induz a ocorrência do estresse oxidativo, consequentemente estresse de RE e *Heat Shock Proteins* (HSPs) no sangue periférico de vacas com gestação inicial. Primeiramente, se investigou o efeito do estresse térmico sob a modulação da via dos IFNs tipo 1 em leucócitos de vacas gestantes e parâmetros de estresse oxidativo. Os resultados demonstraram que o estresse térmico em vacas prenhes não apenas prejudica a expressão de ISGs, mas também interfere na ativação da via dos IFNs tipo 1, impedindo que ocorra a sinalização correta para o reconhecimento materno da gestação em PMNs. Além disso, o estresse térmico causa estresse oxidativo em vacas leiteiras. Posteriormente, avaliamos se o estresse térmico modula a resposta imune em vacas gestantes. Conforme os resultados, o estresse térmico modifica a expressão de genes anti e pró-inflamatórios em vacas prenhes. Por fim, verificamos os efeitos do estresse térmico sob os parâmetros de estresse de RE e HSPs durante o período de reconhecimento materno da gestação em bovinos. Os resultados desse estudo corroboraram com a hipótese de que o estresse oxidativo causado pelo estresse térmico desencadeia estresse de RE em PBMCs. A partir dos resultados obtidos foi possível sugerir que o estresse térmico influencia negativamente na sinalização endócrina em leucócitos bovinos durante o reconhecimento materno da gestação.

**Palavras-chaves:** estresse térmico, reconhecimento materno da gestação, resposta imune, estresse oxidativo, estresse de retículo endoplasmático.

## ABSTRACT

### EFFECT OF HEAT STRESS ON BOVINE LEUKOCYTES DURING MATERNAL RECOGNITION OF PREGNANCY

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Heat stress represents one of the major causes of productive and reproductive losses in a milk production system. Heat stress induces hyperthermia, alters folliculogenesis, reduces the expression of estrus signals, causes decreased oocyte quality and loss or development of low-quality embryos; it also induces oxidative stress, which is a precursor of endoplasmic reticulum (ER) stress. The effects of heat stress become even more pronounced in the first days of the embryo's life, compromising the production of interferon tau (IFNT), a protein necessary for maternal recognition of pregnancy. In addition to pregnancy recognition, IFNT is responsible for inducing the expression of interferon-stimulated genes (ISGs). Studies have demonstrated the expression of ISGs in blood cells after IFNT signaling in early pregnancy in ruminants. The presence of IFNT stimulates the expression of ISGs in *in vitro* culture of mononuclear (PBMCs) and polymorphonuclear (PMNs) cells. In addition, it is known that IFNT modulates the immune system and, during maternal recognition of pregnancy, recruits immune cells to the corpus luteum (CL), demonstrating its systemic action in the maintenance of pregnancy. However, the mechanism by which IFNT modulates its systemic expression during the occurrence of heat stress in cattle is still unclear. Therefore, our hypothesis was that heat stress alters the type 1 interferons (IFNs) pathway and ISGs in leukocytes of dairy cows during the maternal recognition period of pregnancy, alters the innate immune response genes in dairy cows in early pregnancy from an anti-inflammatory state to a pro-inflammatory state and induces the occurrence of oxidative stress, consequently stress of ER and Heat Shock Proteins (HSPs) in the peripheral blood of cows with early pregnancy. First, we investigated the effect of heat stress on the modulation of the type 1 IFNs pathway in leukocytes from pregnant cows and parameters of oxidative stress. The results showed that heat stress in pregnant cows not only impairs the expression of ISGs, but also interferes with the activation of the type 1 IFN pathway, preventing the correct signaling for maternal recognition of pregnancy in PMNs. In addition, heat stress causes oxidative stress in dairy cows. Subsequently, we evaluated whether heat stress modulates the immune response in pregnant cows. According to the results, heat stress modifies the expression of anti- and pro-inflammatory genes in pregnant cows. Finally, we verified the effects of heat stress on the parameters of ER stress and HSPs during the period of maternal recognition of pregnancy in cattle. The results of this study support the hypothesis that oxidative stress caused by heat stress triggers ER stress in PBMCs. From the data obtained with this thesis, it was possible to determine that heat stress negatively influences endocrine signaling in bovine leukocytes during maternal recognition of pregnancy.

**Keywords:** heat stress, maternal recognition of pregnancy, immune response, oxidative stress, endoplasmic reticulum stress.



## LISTA DE ILUSTRAÇÕES

- Figura 1- Desenvolvimento embrionário inicial em ruminantes.....24
- Figura 2 - Via de sinalização parácrina do reconhecimento materno da gestação em ruminantes.....26
- Figura 3 - Mecanismo de ação endócrino do reconhecimento materno da gestação em ruminantes.....27

### ARTIGO 1

- Fig 1 - **Ovarian corpus luteum diameter and concentration of progesterone in Day 18 post-AI pregnant cows under comfort or heat stress conditions.** A) Diameter (mm) of corpus luteum. B) Concentration (ng/mL) of circulating progesterone. Values are presented as mean  $\pm$  S.E.M. Different letters represent significance at  $P<0.05$  between comfort and heat stressed cows and asterisk represents significance at  $P<0.05$  between pregnant and non-pregnant cows.....66
- Fig 2 - **Oxidative stress-related parameters in blood of pregnant and non-pregnant cows in comfort or under heat stress conditions on Days 10, 14 and 18 post-AI.** A, B, and C represent pregnant cows; D, E, and F represent non-pregnant cows. A and D: MDA levels. B and E: SOD activity. C and F: CAT activity. Values are presented as mean  $\pm$  S.E.M. The main effects of day, group and day by group interaction (day\*group) are indicated. Asterisk represents difference at  $P<0.05$  between comfort and heat stressed groups.....67
- Fig 3 - **Interferon-stimulated genes expression in polymorphonuclear cells of pregnant and non-pregnant cows in comfort or under heat stress conditions on Days 10, 14 and 18 post-AI.** A-D represents ISGs of cows in comfort conditions; E-H represents ISGs of heat stressed cows. A and E: *ISG15*; B and F: *OAS*; C and G: *MX1*; D and H: *MX2*. Values are presented as mean  $\pm$  S.E.M. The main effects of pregnancy diagnosis (PD), day and day by pregnancy diagnosis interaction (day\*PD) are indicated. Asterisk represents difference at  $P<0.05$  between pregnant and non-pregnant cows.....68

- Fig 4 - **Interferon-pathway gene expression in polymorphonuclear cells of pregnant and non-pregnant cows on comfort or under heat stress conditions.** A-F represents IFN-pathway components of cows in comfort conditions; G-L represents IFN-pathway components of heat stressed cows. A and G: *IFNAR1*; B and H: *IFNAR2*; C and I: *JAK1*; D and J: *STAT1*; E and K: *STAT2*; F and L: *IRF9*. Values are presented as mean  $\pm$  S.E.M. The main effects of pregnancy diagnosis (PD), day and day by pregnancy diagnosis interaction (day\*PD) are indicated. Asterisk represents difference at  $P < 0.05$  between pregnant and non-pregnant cows.....69
- Fig 5 - **Interferon-stimulated genes and interferon-pathway gene expression in polymorphonuclear cells of pregnant cows in comfort or under heat stress conditions on Days 10, 14 and 18 post-AI.** A) *ISG15*; B) *OAS*; C) *MX1*; D) *MX2*; E) *IFNAR1*; F) *IFNAR2*; G) *STAT1*; H) *STAT2*; I) *JAK1*; J) *IRF9*. Values are presented as mean  $\pm$  S.E.M. The main effects of day, group and day by group interaction (day\*group) are indicated. Asterisk represents difference at  $P < 0.05$  between comfort and heat stressed groups.....70
- Fig 6 - **Schematic model illustrating the main conclusions.** A) Cows in comfort environment maintains oxidative balance regardless of pregnancy status. Type I IFN pathway and ISGs genes were upregulated on Day 18 in PMN cells of pregnant cows. However, B) Heat stress conditions induce oxidative stress in pregnant and non-pregnant cows. In pregnant cows, HS decreases progesterone concentration and impairs ISGs and Type I IFN pathway gene expression in PMN cells.....71
- S1 Fig - **S1 Fig. Effect of season on rectal temperature (RT), heart rate (HR) and respiratory rate (RR) in cows on comfort or under heat stress conditions.** RT, HR and RR were measured on Days 10, 14 and 18 after AI. A) RT ( $^{\circ}$ C) was measured with a large animal clinical thermometer inserted to depth of 3 cm into the animal rectum and held to maintain contact with the mucosa for one minute. B) HR was expressed in beats per minute (bpm) and was obtained using a flexible stethoscope placed directly into the left thoracic region under one of the auscultation foci for 30 seconds, multiplied by 2 to obtain the

number of heart beats per minute. C) RR was expressed in breaths per minute (bpm) and was obtained using a timer to count the flank movements of the animal for 30 seconds, multiplied by 2 to obtain the number of breaths per minute. Values are presented as mean  $\pm$  S.E.M. Asterisk represent significance at  $p < 0.05$  between comfort and heat stressed cows.....73

S2 Fig - **S2 Fig. Effect of season on productive performance of dairy cows on comfort or under heat stress conditions.** A) Daily milk yield (kg) was measured during the two milking on AI day of each animal. B) Days in milk started on the last calving until AI day. Values are presented as mean  $\pm$  S.E.M. Asterisk represent significance at  $p < 0.05$  between comfort and heat stressed cows groups.....74

S3 Fig - **S3 Fig. Interferon-stimulated genes and interferon-pathway gene expression in polymorphonuclear cells on Days 10, 14 and 18 post-AI of non-pregnant cows compared on comfort or under heat stress conditions.** A) *ISG15*. B) *OAS*. C) *MX1*. D) *MX2*. E) *IFNAR1*. F) *IFNAR2*. G) *STAT1*. H) *STAT2*. I) *JAK1*. J) *IRF9*. Values are presented as mean  $\pm$  S.E.M. The main effects of day, group and day by group interaction (day\*group) are indicated. Asterisk represent difference at  $p < 0.05$  between comfort and heat stressed groups.....75

**ARTIGO 2**

Fig 1 - **Pro- and anti-inflammatory markers gene expression in polymorphonuclear cells comparing non-pregnant and pregnant cows in a comfort environment on Days 10, 14, and 18 post-AI.** A) *ARG1*; B) *TGF $\beta$* ; C) *IL10*; D) *VEGF*; E) *IFN $\alpha$* ; F) *IL17*; G) *IFN $\gamma$* ; H) *TNFA*; I) *IL1B*; J) *NFKB*; K) *CCL2*; L) *INOS*. Values are presented as mean  $\pm$  S.E.M. The main effects of pregnancy diagnosis (PD), day and day by pregnancy diagnosis interaction (day\*PD) are indicated. Asterisk represents the difference at  $P < 0.05$  between pregnant and non-pregnant cows.....106

Fig 2 - **Pro- and anti-inflammatory markers gene expression in polymorphonuclear cells comparing non-pregnant and pregnant cows in heat stress environment on Days 10, 14, and 18 post-AI.** A) *ARG1*; B)

*TGFB*; C) *IL10*; D) *VEGF*; E) *IFNA*; F) *IL17*; G) *IFNG*; H) *TNFA*; I) *IL1B*; J) *NFKB*; K) *CCL2*; L) *INOS*. Values are presented as mean ± S.E.M. The main effects of pregnancy diagnosis (PD), day and day by pregnancy diagnosis interaction (day\*PD) are indicated. Asterisk represents the difference at P<0.05 between pregnant and non-pregnant cows.....107

Fig 3 - **Pro- and anti-inflammatory markers gene expression in polymorphonuclear cells of non-pregnant cows under comfort or heat stress environment on Days 10, 14, and 18 post-AI.** A) *ARG1*; B) *TGFB*; C) *IL10*; D) *VEGF*; E) *IFNA*; F) *IL17*; G) *IFNG*; H) *TNFA*; I) *IL1B*; J) *NFKB*; K) *CCL2*; L) *INOS*. Values are presented as mean ± S.E.M. The main effects of day, group, and day-by-group interaction (day\*group) are indicated. Asterisk represents the difference at P<0.05 between comfort and heat stressed groups.....108

Fig 4 - **Pro- and anti-inflammatory markers gene expression in polymorphonuclear cells of pregnant cows under comfort or heat stress environment on Days 10, 14, and 18 post-AI.** A) *ARG1*; B) *TGFB*; C) *IL10*; D) *VEGF*; E) *IFNA*; F) *IL17*; G) *IFNG*; H) *TNFA*; I) *IL1B*; J) *NFKB*; K) *CCL2*; L) *INOS*. Values are presented as mean ± S.E.M. The main effects of day, group, and day-by-group interaction (day\*group) are indicated. Asterisk represents the difference at P<0.05 between comfort and heat stressed groups.....109

Fig 5 - **Schematic model illustrating the experimental design and the main conclusions.** A) Cows from both groups had their estrus synchronized with the same protocol. AI was considered Day 0 of experiment. Blood collections were performed on Days 10, 14, and 18 following AI for isolation of PMNs. Pregnancy diagnosis was performed on Days 30 and 60 following AI by ultrasonography to subdivide the two groups into non-pregnant and pregnant cows. B) The main results of gene expression: *IL10* was upregulated by pregnancy; *ARG1*, *TGFB*, *IFNA*, *IL1B*, *IL17*, and *NFKB* were upregulated both by pregnancy and heat stress; and *IFNG* and *INOS* were upregulated by heat stress. *CCL2*, *TNFA*, and *VEGF* did not differ between groups.....110

**ARTIGO 3**

**Fig 1 - Endoplasmic reticulum stress marker mRNA expression in mononuclear cells of comfort or heat stressed cows sorted in non-pregnant and pregnant on Days 10, 14 and 18 post-AI.** A-E represents ER stress markers of cows in comfort conditions; F-J represents ER stress markers of heat stressed cows. A and F: *HSPA5*; B and G: *ATF6*; C and H: *uXBP1*; D and I: *sXBP1*; E and J: *CHOP*. Values are presented as mean ± S.E.M. The main effects of pregnancy diagnosis (PD), day and day by pregnancy diagnosis interaction (day\*PD) are indicated. Asterisk represents difference at P<0.05 between non-pregnant and pregnant cows.....136

**Fig 2 - Endoplasmic reticulum stress marker mRNA expression in mononuclear cells of non-pregnant and pregnant cows sorted in comfort or heat stressed on Days 10, 14 and 18 post-AI.** A-E represents ER stress components of non-pregnant cows; F-J represents ER stress components of pregnant cows. A and F: *HSPA5*; B and G: *ATF6*; C and H: *uXBP1*; D and I: *sXBP1*; E and J: *CHOP*. Values are presented as mean ± S.E.M. The main effects of day, group and day by group interaction (day\*group) are indicated. Asterisk represents difference at P<0.05 between comfort and heat stressed group.....137

**Fig 3 - Heat shock transcription factor and heat shock proteins mRNA expression in mononuclear cells of comfort or heat stressed cows sorted in non-pregnant or pregnant on Days 10, 14 and 18 post-AI.** A-C represents HSF and HSPs of comfort cows; D-F represents HSF and HSPs components of heat stressed cows. A and D: *HSF*; B and E: *HSP70*; C and F: *HSP90*. Values are presented as mean ± S.E.M. The main effects of pregnancy diagnosis (PD), day and day by pregnancy diagnosis interaction (day\*PD) are indicated. Asterisk represents difference at P<0.05 between pregnant and non-pregnant cows.....138

**Fig 4 - Heat shock transcription factor and heat shock proteins mRNA expression in mononuclear cells of non-pregnant or pregnant cows sorted in comfort or heat stressed cows on Days 10, 14 and 18 post-AI.** A-C represents HSF and HSPs of non-pregnant cows; D-F represents HSF and HSPs components of pregnant cows. A and D: *HSF*; B and E: *HSP70*; C and

F: *HSP90*. Values are presented as mean  $\pm$  S.E.M. The main effects of day, group and day by group interaction (day\*group) are indicated. Asterisk represents difference at  $P < 0.05$  between comfort and heat stressed group.....139

## LISTA DE TABELAS

### ARTIGO 1

- Table 1 - **Primers designed for quantitative real-time PCR analysis.....41**
- Table 2 - **Effect of season on estrus onset and pregnancy rate. Different letters represent significance at  $P < 0.05$  between comfort and heat stressed cows.....46**
- S1 Table - **Temperature-humidity index (THI) calculated on experimental days on two different seasons. The samples from cows of the comfort group were collected on late winter/early spring and the samples from the cows of heat stressed group were collected on summer.....72**

### ARTIGO 2

- Table 1 - **Primers designed for quantitative real-time PCR analysis.....95**

### ARTIGO 3

- Table 1 - **Primers designed for quantitative real-time PCR analysis.....128**

## SUMÁRIO

1. INTRODUÇÃO.....	16
2. REVISÃO DE LITERATURA .....	19
2.1. IMPACTO DO ESTRESSE TÉRMICO NOS ÍNDICES REPRODUTIVOS .....	19
<b>2.1.1. Efeito do estresse térmico sobre a maturação oocitária, fertilização e mortalidade embrionária precoce.....</b>	<b>20</b>
<b>2.1.2. Resposta celular ao estresse térmico.....</b>	<b>21</b>
2.2. RECONHECIMENTO MATERNO DA GESTAÇÃO EM RUMINANTES .....	23
<b>2.2.1. O interferon tau.....</b>	<b>24</b>
<b>2.2.2. Receptores de interferon tipo 1 e genes estimulados por interferon tau (ISGs) .....</b>	<b>25</b>
<b>2.2.3. Ações do interferon tau.....</b>	<b>26</b>
<b>2.2.4. Uso dos ISGs como marcadores da presença embrionária .....</b>	<b>28</b>
2.3. IMUNOLOGIA DA GESTAÇÃO EM BOVINOS.....	28
<b>2.3.1. Alterações imunológicas decorrentes do estresse térmico em bovinos.....</b>	<b>30</b>
ARTIGO 1 .....	32
ARTIGO 2 .....	76
ARTIGO 3 .....	111
3. DISCUSSÃO.....	140
4. CONCLUSÃO.....	144
REFERÊNCIAS BIBLIOGRÁFICAS .....	145



## 1. INTRODUÇÃO

O estresse térmico é definido como a incapacidade do animal em dissipar calor suficientemente para manter a sua homeotermia (WEST, 1999). É também o termo utilizado para definir a condição de animais expostos a mudanças ambientais que os impossibilitam de expressar o potencial genético total (DOBSON *et al.*, 2000), sendo um problema encontrado no manejo de bovinos nos países tropicais, causando reduções na produção e reprodução (WOLFENSON; ROTH; MEIDAN, 2000). O ambiente tem grande influência sobre a fisiologia reprodutiva. Em média, apenas 30% das vacas em lactação concebem após uma única inseminação artificial, e essa taxa reduz ainda mais quando estes animais estão sob condições ambientais desfavoráveis (WILTBANK *et al.*, 2016). O decréscimo nas taxas de concepção durante os meses de calor pode estar entre 20-30% comparado aos meses de conforto térmico (DE RENSIS; SCARAMUZZI, 2003), e ainda pode ser observado durante o outono, devido a ação das altas temperaturas sobre a foliculogênese (ROTH *et al.*, 2001).

A redução da fertilidade consequente do estresse térmico é um problema multifatorial, pois acomete o funcionamento de vários tecidos e tipos celulares. Sabe-se que o estresse térmico compromete a produção de hormônios reprodutivos (DE RENSIS; SCARAMUZZI, 2003; ROTH *et al.*, 2001), desenvolvimento oocitário (AL-KATANANI; PAULA-LOPES; HANSEN, 2002) e embrionário na fase de pré-implantação (AMARAL *et al.*, 2020; SAKATANI, 2017). O ambiente uterino também sofre efeitos do estresse térmico. Em vacas submetidas ao estresse térmico há uma redução no fluxo sanguíneo uterino com diminuição da troca de calor e conseqüentemente aumento da temperatura interna do útero (GWAZDAUSKAS *et al.*, 1981). Essas mudanças inibem o desenvolvimento embrionário e impedem o sucesso de inseminações, além de aumentar a taxa de perda embrionária (DE RENSIS *et al.*, 2015). Embriões em fase inicial de desenvolvimento são mais susceptíveis aos efeitos do estresse térmico (DE RENSIS *et al.*, 2015) e produzem menos interferon tau (IFNT) do que embriões em conforto térmico (AMARAL *et al.*, 2020). Dessa forma, o estresse térmico impacta diretamente no período de desenvolvimento embrionário inicial e o reconhecimento materno da gestação, gerando perdas gestacionais precoces.

Perdas gestacionais acontecem nos diferentes estágios da gestação por uma variedade de causas, contudo ocorrem com maior frequência nos primeiros 30 dias (WILTBANK *et al.*, 2016). Esse período coincide com um importante evento durante a pré-implantação do embrião que é o reconhecimento materno da gestação. O reconhecimento materno da gestação em

ruminantes é o período em que o conceito sinaliza sua presença para a mãe, através da secreção de IFNT (BAZER; THATCHER, 2017). Estudos relataram que o IFNT regula a expressão de genes estimulados por interferons (ISGs) durante o início da gestação no endométrio (JOHNSON *et al.*, 1999a), células luteais (OLIVEIRA *et al.*, 2008), fígado (BOTT *et al.*, 2010), leucócitos mononucleares (PBMCs) e polimorfonucleares (PMNs) (HAQ *et al.*, 2016; KIZAKI *et al.*, 2013). Para ocorrer o reconhecimento materno da gestação em ruminantes é essencial a formação de um corpo lúteo (CL) especializado para a síntese de progesterona. O tamanho do CL é diretamente proporcional à concentração sérica de progesterona, alongação e produção de IFNT pelo embrião (MANN *et al.*, 2003; CARTER *et al.*, 2008). Essa sinalização em tecidos maternos contribui para o crescimento do conceito e modulação imune materna para prevenir a rejeição do conceito (HANSEN, 2017). Como este é o momento em que ocorre a maior mortalidade embrionária em bovinos (THATCHER *et al.*, 2001), o entendimento dos efeitos diretos e indiretos do estresse térmico no desenvolvimento embrionário inicial e sua sinalização para o reconhecimento materno da gestação adequado é fundamental para minimizar perdas econômicas e melhorar índices de produção.

Além da reprodução, o estresse térmico também afeta negativamente o sistema imunológico e a resposta inflamatória de bovinos pela redução do consumo de matéria seca (YADAV *et al.*, 2016). Durante os períodos de estresse por calor, são ativados os eixos hipotálamo-hipófise-adrenal e simpático-adrenal-medular para manter a homeostase em resposta a estímulos estressantes (BAGATH *et al.*, 2019). Com isso, ocorre a secreção crônica de cortisol e a consequente supressão imunológica (JU *et al.*, 2014; WANG *et al.*, 2011). Ambas respostas imunes inata e adaptativa atuam para tentar proteger o organismo dos danos causados pelo estresse térmico (SMITH; VALE, 2006), produzindo citocinas e fatores anti-inflamatórios, como interferons e interleucinas (LACETERA *et al.*, 2005; WEBSTER; TONELLI; STERNBERG, 2002). Contudo, essas citocinas utilizam a mesma via de sinalização intracelular que o IFNT, a via JAK/STAT (BINELLI *et al.*, 2001; LEONARD, 2001), podendo interferir na expressão de genes estimulados pelo interferon embrionário. Portanto, torna-se essencial o melhor entendimento dos efeitos do estresse térmico na sinalização endócrina do reconhecimento materno da gestação e na resposta imune, visto que esses fatores estão intimamente interligados e podem interagir entre si, modulando a resposta do embrião em leucócitos.

Ainda, o estresse térmico induz a ocorrência do estresse oxidativo (SLIMEN *et al.*, 2014). Vários estudos concluíram que a exposição ao calor aumenta a produção de ROS e induz estresse oxidativo, o que pode levar à citotoxicidade (AMARAL *et al.*, 2020; BERNABUCCI

*et al.*, 2002). O estresse oxidativo também é um precursor e um dos principais contribuintes para a ocorrência de estresse de retículo endoplasmático (RE) (HOTAMISLIGIL, 2010). O estresse de RE é um desequilíbrio entre a capacidade de alterações conformacionais das proteínas no RE e a carga proteica, o que conseqüentemente leva a alterações conformacionais ou proteínas desdobradas que acabam se acumulando no lúmen do RE, prejudicando a homeostase do RE (CNOP; FOUFELLE; VELLOSO, 2012). Outro marcador de estresse térmico são as proteínas induzidas por choque térmico (*heat shock proteins*; HSPs) (ARYA; MALLIK; LAKHOTIA, 2007; SAKATANI, 2017). As HSPs são um grupo heterogêneo de proteínas denominadas chaperonas, que possuem peso molecular específico e função biológica determinada. A resposta ao choque térmico é uma resposta adaptativa celular, que ajuda a manter a homeostase celular sob estresse. Em geral, as chaperonas dão suporte a uma proteína lesada, prevenindo danos letais às células (MAYER; BUKAU, 2005).

Desta maneira, nossa hipótese é que o estresse térmico altera a via dos interferons (IFNs) tipo 1 e ISGs em leucócitos de vacas leiteiras durante o período de reconhecimento materno da gestação. Além disso, altera os genes da resposta imune inata em vacas leiteiras em fase inicial de gestação de um estado anti-inflamatório para um estado pró-inflamatório. Ainda, induz a ocorrência do estresse oxidativo, estresse de RE e HSPs no sangue periférico de vacas com gestação inicial. Portanto, os objetivos do presente trabalho foram: 1) avaliar o efeito do estresse térmico sob a modulação da via dos IFNs tipo 1 em leucócitos de vacas gestantes; 2) verificar se o estresse térmico modula a resposta imune em vacas gestantes; e 3) compreender os efeitos do estresse térmico sob os parâmetros de estresse oxidativo, estresse de RE e HSPs durante o período de reconhecimento materno da gestação em bovinos.

## 2. REVISÃO DE LITERATURA

### 2.1. IMPACTO DO ESTRESSE TÉRMICO NOS ÍNDICES REPRODUTIVOS

O estresse térmico é definido como o resultado da inabilidade do animal dissipar ou produzir calor para manter sua temperatura fisiológica (DE RENSIS; SCARAMUZZI, 2003; DOBSON *et al.*, 2000). Esta condição acontece quando o animal se encontra fora da zona de conforto térmico, consequência de temperaturas elevadas ou baixas (SLIMEN *et al.*, 2014). O estresse térmico representa uma das maiores causas de perdas produtivas e reprodutivas em um sistema de produção de leite. Vacas leiteiras possuem maiores exigências metabólicas, o que influencia na eficiência reprodutiva, ocasionando em baixos índices nos rebanhos (DE RENSIS; SCARAMUZZI, 2003).

Os prejuízos ocorridos pelo estresse térmico possuem influência das temperaturas elevadas e da umidade relativa do ar (KADOKAWA *et al.*, 2012). Em vacas de leite, a hipertermia pode ocorrer mesmo em temperaturas abaixo de 30°C, quando a umidade relativa do ar está elevada (SARTORI *et al.*, 2002). A temperatura retal de 39°C é um parâmetro classificado dentro dos limites fisiológicos para a espécie, porém já causam perdas na produção de leite e na fertilidade (SCHÜLLER *et al.*, 2014).

Dentre todas as categorias de animais em uma fazenda leiteira, vacas em produção possuem maior ingestão de matéria seca. Esses nutrientes são absorvidos, metabolizados e, conseqüentemente, geram calor que precisa ser dissipado. Quando o animal se encontra em uma situação de estresse térmico, torna-se desfavorável dissipar o calor produzido (KADOKAWA *et al.*, 2012). Vacas sob o efeito do estresse térmico diminuem o consumo de matéria seca (GAULY *et al.*, 2014), o que altera a atividade ruminal e provoca um balanço energético negativo. Como conseqüências, ocorrem distúrbios metabólicos associados como a acidose ruminal subaguda e a cetose (POLSKY; VON KEYSERLINGK, 2017). Logo, a categoria vacas em lactação é mais susceptível aos prejuízos em decorrência estresse térmico por calor (DIKMEN; HANSEN, 2009).

Estudos comprovam que vacas expostas ao estresse térmico no momento da concepção e nos 60 dias iniciais de gestação foram mais susceptíveis a perdas gestacionais quando comparadas com vacas que não sofreram estresse térmico nesse mesmo período (DE RENSIS *et al.*, 2015). O decréscimo nas taxas de concepção durante os meses de calor pode estar entre 20-30% comparado aos meses de conforto térmico (DE RENSIS; SCARAMUZZI, 2003). Essa queda na taxa na concepção ainda é observada durante o outono, onde as vacas já não se

encontram mais sob estresse térmico, devido a ação das altas temperaturas sob a foliculogênese na estação anterior (ROTH *et al.*, 2001).

O estresse em decorrência das altas temperaturas diminui os níveis circulantes de progesterona, estradiol, gonadotrofinas (DAS *et al.*, 2016) e de citocromo P450, enzima responsável pela clivagem do colesterol à pregnenolona na mitocôndria (MCCRACKEN *et al.*, 2015). Assim, ocorre diminuição das concentrações de progesterona e aumento da possibilidade de perdas durante a gestação inicial. O estresse térmico ainda pode afetar o peso da placenta e prejudicar seu o desenvolvimento vascular fisiológico (DUNLAP *et al.*, 2015).

### **2.1.1. Efeito do estresse térmico sobre a maturação oocitária, fertilização e mortalidade embrionária precoce**

Vacas expostas ao estresse por calor têm redução da qualidade dos oócitos e esse fato afeta o desenvolvimento subsequente do embrião (SUGIYAMA *et al.*, 2003). Complexos cumulus-oócitos (CCOs) em fase de vesícula germinativa que foram expostos a temperaturas elevadas (40°C-41°C) mostram deficientes maturações citoplasmática e nuclear, além da diminuição da competência de desenvolvimento após fertilização (ROTH, 2015).

O estresse térmico de CCOs em fase de vesícula germinativa compromete as funções de oócitos, induz apoptose, interrompe os componentes do citoesqueleto (ROTH; HANSEN, 2004), e altera a transcrição materna e funções mitocondriais (ROTH, 2015). O estresse térmico muda não só a função de oócitos, mas também funções das células cumulus (RISPOLI *et al.*, 2013).

O estresse por calor excessivo também induz o estresse oxidativo levando a elevação de níveis de espécies reativas ao oxigênio (ROS) em oócitos (OZAWA *et al.*, 2002). As ROS danificam o DNA e induzem apoptose ou disfunção de organelas celulares, como a mitocôndrias (ROTH, 2015). No entanto, pesquisadores mostraram que a suplementação de antioxidantes durante a maturação *in vitro* com alta temperatura aumenta a taxa de maturação de oócitos, bem como a competência dos embriões futuros. Glutathione (GSH) é um importante componente antioxidante no oócito e atua diminuindo os níveis de ROS (NABENISHI *et al.*, 2012).

O estresse térmico está entre os fatores que acometem a eficiência dos gametas femininos e masculinos, assim como a viabilidade embrionária. Estudos *in vivo* sugerem que existe uma correlação entre a temperatura ambiente no dia da inseminação artificial e a taxa de

mortalidad de embriões (DE RENSIS *et al.*, 2015). Estudos *in vitro* também relataram que alta temperatura durante a fertilização reduziu a competência embrionária (SAKATANI, 2017).

A qualidade do oócito é reduzida pelo estresse térmico (SUGIYAMA *et al.*, 2007). Da mesma forma, a motilidade, integridade e função dos espermatozóides são diminuídas pela elevação de temperatura (SAKATANI, 2017). A incubação de espermatozoides a 40°C a 42°C por 4 horas diminui a sua motilidade e integridade (SAKATANI *et al.*, 2015). Resultados indicam que o mecanismo anti-polispermia dos oócitos é interrompido pelo estresse térmico. Além dos efeitos no mecanismo anti-polispermia, o dano aos zigotos também pode suprimir o sucesso da fertilização e competência de desenvolvimento (SAKATANI *et al.*, 2015).

Embriões em fase inicial de desenvolvimento são mais susceptíveis aos efeitos do estresse térmico, comprometendo a sua capacidade de secretar interferon tau, proteína responsável por sinalizar a gestação para a mãe. Além disso, o estresse térmico na temperatura de 40,5°C por 6 horas durante as etapas de maturação *in vitro*, fertilização *in vitro* e o primeiro dia de cultivo *in vitro* diminui significativamente as taxas de clivagem e blastocistos (AMARAL *et al.*, 2020).

### **2.1.2. Resposta celular ao estresse térmico**

A mitocôndria é o principal local de metabolismo do oxigênio, sendo responsável por aproximadamente 85-90% do oxigênio celular consumido. As ROS são subprodutos do metabolismo do oxigênio, portanto sua formação acontece de forma fisiológica no metabolismo orgânico (SHIGENAGA *et al.*, 1994). As ROS participam de diversas funções biológicas, como na produção de energia, na fagocitose, na regulação do crescimento celular e sinalização intracelular (DROGE, 2002; SLIMEN *et al.*, 2014).

Embora pequenas concentrações de ROS sejam benéficas, o aumento descontrolado de ROS podem causar dano celular, pela ativação das vias da apoptose e da inflamação (KHAN *et al.*, 2017). Vários tipos de estresse celular induzem a ocorrência do estresse oxidativo, incluindo o estresse térmico (SLIMEN *et al.*, 2014; ZACHUT *et al.*, 2017). Quando o embrião é submetido a altas temperaturas, ocorre a formação excessiva de ROS e o sistema antioxidante se torna incapaz de reestabelecer o equilíbrio (AMARAL *et al.*, 2020).

Para manter as concentrações de ROS balanceadas e obter um metabolismo oxidativo estável, a mitocôndria dispõe do seu próprio sistema de defesa antioxidante cuja função é controlar a produção de ROS e estabelecer o equilíbrio oxidativo. Neste sistema estão inclusos 2 principais grupos: antioxidantes enzimáticos e não enzimáticos. Antioxidantes enzimáticos

são compostos principalmente pelas enzimas superóxido dismutase (SOD), catalase (CAT) e glutathiona peroxidase (GPx). Dentre os antioxidantes conhecidos como não enzimáticos estão compostos como as vitaminas A, C e E, selênio e carotenoides, entre outros (SLIMEN *et al.*, 2014). Alguns grupos de pesquisa têm desenvolvido trabalhos relacionados com a suplementação de antioxidantes não enzimáticos no meio de maturação, fertilização e cultivo de embriões, a fim de aumentar as taxas de clivagem e desenvolvimento embrionário em bovinos (ROCHA-FRIGONI *et al.*, 2016; TAKAHASHI *et al.*, 2002), suínos (LI *et al.*, 2016), ovinos (MISHRA *et al.*, 2016) e ratos (LIAN *et al.*, 2013).

O estresse oxidativo é também um precursor e um dos principais contribuintes para a ocorrência do estresse de RE (HOTAMISLIGIL, 2010). O estresse de RE é definido como um desequilíbrio entre a capacidade de alterações conformacionais das proteínas no RE e a carga proteica, o que conseqüentemente leva a modificações conformacionais ou proteínas malformadas que acabam se acumulando no lúmen do RE, dificultando a homeostase dessa organela (CNOP; FOUFELLE; VELLOSO, 2012). O mecanismo que medeia essa regulação da homeostase, na tentativa de inibir o estresse do RE, é a via Unfolded Protein Response (UPR) (HARDING; ZHANG; RON, 1999). Durante a ativação da via UPR, a presença de estresse é detectada por três proteínas transmembranares do RE: PERK (PKR-like RE kinase), ATF6 (Activating transcription fator 6) e IRE1 (Inositol-requiring enzyme 1). Essas proteínas se associam aos domínios luminiais da chaperona HSPA5, e quando liberadas, cada uma induz um mecanismo regulatório diferente. Tem sido sugerido que a HSPA5, também conhecida como proteína regulada pela glicose 78 (GRP78) ou binding protein (BiP), é um importante marcador de estresse de RE, uma vez que é uma chaperona residente no RE e desempenha um papel vital na regulação da homeostase do RE (LIU *et al.*, 2011).

Outro marcador de estresse calórico *in vitro* e *in vivo* é a presença de HSPs, especialmente HSP70 e HSP90 (SAKATANI, 2017). Embora a síntese da maioria das proteínas seja acometida pelo estresse térmico, esse fato não se aplica às HSPs. As HSPs fazem parte de um grupo heterogêneo de proteínas denominado de chaperonas, que possuem peso molecular e função biológica específicas. De modo geral, as chaperonas auxiliam no remodelamento de uma proteína lesionada, evitando um dano celular letal (TAKENAKA; HIGHTOWER, 1992). O mecanismo de ação das HSPs inicia com a ativação do seu fator de transcrição (*heat shock transcription factors*; HSFs). Os HSFs estão presentes no citoplasma de forma inativa, onde seus monômeros sofrem o processo de fosforilação por proteínas quinases e são ativados, sendo translocados até o núcleo da célula, que se ligarão a sítios específicos para transcrever o RNAm da HSP. Embora a ação das HSPs não seja restrita ao estresse térmico, acredita-se que essas

proteínas utilizem energia da hidrólise de ATP para desnover proteínas danificadas ou alteradas pela hipertermia, possibilitando novo enovelamento, dessa vez na forma correta ou no lugar correto (SLIMEN *et al.*, 2014; SREEDHAR *et al.*, 2000).

## 2.2. RECONHECIMENTO MATERNO DA GESTAÇÃO EM RUMINANTES

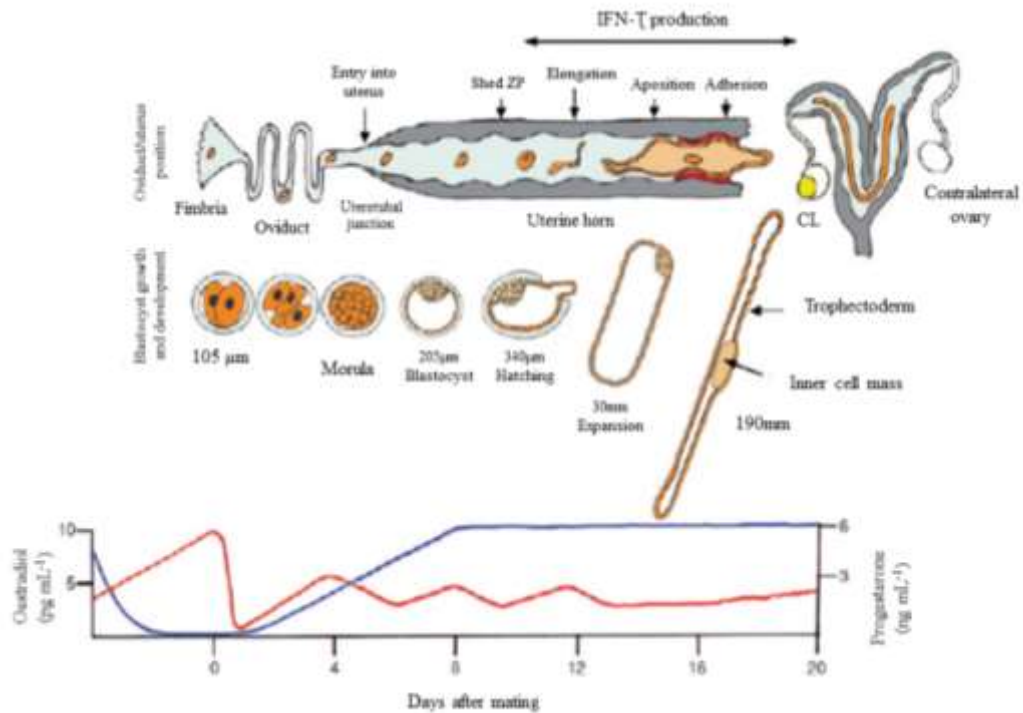
O reconhecimento materno da gestação é o período em que o concepto sinaliza sua presença para a mãe com a finalidade de aumentar a vida útil do CL e conseqüentemente evitar o retorno à ciclicidade (FARIN *et al.*, 1990; NISWENDER *et al.*, 2000). Em ruminantes, o período de sinalização coincide com o alongamento do embrião e a máxima produção IFNT (ANTONIAZZI *et al.*, 2010; JOHNSON *et al.*, 1999b).

Após a fecundação, inicia-se o processo de desenvolvimento embrionário. O embrião passa pelas fases de zigoto, quando ocorre o estabelecimento da singamia. Logo após sofre sucessivas mitoses, até chegar à fase de mórula. Nessa fase, que acontece de 4 a 6 dias após a fecundação, o embrião entra no útero. Em seguida, evolui para o estágio de blastocisto inicial, com a formação da blastocele e o estabelecimento de 2 tipos celulares: trofoblasto e massa celular interna (embrioblasto). O blastocisto inicial desenvolve e expande, até o dia 8-9 quando ocorre a eclosão da zona pelúcida. Então o blastocisto passa a se alongar de forma tubular, formando um filamento capaz de preencher todo o espaço intrauterino (Figura 1). A expressão de IFNT aumenta proporcionalmente à medida que ocorre o processo de alongação (HAN *et al.*, 2006; HIRAYAMA *et al.*, 2014; SPENCER; BAZER, 1996; SPONCHIADO *et al.*, 2017).

Inicialmente, a secreção de IFNT no concepto ovino ocorre entre os dias 10 e 25, com pico de secreção entre os dias 14 e 16 da gestação (ROBERTS; EALY; EZASHI, 1999). Já em bovinos, a secreção ocorre entre os dias 12 e 26, com pico entre os dias 18 e 20 (FARIN *et al.*, 1990; HIRAYAMA *et al.*, 2014). O IFNT é a principal citocina secretada pelas células do trofoblasto embrionário, sendo responsável pela sinalização durante esse período (ROBERTS; EALY; EZASHI, 1999). O mecanismo clássico de ação do IFNT consiste no controle da transcrição de receptores de estrógenos (ESR1) e conseqüentemente receptores de ocitocina (OXTR) no epitélio luminal endometrial (SPENCER; BAZER, 1996). Esse controle inibe os pulsos luteolíticos de PGF, evitando o retorno à ciclicidade.



Figura 1. Desenvolvimento embrionário inicial em ruminantes.



Fonte: (SPENCER et al., 2007).

### 2.2.1. O interferon tau

Inicialmente, estudos na década de 1960 identificaram que existia alguma substância produzida durante a gestação inicial que adiava a manifestação do estro (MOOR; ROWSON, 1966). Já na década de 1970, foi identificado que essa substância era uma proteína produzida pelo concepto, que foi nomeada de trofoblastina (MARTAL *et al.*, 1979). Pouco tempo depois, foi comprovado que essa proteína era produzida pelas células do trofoblasto e chamou de proteína do trofoblasto ovino (ovine trophoblastic protein-1 oTP-1) (GODKIN; BAZER; ROBERTS, 1984). A oTP-1 foi sequenciada e foi identificado que sua estrutura era muito semelhante aos interferons tipo 1, e por essa razão foi renomeada para IFNT (IMAKAWA *et al.*, 1987).

Aparentemente o IFNT evoluiu do interferon ômega, essa evolução é caracterizada pela inserção de um promotor específico no trofoblasto. Bovinos, ovinos e caprinos possuem diversas formas polimórficas de IFNT (EALY; WOOLDRIDGE, 2017). Fatores de transcrição que possuem papel importante na regulação da expressão do gene do IFNT. Dentre eles, os

fatores de transcrição ETS2, AP1, DLX3 e CDX2 possuem um papel fundamental na regulação da transcrição de IFNT durante o início da gestação (EZASHI; IMAKAWA, 2017).

O IFNT é uma proteína secretada em grandes quantidades pelas células do trofoblasto do embrião de ruminantes, antes da implantação (FARIN *et al.*, 1990). O RNAm começa a ser expresso a partir do quarto dia do desenvolvimento embrionário *in vitro* (TALUKDER *et al.*, 2018) e a sua sinalização já é detectada no endométrio no sétimo dia do desenvolvimento embrionário (SPONCHIADO *et al.*, 2017). O início da expressão de IFNT é programada geneticamente independente do ambiente uterino, pois ele é expresso em sistemas *in vivo* e *in vitro*. No entanto, a produção de IFNT é influenciada pelo ambiente uterino, pois a produção *in vitro* aumenta na presença de tecido uterino (KERBLER *et al.*, 1997). A expressão diminui com a implantação, pois o contato do trofoblasto com o endométrio cessa a produção de IFNT (DEMMERS; DERECKA; FLINT, 2001).

### **2.2.2. Receptores de interferon tipo 1 e genes estimulados por interferon tau (ISGs)**

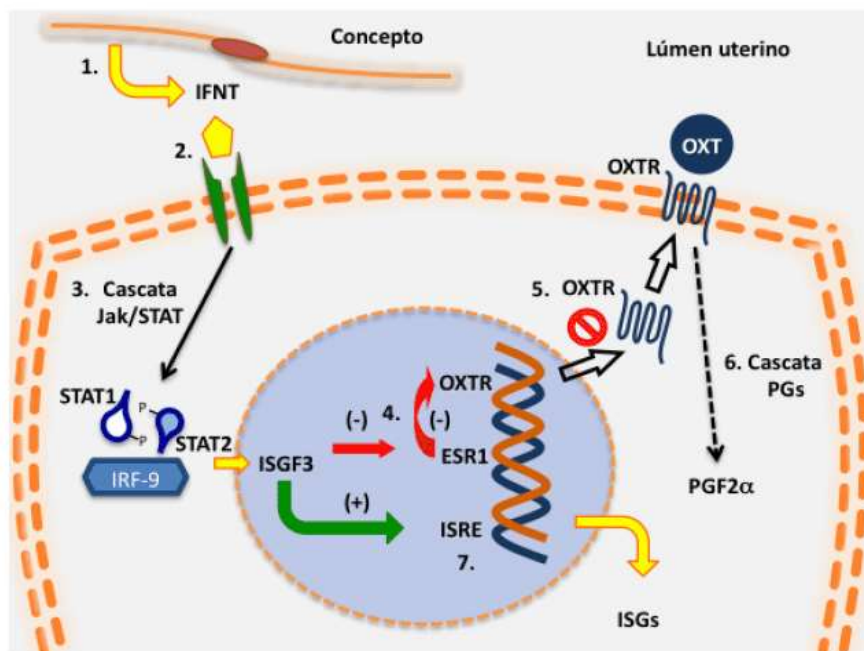
Embora o IFNT não seja induzido por vírus (CHELMONSKASOYTA, 2002), ele pertence aos IFNs do tipo 1 e usa o mesmo mecanismo de ação das respostas antivirais. O IFNT liga-se a receptores de interferon tipo 1 (IFNAR1 e IFNAR2) e induz sua resposta por meio da sinalização via JAK/STAT (BINELLI *et al.*, 2001). Os receptores IFNAR1 e IFNAR2 são expressos em todos os tecidos corporais e têm como função principal mediar respostas antivirais. Também estão localizados no útero para mediar respostas maternas em função do IFNT produzido pelo embrião (JOHNSON *et al.*, 1999a).

O IFNT se liga a esses receptores para exercer sua ação pela via de transdução de sinais JAK/STAT, onde as proteínas tirosino-quinases fosforilam proteínas STAT formando complexos multiméricos que agem como fatores de transcrição (BINELLI *et al.*, 2001). Esses complexos atuam basicamente em 2 vias: a primeira consiste no controle da transcrição de receptores de estrógenos (ESR1) e consequentemente receptores de ocitocina (OXTR) no epitélio luminal endometrial (SPENCER; BAZER, 1996); e a segunda ocorre quando os complexos se ligam a regiões definidas no DNA, chamadas de elementos responsivos à estimulação por interferons (ISREs), que regulam a expressão de ISGs (ANTONIAZZI *et al.*, 2010; JOHNSON *et al.*, 1999a).

### 2.2.3. Ações do interferon tau

Atualmente, sabe-se que o IFNT atua mediante 3 vias de sinalização: parácrina, endócrina e autócrina. O mecanismo de sinalização parácrino (Figura 2), também conhecido como via clássica de sinalização do reconhecimento materno da gestação em ruminantes, consiste na ligação do IFNT aos seus receptores presentes no endométrio, ativação da cascata JAK/STAT e inibição da expressão dos receptores de estrógenos (ESR1) e de ocitocina (OXTR) no epitélio luminal do endométrio. A supressão dos receptores ESR1 e OXTR evita a liberação de pulsos luteolíticos de prostaglandina F2 alfa (PGF), hormônio responsável pelo início da luteólise (MCCRACKEN; CUSTER, 1999; SPENCER; BAZER, 1996).

Figura 2. Via de sinalização parácrina do reconhecimento materno da gestação em ruminantes.



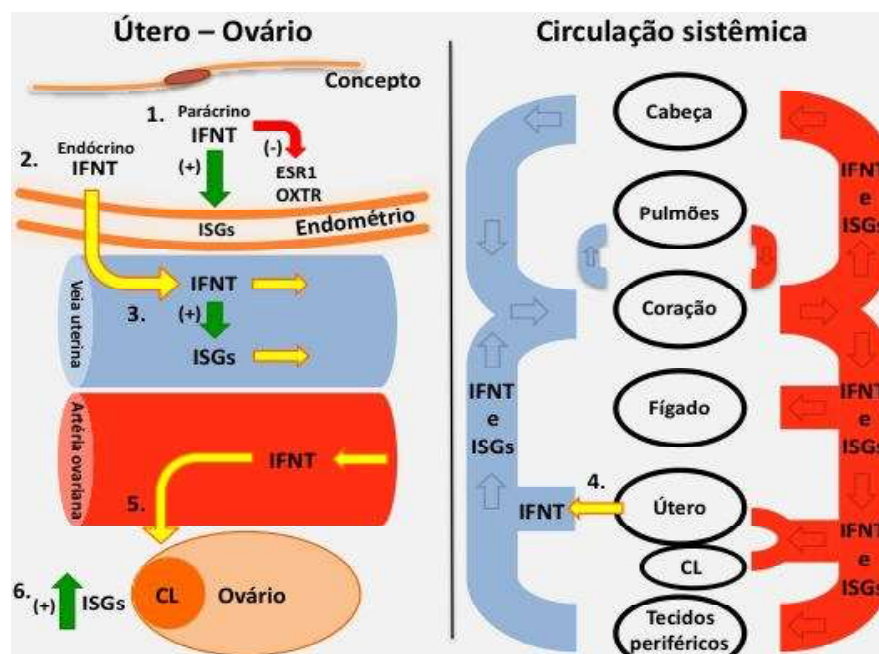
Fonte: (ANTONIAZZI *et al.*, 2010).

Além do mecanismo parácrino do reconhecimento materno da gestação em ruminantes, existe a via de sinalização endócrina (Figura 3). Foi observado que alguns animais gestantes possuíam expressão de genes ISGs na corrente circulatória, mais especificamente o gene estimulado por interferon-15 (ISG15) (HAN *et al.*, 2006). Inicialmente foi pensado na presença de um mediador da ação do IFNT, uma interferomedina (SPENCER *et al.*, 1999). Foi avaliada a expressão de ISG15 em tecidos extrauterinos durante o início da gestação em ovinos (BOTT *et al.*, 2010; OLIVEIRA *et al.*, 2008). Pelas técnicas de biologia molecular de PCR em tempo

real, western blot e imuno-histoquímica, identificou-se uma maior expressão de ISG15 em células luteais grandes no dia 15 da gestação, quando comparada com a expressão em células luteais grandes de ovelhas não prenhes (OLIVEIRA *et al.*, 2008). O ensaio antiviral mostrou maior bioatividade de interferons tipo 1 no dia 15 da gestação no sangue da veia uterina de ovelhas prenhes quando comparadas com ovelhas não prenhes (OLIVEIRA *et al.*, 2008). Assim, sugere-se que a ação dos interferons presente no soro da veia uterina de ovelhas prenhes no dia 15 da gestação é exercida pelo IFNT, pois a utilização de anticorpo específico contra IFNT bloqueia ação antiviral (BOTT *et al.*, 2010).

A partir da comprovação da ação endócrina do IFNT na veia uterina, iniciou-se a investigação de sua ação em tecidos extrauterinos que poderiam estar envolvidos com o reconhecimento materno da gestação. Um novo modelo de estudo da ação endócrina do IFNT foi desenvolvido (BOTT *et al.*, 2010). Inicialmente, foi realizada a instalação de uma bomba osmótica para infusão contínua de IFNT recombinante ovino (roIFNT) na veia uterina no dia 10 do ciclo estral, e foi verificado a expressão de ISG15 no CL. Outros experimentos foram realizados com infusão contínua de IFNT em diferentes dias e com diferentes concentrações de IFNT, e ambas foram capazes de induzir ISG15 em tecidos extrauterinos (ANTONIAZZI *et al.*, 2013; ROMERO *et al.*, 2013).

Figura 3. Mecanismo de ação endócrina do reconhecimento materno da gestação em ruminantes.



Fonte: (ANTONIAZZI *et al.*, 2010).

Foi sugerido que o IFNT possui um mecanismo de ação autócrino. Inicialmente, foi demonstrado que células do trofotoderma ovino expressavam IFNAR1, indicando a existência de alguma função do IFNT em suas próprias células produtoras (IMAKAWA *et al.*, 2002). A partir desse resultado, outros estudos foram realizados na tentativa de elucidar essa via de sinalização. Foram adicionadas diferentes concentrações de rbIFNT no cultivo de células de trofoblasto, e mostraram que o desenvolvimento celular e a expressão de ISGs aumentaram à medida que aumentava a concentração de rbIFNT no meio de cultivo, comprovando que o IFNT não é crucial apenas para o reconhecimento materno da gestação em ruminantes, mas também atua como um regulador autócrino de proliferação das células do trofoblasto (WANG *et al.*, 2013).

#### **2.2.4. Uso dos ISGs como marcadores da presença embrionária**

Em geral, acredita-se que as concentrações circulantes de IFNT na corrente sanguínea sejam extremamente baixas e, portanto, difíceis de detectar (BOTT *et al.*, 2010; OLIVEIRA *et al.*, 2008). No entanto, existem maneiras indiretas de detectar a ação do IFNT na corrente sanguínea. Uma delas é mensurar a expressão de ISGs em leucócitos. Existem vários estudos que correlacionam a expressão de ISGs nos leucócitos do sangue periférico (PBL) com a gestação precoce (GREEN *et al.*, 2010; HAQ *et al.*, 2016; SHEIKH *et al.*, 2018; TOJI *et al.*, 2017). Estudos analisaram a expressão gênica em frações separadas de leucócitos e concluíram que a fração de PMN são mais sensíveis ao estímulo por IFNT quando comparados à fração de PBMCs. Além disso, existe uma correlação positiva entre a expressão de ISGs no PMN e a gestação precoce em bovinos (KIZAKI *et al.*, 2013; SHIRASUNA *et al.*, 2012). Dentre os ISGs que aumentam a expressão de RNAm durante o início da gestação em resposta ao IFNT, estão os genes 2',5' oligoadenilato sintetase (OAS1) (MIRANDO *et al.*, 1991; SCHMITT *et al.*, 1993), o gene de resistência ao myxovirus 1 e 2 (MX1 e MX2) (OTT *et al.*, 1998) e o gene estimulado por interferon 15 (ISG15) (AUSTIN *et al.*, 1996; ROCHA *et al.*, 2020).

### **2.3. IMUNOLOGIA DA GESTAÇÃO EM BOVINOS**

Ao longo da evolução, os animais desenvolveram mecanismos complexos contra doenças, detectando e destruindo material biológico estranho dentro de seus organismos. Estes mecanismos necessitam ser regulados de forma precisa para desenvolver uma resposta efetiva e apropriada contra o patógeno, enquanto limita possíveis danos ao organismo do hospedeiro

(BAINBRIDGE, 2000). A exclusão de corpos estranhos foi adaptada por milhões de anos em um processo denominado de sistema imunológico (ENTRICAN, 2002).

O embrião bovino é caracterizado como semialogênico, ou seja, metade do seu material genético é herdado do pai e outra metade da mãe (BILLINGHAM *et al.*, 1953). Diante disso, o conceito possui antígenos desconhecidos pelo sistema imune materno, e mesmo assim ele não é reconhecido como estranho e rejeitado. O desenvolvimento adequado da gestação contraria o preceito imunológico e a sobrevivência embrionária e fetal ainda não é completamente conhecida do ponto de vista da imunologia. Existem teorias que tentam explicar a imunotolerância adquirida pelo sistema imune da mãe (HANSEN, 2011). Contudo, a gestação somente é possível com o desenvolvimento do padrão aloimune que protege o conceito desde as primeiras divisões mitóticas até o nascimento. Nesse período, a regulação do sistema imune é feita concomitante entre mãe e conceito, objetivando reduzir uma resposta imunológica que seja agressiva à sobrevivência e desenvolvimento do conceito (HANSEN, 2011).

O conceito possui em sua superfície moléculas do complexo de histocompatibilidade (MHC). O MHC é um locus que codifica proteínas especializadas, cuja função é apresentar antígenos para serem reconhecidos pelos linfócitos T. Existem dois produtos gênicos principais: MHC classe 1 (MHC-I) e MHC classe 2 (MHC-II) (KELLY; TROWSDALE, 2019). Na superfície do conceito, apenas o MHC-I é expresso (DAVIES; FISHER; SCHLAFER, 2000). Durante as fases de desenvolvimento gestacional, padrões imunológicos diferentes são estabelecidos regidos por sinais do conceito para favorecer a comunicação com o sistema da mãe (MOR; CARDENAS, 2010). Esses padrões podem alternar entre um estado pró ou anti-inflamatório quando toda a gestação é analisada, e essas mudanças dependem de mediadores específicos.

No que se refere ao desenvolvimento embrionário inicial, antes da eclosão da zona pelúcida, o embrião parece imunologicamente inerte com baixa expressão de MHC, o que provavelmente protege o embrião inicial do ataque imunológico. Próximo ao período de placentação, a inércia imune do conceito é substituída por um período de ativação imunológica causada pela expressão de genes, como interferons e MHC-I. Essas mudanças desempenharão um importante papel para garantir o desenvolvimento fetal (HANSEN, 2011).

Nesse contexto, as citocinas atuam diretamente sobre a modulação da resposta imune, agindo como mediadores inflamatórios. Podem ser produzidas por macrófagos, linfócitos, células natural killers (NK) e também pelas células trofoblásticas, como é o caso do IFNT, e agem através de vias complexas de retroalimentação positiva ou negativa, controlando as células imunes efectoras (NASU *et al.*, 1999). Citocinas pró-inflamatórias podem interromper a

gestação durante seu o período inicial (CHAOUAT *et al.*, 1995). Em contraste, citocinas anti-inflamatórias auxiliam na manutenção gestacional (CHAOUAT *et al.*, 1995). O IFNT auxilia a redistribuição de células TCD4+ e TCD8+, NK uterinas, monócitos, macrófagos e células dendríticas (HANSEN, 2011).

O reconhecimento imunológico do concepto por linfócitos maternos ou células NK poderiam levar a morte embrionária (HANSEN, 2011). Contudo, não é o que acontece. Mãe e concepto agem de maneira endócrina e parácrina a fim de controlar a função imune materna durante a gravidez e evitar a morte embrionária.

### **2.3.1. Alterações imunológicas decorrentes do estresse térmico em bovinos**

Uma das primeiras ações de um animal em condições de estresse térmico é a redução do consumo alimentar, o que conseqüentemente influencia na absorção de nutrientes. Em resposta a isso, gera-se um impacto negativo no sistema imunológico e na resposta inflamatória (YADAV *et al.*, 2016). Durante os períodos de estresse por calor, são ativados os eixos hipotálamo-hipófise-adrenal e simpático-adrenal-medular para manter a homeostase em resposta a estímulos estressantes (SEJIAN *et al.*, 2018). Com isso, ocorre a secreção crônica de cortisol e a conseqüente supressão imunológica, fazendo com que o animal se torne mais suscetível a doenças e desafios imunológicos (JU *et al.*, 2014; WANG *et al.*, 2011).

O estresse térmico limita a capacidade de vacas leiteiras produzirem resposta imune, tanto que a administração de vacinas nesses períodos parece não permitir o desenvolvimento de uma resposta imune ideal, tornando a vacina potencialmente ineficaz (HU *et al.*, 2007). Em um estudo feito em camundongos, verificou-se que o estresse crônico pelo calor afeta negativamente o sistema imunológico, alterando os níveis de linfócitos TCD4+, TCD25+, bem como FOXP3+, interleucina-10 e TGF- $\beta$  (MENG *et al.*, 2013). Além disso, o NF- $\kappa$ B, principal regulador da sinalização inflamatória, também está aumentado em condições de estresse térmico (ABDELNOUR *et al.*, 2019). O NF- $\kappa$ B desempenha um papel vital na síntese de citocinas pró-inflamatórias, e o aumento destas citocinas de forma crônica pode estar relacionado à redução da produtividade em bovinos (CELI, 2011; CHAUHAN *et al.*, 2014).

Adicionalmente, o padrão das principais interleucinas (ILs) é afetado pelo calor. A expressão de fatores pró-inflamatórios, como IL1 $\beta$ , IL6 e também TNF- $\alpha$  são maiores em vacas leiteiras submetidas ao estresse térmico induzido (MIN *et al.*, 2016), enquanto fatores anti-inflamatórios como IL10 são menos expressos (SHEIKH *et al.*, 2018), sugerindo que o estado inflamatório é modulado pelo estresse térmico. As ILs utilizam a via de sinalização intracelular

JAK/STAT (LEONARD, 2001), a mesma usada pelos interferons, especialmente IFNT (BINELLI *et al.*, 2001). Em conclusão, o estresse térmico pode levar a uma resposta inflamatória em bovinos, que pode afetar a sanidade, produção e reprodução.



**ARTIGO 1**

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**Heat stress modulates polymorphonuclear cell response in early pregnancy cows: I.  
interferon pathway and oxidative stress**

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1 **Heat stress modulates polymorphonuclear cell response in early pregnancy cows: I.**  
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3

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20 **Short title:** Heat stress, interferon and innate immune responses

## 21 **Abstract**

22 One of the major causes of early pregnancy loss is heat stress. In ruminants, interferon tau  
23 (IFNT) is the embryo signal to the mother. Once the interferon signaling pathway is activated,  
24 it drives gene expression for interferon-stimulated genes (ISGs) and alters neutrophils  
25 responses. The aim of the present study was to evaluate interferon (IFN) pathway, ISGs and  
26 gene expression in polymorphonuclear leukocytes (PMN) and oxidative stress in dairy cows  
27 under heat stress. Pregnant cows had their estrous cycle synchronized and randomly assigned  
28 to a comfort or heat stress group. Blood samples were collected at artificial insemination (AI)  
29 and on Days 10, 14 and 18 following AI. Pregnant cows were pregnancy checked by  
30 ultrasound on Day 30 and confirmed on Day 60 post-AI. Results are presented as mean  $\pm$   
31 SEM. The corpus luteum (CL) diameter was not different between groups of pregnant cows;  
32 concentration of progesterone of pregnant cows on Day 18 following AI was greater in  
33 comfort group compared to heat stressed group. Comfort pregnant cows had higher  
34 expression of all analyzed genes from interferon pathway, except for *IFNARI*, on both Days  
35 14 and 18. Conversely, heat stressed cows did not show altered expression of IFNT pathway  
36 genes and ISGs between Days 10, 14, and 18 after AI. The oxidative stress, determined as  
37 malondialdehyde (MDA) levels, was greater in heat stress group on Days 10, 14 and 18,  
38 independent of pregnancy status. Heat stress negatively influences expression of ISGs, IFN  
39 pathway gene expression in neutrophils, and oxidative stress. Our data suggest that lower  
40 conception rates in cows under heat stress are multifactorial, with the association of interferon  
41 pathway activation and the unbalanced oxidative stress being main contributing factors.

42

43 **Keywords:** high temperature, conceptus, ISG15, interferon, innate immune response, embryo  
44 signaling.

## 45 **Introduction**

46           Pregnancy loss is an important factor that reduces reproductive performance in dairy  
47 herds, and it occurs more frequently in the first 30 days of pregnancy [1, 2]. One of the major  
48 causes of pregnancy loss and implantation failure during early pregnancy is heat stress (HS)  
49 caused by the increase of temperatures during summer (hot seasons) [3, 4]. Effects of HS on  
50 follicular development, steroidogenesis, quality of gametes and embryos are well studied [5-  
51 9]. HS may also be an end result of an imbalance between reactive species production and  
52 antioxidant capacity, which leads to oxidative stress [10-12].

53           Maternal recognition of pregnancy in ruminants occurs between Days 10-20 of  
54 pregnancy, when the conceptus signals its presence in uterus [13]. Interferon tau (IFNT) is the  
55 major cytokine responsible for the embryo-maternal interaction to avoid luteolysis [14, 15]  
56 and to establish and maintain the pregnancy [16]. It is produced by embryonic trophoblast  
57 cells at pre-implantation period and acts in the endometrial luminal epithelium in a paracrine  
58 manner. IFNT inhibits endometrial estrogen and oxytocin receptors expression and prevents  
59 prostaglandin F<sub>2</sub> alpha (PGF) luteolytic pulses [17]. IFNT also acts in extrauterine tissues  
60 (e.g. leukocytes and corpus luteum) [18, 19], protecting the corpus luteum (CL) [20, 21] and  
61 signaling the early pregnancy to peripheral blood cells via induction of interferon stimulated  
62 genes (ISGs) [22, 23].

63           In general, it is believed that concentrations of IFNT circulating in the bloodstream are  
64 extremely low and thus difficult to detect [19, 21]; however, there are few indirect approaches  
65 to detect IFNT action in the bloodstream. One of them is to measure ISGs expression in  
66 leukocytes. There are several studies that correlate ISGs expression in peripheral blood  
67 leukocytes (PBL) with early pregnancy [22-25]. These studies have analyzed gene expression  
68 in separate PBL fractions, especially the polymorphonuclear leukocytes (PMN), which are  
69 most sensitive to IFNT stimulation, when compared to mononuclear cells fraction (PBMC).

70 Additionally, there is a positive correlation between ISGs expression in PMN and early  
71 pregnancy in cattle [26, 27]. Although IFNT is not virus inducible [28], it belongs to type I  
72 IFNs and uses the same mechanism of action of antiviral response genes. IFNT binds to type I  
73 IFN receptors (IFNAR) 1 and 2 [29, 30] and activates the Janus kinase-signal transducer and  
74 activator of transcription (JAK/STAT) intracellular pathway [31]. Subsequently,  
75 phosphorylated STATs dimerize and recruit IFN-regulatory factor 9 (IRF9) to form STAT1-  
76 STAT2-IRF9 tri-complex (interferon-stimulated gene factor 3, ISGF3). This complex  
77 translocates into the nucleus to initiate transcription of ISGs [32].

78         Pregnancy causes an immunological challenge because a semi allogenic fetus must be  
79 supported within the pregnant female for the required gestational period. The decidua and  
80 placenta of human and mouse form key immunological barriers that sustain maternal  
81 tolerance, yet generate innate immune responses that prevent microbial infections [33]. The  
82 biology underlying the systemic crosstalk of early embryo signaling and immune system is  
83 not completely understood. Therefore, IFNT endocrine action may alter immune cells  
84 response during early pregnancy.

85         Considering the low pregnancy rates during warm season and the endocrine signaling  
86 of IFNT characterized by ISGs expression in extrauterine tissues, we hypothesized that  
87 oxidative stress caused by heat stress negatively impacts progesterone production and innate  
88 immune response during early pregnancy in dairy cows. The objective of our study was to  
89 evaluate relations between concentration of progesterone, oxidative stress blood markers,  
90 expression of ISGs and genes of IFN signaling pathway in neutrophils of dairy cows under  
91 comfort or heat stress environment on embryo pre-implantation period. We tested whether  
92 high temperatures during summer affect the ability of the pregnant dairy cows to signal the  
93 embryo presence and modulate IFN pathway.

94

## 95 **Materials and methods**

### 96 **Chemicals**

97 Unless otherwise indicated, chemicals and reagents were purchased from Sigma  
98 Chemical Company (Sigma-Aldrich, St. Louis, MO, USA).

99

### 100 **Cattle and herd management**

101 The study was approved by the Animal Care Use and Committee (CEUA-UFSM #  
102 5728120217) of Federal University of Santa Maria and conducted on a commercial dairy farm  
103 in Southern Brazil. Thirty-two multiparous Holstein dairy cows in lactation from the same  
104 herd were included in this study. The cows were 3 to 6 years old, body condition score greater  
105 than 2.5 (1=thin and 5=obese in a scale 1 to 5), absent of any detectable reproductive and  
106 clinical disorders during the study period. Cows were milked twice a day and fed complete  
107 ration and corn silage, with *ad libitum* access to water. All sampling and data collection for  
108 this study were obtained with no additional distress.

109

### 110 **Experimental design, synchronization protocol and artificial** 111 **insemination (AI)**

112 The experiment was conducted during two distinct seasons. The samples from comfort  
113 cows group (n=15) were collected in September (Late Winter/Early Spring), when the  
114 temperature-humidity index (THI) is approximately 65-70 in Southern Brazil. The samples  
115 from the heat stressed cows group (n=17) were collected in January (Summer), characterized  
116 by high temperatures associated with high humidity, when THI is approximately 80-85. Both  
117 groups had their estrus synchronized with the same protocol [34]. The estrous cycle  
118 synchronization protocol was initiated by the insertion of an intravaginal device (IVD)

119 containing 1.9g of progesterone (CIDR, Zoetis, São Paulo, Brazil), administration of 2mg  
120 (i.m.) of estradiol benzoate (Sincrodiol, Ourofino, Minas Gerais, Brazil) and 2mL (i.m.) of  
121 gonadorelin, an analogue of GnRH (Cystorelin, Boehringer Ingelheim, São Paulo, Brazil) 11  
122 days prior to AI (Day -11). Four days before AI (Day -4) the first injection of 0.5mg (i.m.) of  
123 sodium cloprostenol, a synthetic prostaglandin F2 alpha analogue, was administered (PGF;  
124 Sincrocio, Ourofino). Two days before AI (Day -2), IVD was withdrawn and the animals  
125 received the second injection of 0.5 mg (i.m.) of PGF and 1mg (i.m.) of estradiol cypionate  
126 (ECP; E.C.P. Zoetis). Only animals that exhibited standing estrus by 48 hours after IVD  
127 withdrawal were included in the experiment (Comfort cows group n=12; Heat Stressed cows  
128 group n= 13). AI was performed 48 hours (Day 0) after IVD withdrawal, using conventional  
129 semen. The semen was obtained from ST genetics® commercial company, stored in liquid  
130 nitrogen, and thawed at 36°C for 30 seconds for subsequent AI.

131

## 132 **Physiological parameters and environmental data**

133       Respiratory rate (RR), heart rate (HR), and rectal temperature (RT) were evaluated at 3  
134 p.m. on Days 10, 14 and 18 following AI. RR was expressed in breaths per minute (bpm) and  
135 was obtained using a timer to count respiratory movements for 30 seconds, multiplied by 2 to  
136 obtain the number of breaths per minute. HR was expressed in beats per minute (bpm) and  
137 was obtained using a flexible stethoscope (Standard, Bic Med, São Paulo, Brazil) placed  
138 directly into the left thoracic region under one of the auscultation foci for 30 seconds,  
139 multiplied by 2 to obtain the number of heart beats per minute. RT was measured with a large  
140 animal clinical thermometer inserted at 3 cm depth into the rectum and held to maintain  
141 contact with the mucosa for one minute. Body condition score (BCS) was determined at the  
142 beginning of the experimental period (estrus synchronization) and weekly throughout the  
143 study. A scale of 1 (thin) to 5 (obese) in increments of 0.25 units was used, as described by

144 Ferguson, Galligan [35]. A single observer evaluated the BCS throughout the study to  
145 minimize variations. Ambient temperature and relative humidity (RH) were recorded at 4 p.m.  
146 on Days 0, 10, 14 and 18. The THI was calculated using the mathematical equation [36]:  $THI$   
147  $= (0.8 \times Dbt) + [(RH/100) \times (Dbt - 14.4)] + 46.4$ ; where  $Dbt$  = dry bulb temperature, and  $RH$   
148 = relative humidity.

149

## 150 **Blood sample collection**

151 Blood was collected from the coccygeal vein using a 21G needle coupled to a vacuum  
152 collection system (BD Vacutainer®) into 4 mL EDTA-containing tubes. The collections were  
153 performed at the time of AI (Day 0) and on Days 10, 14 and 18 following AI. Blood was  
154 obtained in two tubes of 4 mL containing EDTA for each experimental time point. The first 4  
155 mL tube of blood was used for oxidative stress assays and the second tube for isolation of  
156 blood leukocytes and determination of blood concentration of progesterone.

157

## 158 **Isolation of polymorphonuclear (PMN) peripheral blood cells**

159 Isolation of PMNs was performed as follows. Briefly, after blood collection, 2 mL of  
160 whole blood was diluted in equal volume of 0.9% NaCl, followed by addition of 3mL of  
161 Ficoll-Paque PREMIUM®. Centrifugation was performed at 400xg for 15 minutes at room  
162 temperature. After centrifugation, the following layers were obtained: PBMC, Ficoll-Paque,  
163 PMN, and erythrocytes. All the upper fractions were withdrawn to collect the PMN fraction.  
164 PMNs were collected from the lower red layer. PMN samples were stored in a cryotube at -  
165 80°C for subsequent total RNA extraction. After isolation of PMN fraction, a glass-slide  
166 fraction-film was prepared to determine the purity of each fraction. Slides were stained using  
167 a rapid stain (Diff-Quik Differential Stains Set; Fisher Scientific, Waltham, MA, USA)  
168 according to the manufacturer's recommendations. The cell fraction purity was accessed based



169 on cell morphology. PMNs are classified as neutrophils, eosinophils, and basophils. They  
170 have condensed, segmented nuclei and are identified by the staining characteristics of their  
171 secondary granules. An experienced clinical pathologist examined the slides. A differential  
172 cell count was done by identifying 100 consecutive leukocytes using a 100x objective.  
173 Samples above 95% of specific cell type (PMN) [26] were included in this study.

174

## 175 **RNA extraction, reverse transcription, and real-time PCR**

176 Total RNA was extracted from the PMN cells using Tri Reagent (BD), according to  
177 the manufacturer's recommendations. Quantification and estimation of RNA purity was  
178 performed using Nanodrop spectrophotometer (Thermo Scientific, Waltham, MA, USA; RNA  
179 concentration mean 658.17 ng/ $\mu$ l, SD 226.61, minimum 225.7 ng/ $\mu$ l and maximum 999.1  
180 ng/ $\mu$ l; Absorbance 260/280 nm ratio mean 1.91, SD 0.053, minimum 1.8 and maximum 2.01).  
181 RNA was treated with DNase Amplification Grade (Thermo Fisher, Waltham, MA, USA) for  
182 15 minutes at 27°C to degrade any DNA molecules. DNase was inactivated with 1  $\mu$ l EDTA  
183 for 10 minutes at 65°C. Reverse transcription was performed using iScript cDNA synthesis  
184 Kit (BioRad, Hercules, CA, USA) for 5 minutes at 25°C followed by 30 minutes at 42°C and  
185 5 minutes at 85°C. Quantitative polymerase chain reaction (qPCR) was conducted in a  
186 thermocycler (BioRad, Hercules, CA, USA) using cDNA, forward and reverse bovine specific  
187 primers and SYBR fluorophore GoTaq® Green Master Mix (Promega Corporation, Madison,  
188 USA). The final reaction volume is 10  $\mu$ l: 2  $\mu$ l of cDNA and 8  $\mu$ l of MIX (5  $\mu$ l of SYBR, 1  $\mu$ l  
189 of primer forward, 1  $\mu$ l of primer reverse and 1  $\mu$ l of water). Amplification was performed  
190 with initial denaturation at 95°C for 5 minutes followed by 40 cycles of denaturation at 95°C  
191 for 15 seconds and annealing/extension at 60°C for 30 seconds. To optimize the RT-qPCR  
192 assays, serial dilutions of cDNA templates were used to generate a standard curve, and  
193 efficiency between 90 and 110% was considered. Samples were run in duplicate and the

194 results of expression of all analyzed genes were expressed by  $\Delta\Delta Cq$  method, having *GAPDH*  
 195 and *RPS18* as reference genes, as previously described [37]. The genes assessed in this study  
 196 are presented in Table 1.

197

198 **Table 1. Primers designed for quantitative real-time PCR analysis.**

Target	Primer sequence	GenBank
<i>ISG15</i>	F: GGTATCCGAGCTGAAGCAGTT R: ACCTCCCTGCTGTCAAGGT	NM_174366.1
<i>MX1</i>	F: GTACGAGCCGAGTTCTCCAA R: ATGTCCACAGCAGGCTCTTC	NM_173940.2
<i>MX2</i>	F: C TTCAGAGACGCCTCAGTCG R: TGAAGCAGCCAGGAATAGT	NM_173941.2
<i>OAS</i>	F: GTGGCCAAAGGTGGCTCCTA R: TGTGCCCAGATTTTGCTGAGG	NM_001040606.1
<i>IFNAR1</i>	F: GAATCAGCTCTACCCGCTAAT R: GCTCTGGCTTTGACACAATAC	NM_174552.2
<i>IFNAR2</i>	F: AGCCAGAATGTGTCAGCGAT R: AGAACAGGCGCAACATACGA	NM_174553.2
<i>STAT1</i>	F: CAAAGGAAGCCCCAGAGCCTA R: ACATGCCACTCTTCTGTGTTCA	NM_001077900.1
<i>STAT2</i>	F: CAGCCCGTTTCAGGATCAGC R: CAGTGCAGCTTTCTGCCAGT	NM_001205689.1

<i>JAK1</i>	F: GGGGTTAGCCGCTTAGGGAG R: CCATTCAGAGCTGAGCACTTCC	XM_024989564.1
<i>IRF9</i>	F: GGTTCCTGAGATCGGCCACA R: CCTGATTGAGCGGGGACAGT	NM_001024506.1
<i>GAPDH</i>	F: GATTGTCAGCAATGCCTCCT R: GGTCATAAGTCCCTCCACGA	NM_001034034.2
<i>RPS18</i>	F: CCTTCCGCGAGGATCCATTG R: CGCTCCCAAGATCCAACACTAC	XM_024983403.1

199 F: Forward; R: Reverse.

200

## 201 **CL diameter and progesterone analysis**

202 Corpora lutea diameter (mm) was measured on Day 18 following AI through ovarian  
203 ultrasonography (Mindray DP10 with a 6.5 MHz linear transducer). The concentration of  
204 progesterone was determined in plasma by chemiluminescent assay kit (ADVIA Centaur,  
205 Siemens) also on Day 18 following AI. Samples were run in duplicate and were analyzed at  
206 the same plate. The intra-assay coefficient of variation was 2.0% for progesterone.

207

## 208 **Malondialdehyde (MDA) levels**

209 The determination of MDA concentration was performed as previously described [38].  
210 Briefly, NaOH 3M was added to each sample, followed by incubation at 60°C for 30 minutes.  
211 After that, 6% H<sub>3</sub>PO<sub>4</sub> and 0.8% thiobarbituric acid (TBA) were added to the system and the  
212 mixture was heated at 90°C for 2 hours. Then 10% SDS and n-butanol were added to extract  
213 the TBA-malondialdehyde (MDA) product, which was analyzed on Shimadzu® HPLC

214 equipment. The analytical column was a Phenomenex® ODS-2 C<sub>18</sub>reverse-phase (250mm ×  
215 4.6mm, 5µm; 100Å, Allcrom, BR) and the mobile phase was ultrapure water and methanol  
216 (50:50; v/v). The HPLC analysis was performed under isocratic conditions at a 0.6 mL/min  
217 flow rate and UV detector set at 532 nm with a 20 µl sample volume injection. The results  
218 were expressed as nmol MDA/mg protein.

219

### 220 **Catalase (CAT) activity**

221 The CAT activity was spectrophotometrically assayed by monitoring the H<sub>2</sub>O<sub>2</sub>  
222 consumption at 240 nm [39]. The enzymatic reaction was performed by adding the sample  
223 and the substrate (H<sub>2</sub>O<sub>2</sub>) at 0.3 mM in a medium containing 50 mM phosphate buffer, pH 7.0.  
224 The enzymatic activity was expressed in units (1U decomposes 1µmol of H<sub>2</sub>O<sub>2</sub>/min at pH 7.0  
225 and at 25°C)/mg protein.

226

### 227 **Superoxide dismutase (SOD) activity**

228 The SOD activity was measured spectrophotometrically [40]. This method is based on  
229 the capacity of SOD to inhibit autoxidation of epinephrine to epinechrome. In this assay, each  
230 sample was diluted 1:10 (v/v) in phosphate saline buffer (PBS) and added in a 50 mmol/L  
231 Na<sub>2</sub>CO<sub>3</sub> buffer pH 10.3 and the enzymatic reaction was initiated by adding epinephrine. The  
232 colorimetric reaction was measured at 480 nm and the results were expressed in units (1U  
233 decomposes 1µmol of epinephrine/min at pH 7 and at 25 °C)/mg protein.

234

## 235 **Protein quantification**

236           The protein concentration for MDA levels and CAT activity was measured by the  
237 method described by Bradford (1976). Protein concentration was determined using bovine  
238 serum albumin (BSA; 1 mg/ml) as the standard [41].

239

## 240 **Pregnancy diagnosis by ultrasound scanning**

241           In both groups, uterine conditions were ultrasonographically evaluated using a  
242 Mindray DP10 with a 6.5 MHz linear transducer to select only animals without any evident  
243 pathology. Pregnancy rate was determined by dividing the number of pregnant cows at the  
244 pregnancy diagnosis at 30- and 60-days following AI by the total number of cows artificially  
245 inseminated (P/AI).

246

## 247 **Statistical analysis**

248           Continuous data were checked for normality using Shapiro-wilk test. CL diameter,  
249 concentration of progesterone, physiological parameters, and productive performance data  
250 were analyzed by ANOVA followed by Student's *t* test. Gene expression and oxidative stress  
251 data were analyzed by repeat measures for within-group analysis and standard least squares  
252 for between-group (comfort vs. heat stress and pregnant vs. non-pregnant cows) analysis. The  
253 main effects of day, pregnancy status (PS), treatment group, day by group interaction  
254 (day\*group) or day by pregnancy status interaction (day\*PS) were indicated. Differences of  
255 estrus occurrence and pregnancy were evaluated through chi-squared test. All data analysis  
256 was performed using the JMP7 Software (SAS Institute Inc., Cary, NC, USA). Results are  
257 presented as mean  $\pm$  standard error of the mean (SEM) and are considered different at  $P < 0.05$ .

258

## 259 **Results**

### 260 **Cows in comfort or under heat stress environment: Physiological** 261 **and reproductive parameters.**

262           In order to determine the experimental model of heat stress, THI was calculated and  
263 the indices were different during summer and late winter/early spring in the experimental  
264 period (S1 Table). Thus, cows in the summer (higher THI) were considered under HS when  
265 compared to late winter/early spring (lower THI). HS affected RT, HR, and RR in dairy cows  
266 ( $P<0.05$ ), which were evident at all timepoints (days along the season) (S1 Fig). Effect of  
267 season on estrous occurrence and pregnancy rate were not different between groups ( $P>0.05$ )  
268 and are presented in Table 2. Estrous occurrence rate was 80% (12 from 15 cows) in comfort  
269 group and 76.47% (13 from 17 cows) in heat stressed group. Pregnancy rate was 50% (6 from  
270 12 cows) in comfort group and 38.46% (5 from 13 cows) in heat stressed group. CL diameter  
271 (Fig 1A) on Day 18 following AI was significantly different ( $P<0.05$ ) in pregnant vs non-  
272 pregnant cows, when compared within-group, with larger diameter in pregnant cows  
273 independent of season. No differences in CL diameter in pregnant cows of the two groups  
274 were found ( $P>0.05$ ). Concentration of progesterone followed the same pattern as CL  
275 diameter, however, it was lower in heat-stressed pregnant cows when compared to pregnant  
276 cows of the comfort group ( $P<0.05$ ). In non-pregnant cows, the CL started to regress and,  
277 therefore, the CL diameter and concentration of progesterone did not differ between groups  
278 ( $P>0.05$ ). In relation to milk production, cows were at similar days in lactation (S2 Fig A),  
279 however, cows under heat stress had lower daily milk yield than the cows that were heat-not  
280 stressed (S2 Fig B), confirming the experimental model.

281

282 **Table 2. Effect of season on estrus onset and pregnancy rate. Different letters represent**  
 283 **significance at P<0.05 between comfort and heat stressed cows.**

Variable	N	%	P-value
<b>Estrus occurrence</b>			
Comfort cows	<a href="#">12/15</a>	80.0 <sup>a</sup>	p> 0.05
Heat stressed cows	<a href="#">13/17</a>	76.5 <sup>a</sup>	
<b>Pregnancy</b>			
Comfort cows	<a href="#">6/12</a>	50.0 <sup>a</sup>	p> 0.05
Heat stressed cows	<a href="#">5/13</a>	38.5 <sup>a</sup>	

284

285 **Fig 1. Ovarian corpus luteum diameter and concentration of progesterone in Day 18**  
 286 **post-AI pregnant cows under comfort or heat stress conditions.** A) Diameter (mm) of  
 287 corpus luteum. B) Concentration (ng/mL) of circulating progesterone. Values are presented as  
 288 mean  $\pm$  S.E.M. Different letters represent significance at P<0.05 between comfort and heat  
 289 stressed cows and asterisk represents significance at P<0.05 between pregnant and non-  
 290 pregnant cows.

291

292 **Markers of Oxidative Stress in blood from cows in comfort or**  
 293 **under heat stress environment.**

294 Oxidative Stress was evaluated using MDA concentration measurement in blood from  
 295 cows under comfort or heat stress environment on Days 10, 14 and 18 post AI (Fig 2). In both  
 296 pregnant and non-pregnant cows, MDA concentrations were greater (P<0.05) in heat stress

297 environment on Days 10, 14 and 18. Pregnant heat stressed cows had Day 18 SOD activity  
 298 and Day 10 and 14 CAT activity greater than comfort pregnant cows ( $P<0.05$ ). Non-pregnant  
 299 heat stressed cows had only Day 14 SOD activity greater than comfort non-pregnant cows  
 300 ( $P<0.05$ ). Greater MDA levels unbalanced with antioxidant enzymes in heat stressed cows  
 301 indicate oxidative stress.

302

303 **Fig 2. Oxidative stress-related parameters in blood of pregnant and non-pregnant cows**  
 304 **in comfort or under heat stress conditions on Days 10, 14 and 18 post-AI.** A, B, and C  
 305 represent pregnant cows; D, E, and F represent non-pregnant cows. A and D: MDA levels. B  
 306 and E: SOD activity. C and F: CAT activity. Values are presented as mean  $\pm$  S.E.M. The main  
 307 effects of day, group and day by group interaction (day\*group) are indicated. Asterisk  
 308 represents difference at  $P<0.05$  between comfort and heat stressed groups.

309

310 **ISGs expression in PMN from cows in comfort or under heat**  
 311 **stress environment.**

312 Relative mRNA expression of *ISG15*, *OAS*, *MX1* and *MX2* in PMN cells was  
 313 evaluated in comfort or heat stressed cows on Days 10, 14 and 18 after AI (Fig 3). The  
 314 expression of these genes was upregulated in Day 18 pregnant cows in comfort group when  
 315 compared to non-pregnant ( $P<0.05$ ; Fig 3A-D). However, no difference between expression  
 316 of all analyzed ISGs in non-pregnant and pregnant cows was observed when the cows were  
 317 under heat stress (Fig 3E-H).

318

319 **Fig 3. Interferon-stimulated genes expression in polymorphonuclear cells of pregnant**  
 320 **and non-pregnant cows in comfort or under heat stress conditions on Days 10, 14 and 18**  
 321 **post-AI.** A-D represents ISGs of cows in comfort conditions; E-H represents ISGs of heat



322 stressed cows. A and E: *ISG15*; B and F: *OAS*; C and G: *MX1*; D and H: *MX2*. Values are  
 323 presented as mean  $\pm$  S.E.M. The main effects of pregnancy diagnosis (PD), day and day by  
 324 pregnancy diagnosis interaction (day\*PD) are indicated. Asterisk represents difference at  
 325  $P < 0.05$  between pregnant and non-pregnant cows.

326

327 **IFN pathway gene expression in PMN from cows in comfort or**  
 328 **under heat stress environment.**

329 In order to identify IFN signaling, relative mRNA expression of *IFNAR1*, *IFNAR2*,  
 330 *STAT1*, *STAT2*, *JAK1* and *IRF9* in PMN cells was evaluated in comfort or heat stressed cows  
 331 on Days 10, 14 and 18 after AI (fig 4). Besides *IFNAR1*, expression of IFN pathway genes in  
 332 PMN was upregulated in pregnant but not in non-pregnant comfort group cows on Days 14  
 333 and 18 ( $P < 0.05$ ; Fig 4A-F). However, expression of all evaluated IFN pathway genes was not  
 334 different between pregnant and non-pregnant cows under heat stress (Fig 4G-L).

335

336 **Fig 4. Interferon-pathway gene expression in polymorphonuclear cells of pregnant and**  
 337 **non-pregnant cows on comfort or under heat stress conditions.** A-F represents IFN-  
 338 pathway components of cows in comfort conditions; G-L represents IFN-pathway  
 339 components of heat stressed cows. A and G: *IFNAR1*; B and H: *IFNAR2*; C and I: *JAK1*; D  
 340 and J: *STAT1*; E and K: *STAT2*; F and L: *IRF9*. Values are presented as mean  $\pm$  S.E.M. The  
 341 main effects of pregnancy diagnosis (PD), day and day by pregnancy diagnosis interaction  
 342 (day\*PD) are indicated. Asterisk represents difference at  $P < 0.05$  between pregnant and non-  
 343 pregnant cows.

344

345 **ISGs and IFN pathway expression in PMN of pregnant cows in**  
 346 **comfort or under heat stress environment.**

347 For the purpose of identifying differences in pregnant cows, relative mRNA  
 348 expression of ISGs and IFN pathway genes in PMN cells was compared only in pregnant  
 349 cows in comfort or heat stressed environment on Days 10, 14 and 18 after AI (Fig 5). Among  
 350 the ISGs, only *OAS* (Fig 5B) was greater ( $P<0.05$ ) on Day 18 in PMN of comfort cows when  
 351 compared to heat-stressed pregnant cows. When IFN pathway was analyzed, only *JAK1* (Fig  
 352 5I) was greater on Days 14 and 18 and *IRF9* (Fig 5J) ( $P<0.05$ ) on Day 18 was greater in  
 353 comfort group pregnant animals vs heat-stressed pregnant animals. All other genes were not  
 354 different between pregnant cows in comfort or heat stressed environment. The analysis  
 355 performed in non-pregnant cows is shown in S3 Fig.

356

357 **Fig 5. Interferon-stimulated genes and interferon-pathway gene expression in**  
 358 **polymorphonuclear cells of pregnant cows in comfort or under heat stress conditions on**  
 359 **Days 10, 14 and 18 post-AI.** A) *ISG15*; B) *OAS*; C) *MX1*; D) *MX2*; E) *IFNAR1*; F)  
 360 *IFNAR2*; G) *STAT1*; H) *STAT2*; I) *JAK1*; J) *IRF9*. Values are presented as mean  $\pm$  S.E.M.  
 361 The main effects of day, group and day by group interaction (day\*group) are indicated.  
 362 Asterisk represents difference at  $P<0.05$  between comfort and heat stressed groups.

363

364 **Discussion**

365 In order to study the influence of heat stress on early pregnancy in cows, we designed  
 366 and validated experimental model, allowing to evaluate effect of cold and warm season of the  
 367 year on estrous occurrence and pregnancy rate, THI, RT, HR, RR, and daily milk yield. The  
 368 experimental design allowed us to discover the following relevant findings: 1) CL diameter

369 did not differ between comfort and heat stressed cows; however, the progesterone production  
370 was lower in pregnant heat stressed cows; 2) MDA levels were greater in both non-pregnant  
371 and pregnant cows under heat stress, while activity of the anti-oxidant enzymes SOD and  
372 CAT did not have the proportional increase, indicating oxidative stress in cows of both  
373 groups; 3) expression of ISGs and type I IFN pathway genes in neutrophils of comfort  
374 pregnant cows was increasing over time and reached a peak on Day 18, while non-pregnant  
375 cows maintained lower expression; 4) the expression pattern of ISGs and type I IFN pathway  
376 in neutrophils from heat stressed cows did not differ between non-pregnant and pregnant cows  
377 on all days.

378 Pregnant cows in comfort ambient environment display increased gene expression of  
379 genes of the type I IFN signaling pathway along with the expression of ISGs in time  
380 dependent manner, indicating upregulation of the pathway, while activation of the type I IFN  
381 pathway was not detected in pregnant heat stressed cows. Neutrophils are known to be the  
382 first response in the inflammatory process; however, it has been proposed that they could  
383 respond modulating local innate and adaptative immune system [42]. IFNT regulates  
384 expression of genes of the innate immune system in the uterus and also in peripheral immune  
385 cells and other tissues throughout the body [43]. The results presented here indicate that the  
386 embryo via secretion of INT activates the neutrophils responses only in comfort pregnant  
387 cows.

388 The environmental conditions seem to affect the maternal recognition of pregnancy  
389 signaling. Previous *in vitro* study from our group, demonstrated the influence of heat stress on  
390 oxidative stress and IFNT production [44]. Heat stressed pregnant cows did not have the same  
391 increased expression of interferon stimulated and IFN I pathway genes on Day 18 as it was  
392 found in cows in comfort temperature. Also, our data revealed that oxidative stress may be  
393 involved in progesterone production and expression of ISGs and IFN pathway, whereas MDA

394 concentration was increased only in cows of heat stressed group on all experimental days.  
395 Notably, upregulation of genes directly related to maternal recognition of pregnancy was  
396 detected in PMN in dairy cows, which can provide insight into development of a new method  
397 to diagnose pregnancy.

398 Progesterone is the key hormone controlling early pregnancy [45] and its low  
399 concentration in early pregnancy period has been correlated to negative effects on embryo  
400 development and elongation [46]. Heat stress or increased metabolic rate reduce progesterone  
401 level in high daily milk yield cows [47-49]. Furthermore, the decrease of concentration of  
402 progesterone also can be associated with oxidative stress. It has been shown that long-term  
403 moderate oxidative stress reduces the potential for fertility. This effect may be due to poor  
404 follicular quality and consequently decreased progesterone [50]. It is reasonable to suggest  
405 that the reduction of concentration of progesterone without the decrease of CL diameter in  
406 pregnant cows under heat stress are due to oxidative stress present in these cows.

407 The increased SOD and CAT activities maintain low levels of MDA in pregnant cows  
408 in the comfort group; while in the heat stressed pregnant cows increased SOD and CAT  
409 activity is not able to prevent the increase of MDA level, indicating oxidative stress. It is  
410 known that exposure to heat stress results in higher mitochondrial and plasma levels of MDA,  
411 the major product of lipid peroxidation [10] and oxidative cellular stress [51, 52]. It has even  
412 been shown that MDA can be used as a blood marker for oxidative status of dairy cows  
413 during warm season [52]. Although studies show increase of antioxidant enzymes in  
414 hyperthermia situations [10], there is a study showing the decrease in SOD and CAT  
415 enzymes, which resulted in a significant reduction in thermal resistance [53]. The antioxidant  
416 enzymatic process was apparently not effective in cows under heat stress in our study. This  
417 condition seems to characterize a deficient antioxidant enzymatic system in heat stressed  
418 cows.

419           There are many genes upregulated by IFNT in early pregnancy and among all ISGs,  
420 we can highlight *ISG15*, *MX1*, *MX2* and *OAS* [18, 22] because they have greater expression in  
421 neutrophils, compared to other fractions of peripheral blood leucocytes [23]. In general, the  
422 amount of IFNT in the bloodstream is low and thus is difficult to detect, but IFNT activity can  
423 be detected in the bloodstream using radio immune assay [54] and antiviral assay [19, 21].  
424 Another method to detect IFNT-response in the bloodstream is to identify ISGs gene  
425 expression, demonstrating the expressions of ISGs as IFNT endpoint activity. There are  
426 several studies that showed correlation between ISGs expression in peripheral blood  
427 leukocytes (PBL) during early pregnancy [22-24, 26].

428           Interestingly, we observed that *ISG15*, *OAS*, *MX1* and *MX2* genes were upregulated in  
429 PMN from pregnant cows in comfort group on Day 18 following AI, but not in heat stressed  
430 pregnant cows. One study demonstrated that heat stressed pregnant cows have greater ISGs  
431 expression [55], however, the THI in stressed cows in the study were lower than in cows in  
432 our study. The occurrence of heat stress with higher humidity, as in our study, lead to THI  
433 above 80, promoting a subtle increase in the expression of ISGs in stressed cows. The  
434 possible explanation for this observation could be that the embryonic cells that are responsible  
435 for production and secretion of IFNT at the beginning of the embryonic development [56, 57]  
436 were in oxidative stress. This is important because IFNT begins to be significantly expressed  
437 on Day 7 of development [58] and its peak production occurs between days 18 and 20  
438 following conception [59] for the maternal recognition of pregnancy.

439           Based on the upregulation of ISGs by IFNT in PMN leukocytes, we investigated the  
440 type I IFN signaling pathway in PMN cells of non-pregnant and pregnant cows, in comfort or  
441 under heat stress. As expected, the *IFNAR2* receptor, *JAK1*, *STAT1* and *STAT2* cascade and  
442 *IRF9* regulatory factor were upregulated on Days 14 and 18 following AI in pregnant cows in  
443 comfort; however, no difference was observed in all IFN pathway genes of pregnant cows

444 under heat stress. The increase of ISGs in PMN from pregnant cows only on Days 14 and 18  
445 may be explained by the fact that the embryo did not start to elongate before Day 10, and,  
446 consequently, there is not enough amount of IFNT leaving the uterus at this time [60]. IFNT  
447 was found to modulate IFNAR2 subunit [23], and our *in vivo* data demonstrate upregulated  
448 IFNAR2 but not IFNAR1 in PMN from cows in comfort. This suggests the receptor subunit  
449 controlled by IFNT is IFNAR2. Pregnant cows under heat stress conditions did not show the  
450 same pattern of ISGs and IFN pathway gene expression when compared to pregnant comfort  
451 cows. Although, when we compared pregnant cows in comfort to heat stressed cows, there  
452 were no differences in ISGs and IFN pathway gene expression. We believe that oxidative  
453 stress not only decreases concentration of progesterone, but also impairs IFN gene pathway  
454 and ISGs expression, as well as activation of interferon-primed neutrophils. One study  
455 characterized genes and pathways that respond to heat stress in Holstein calves, where the  
456 transcriptome analysis showed that expression of genes such as IFNAR2 and STATs is  
457 increased in response to heat stress [61]. Another study reported that JAKs are redox-sensitive  
458 enzymes [62]. These findings support our hypothesis that cows under influence of heat and  
459 oxidative stress, even if they are pregnant, have a distinct response regarding to IFNT  
460 endocrine signaling in PMNs. This response makes it difficult to accurately use expression of  
461 these ISGs to identify early pregnancy. In addition to the effects of HS on IFNT signaling  
462 [44], IFNT has been shown to modulate local and systemic innate immune response, carried  
463 out mainly by neutrophils [43, 63]. Neutrophils are essential for innate immunity and  
464 resistance to pathogens, not only acting as a final effector of an acute inflammatory response,  
465 but also secreting factors such as cytokines to activate cells of innate and adaptive immune  
466 response. They respond to tissue- and cell-derived signal undergoing polarization [42]. This  
467 led us to consider that IFNT and other embryokines may activate circulating neutrophils,  
468 required in early pregnancy to protect the mother as a first defense barrier against a viral

469 infection, as shown by Shoemaker, Smirnova [64]; And this may be impaired in stressed (heat  
470 and/or oxidative) environments. Exactly how HS and IFNT modulate local and systemic  
471 immune response through early pregnancy remains unclear.

472 In conclusion, the present study observed that oxidative stress caused by heat stress  
473 decreases progesterone production and alters ISGs and IFN pathway gene expression in PMN  
474 cells of dairy cows in early pregnancy (Fig 6). The heat stress in pregnant cows not only  
475 impairs the ISGs gene expression but also interferes with IFN pathway activation, possibly  
476 modifying systemic innate immune response. Lower conception rates in cows under heat  
477 stress are influenced by several factors, and the unbalanced oxidative stress associated with  
478 impaired IFN pathway activation influencing innate immunity response could be one of the  
479 main contributing factors.

480

481 **Fig 6. Schematic model illustrating the main conclusions.** A) Cows in comfort  
482 environment maintains oxidative balance regardless of pregnancy status. Type I IFN pathway  
483 and ISGs genes were upregulated on Day 18 in PMN cells of pregnant cows. However, B)  
484 Heat stress conditions induce oxidative stress in pregnant and non-pregnant cows. In pregnant  
485 cows, HS decreases progesterone concentration and impairs ISGs and Type I IFN pathway  
486 gene expression in PMN cells.

487

## 488 **Declaration of interest**

489 The authors have nothing to declare.

490

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500

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726

## 727 **Supporting information**

728 **S1 Table. Temperature-humidity index (THI) calculated on experimental days on two different**  
729 **seasons.** The samples from cows of the comfort group were collected on late winter/early spring  
730 and the samples from the cows of heat stressed group were collected on summer.

731

732 **S1 Fig. Effect of season on rectal temperature (RT), heart rate (HR) and respiratory**  
733 **rate (RR) in cows on comfort or under heat stress conditions.** RT, HR and RR were

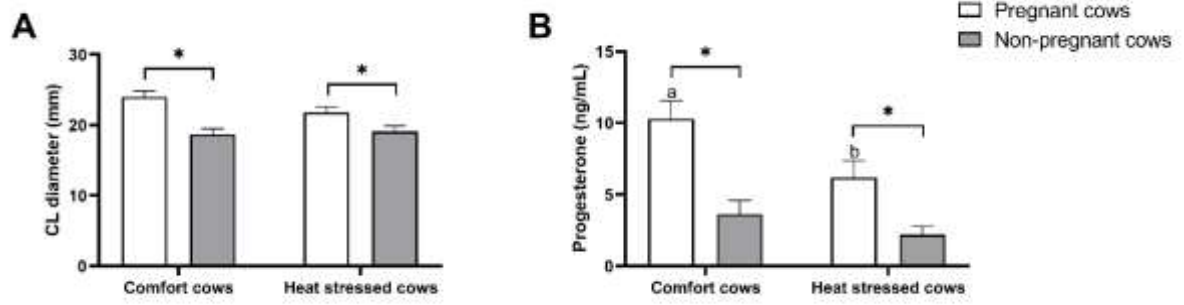
734 measured on Days 10, 14 and 18 after AI. A) RT (°C) was measured with a large animal  
735 clinical thermometer inserted to depth of 3 cm into the animal rectum and held to maintain  
736 contact with the mucosa for one minute. B) HR was expressed in beats per minute (bpm) and  
737 was obtained using a flexible stethoscope placed directly into the left thoracic region under  
738 one of the auscultation foci for 30 seconds, multiplied by 2 to obtain the number of heart beats  
739 per minute. C) RR was expressed in breaths per minute (bpm) and was obtained using a timer  
740 to count the flank movements of the animal for 30 seconds, multiplied by 2 to obtain the  
741 number of breaths per minute. Values are presented as mean  $\pm$  S.E.M. Asterisk represent  
742 significance at  $p < 0.05$  between comfort and heat stressed cows.

743

744 **S2 Fig. Effect of season on productive performance of dairy cows on comfort or under**  
745 **heat stress conditions.** A) Daily milk yield (kg) was measured during the two milking on AI  
746 day of each animal. B) Days in milk started on the last calving until AI day. Values are  
747 presented as mean  $\pm$  S.E.M. Asterisk represent significance at  $p < 0.05$  between comfort and  
748 heat stressed cows groups.

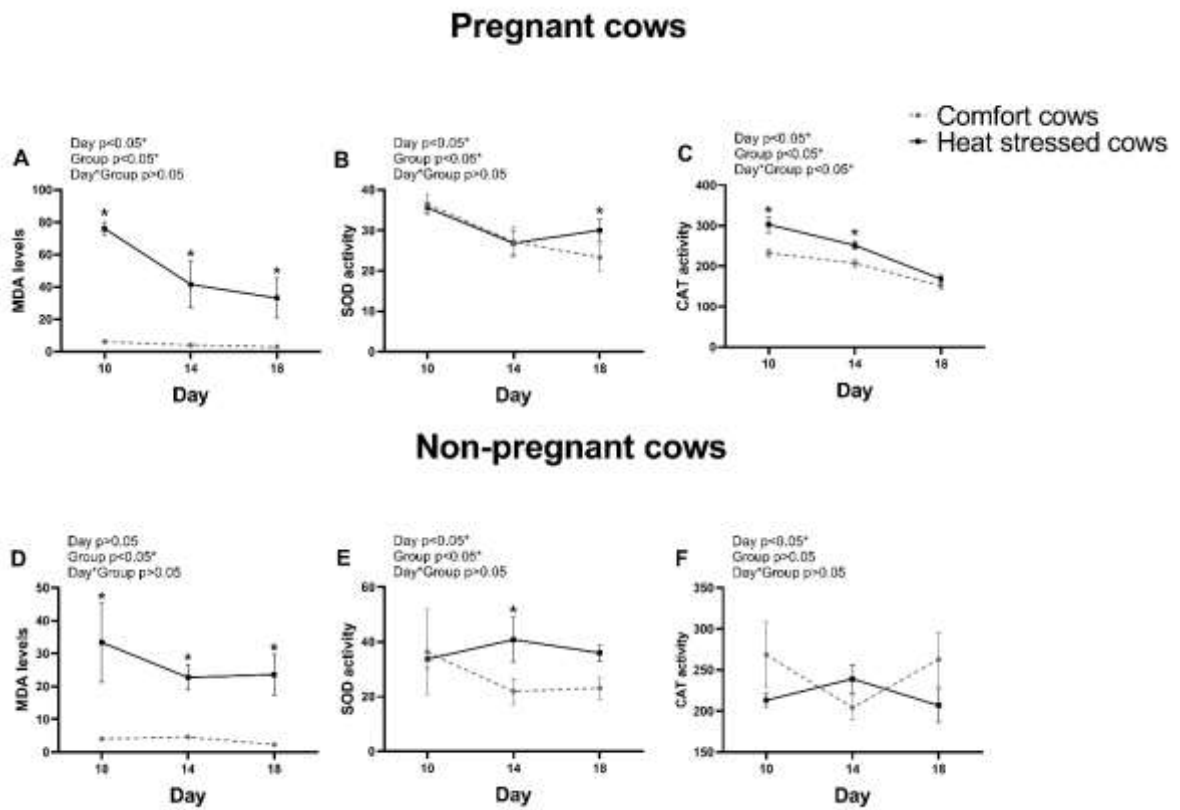
749

750 **S3 Fig. Interferon-stimulated genes and interferon-pathway gene expression in**  
751 **polymorphonuclear cells on Days 10, 14 and 18 post-AI of non-pregnant cows compared**  
752 **on comfort or under heat stress conditions.** A) *ISG15*. B) *OAS*. C) *MX1*. D) *MX2*. E)  
753 *IFNAR1*. F) *IFNAR2*. G) *STAT1*. H) *STAT2*. I) *JAK1*. J) *IRF9*. Values are presented as mean  $\pm$   
754 S.E.M. The main effects of day, group and day by group interaction (day\*group) are  
755 indicated. Asterisk represent difference at  $p < 0.05$  between comfort and heat stressed groups.

756 **Fig 1.**

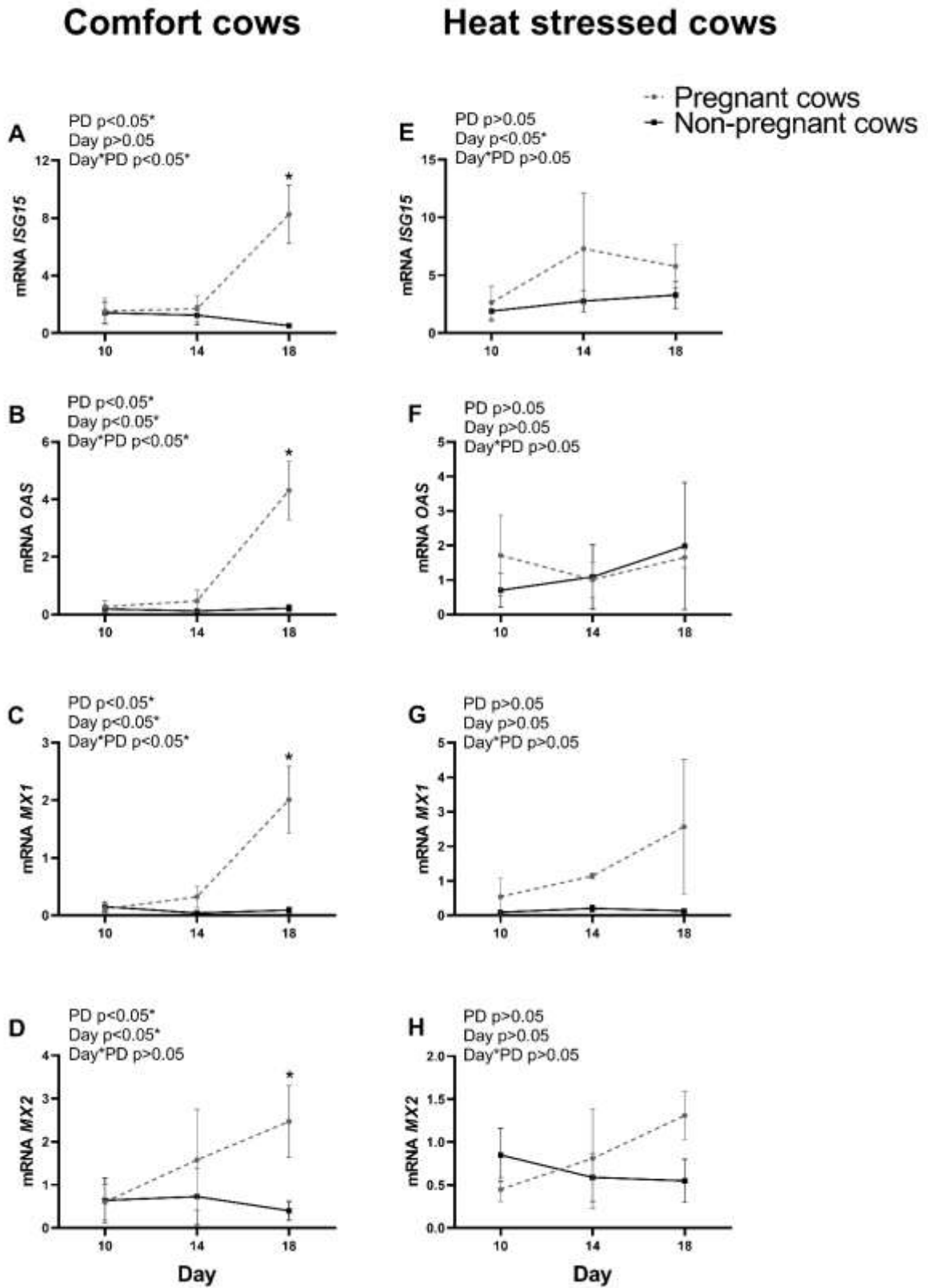
757

758 Fig 2.

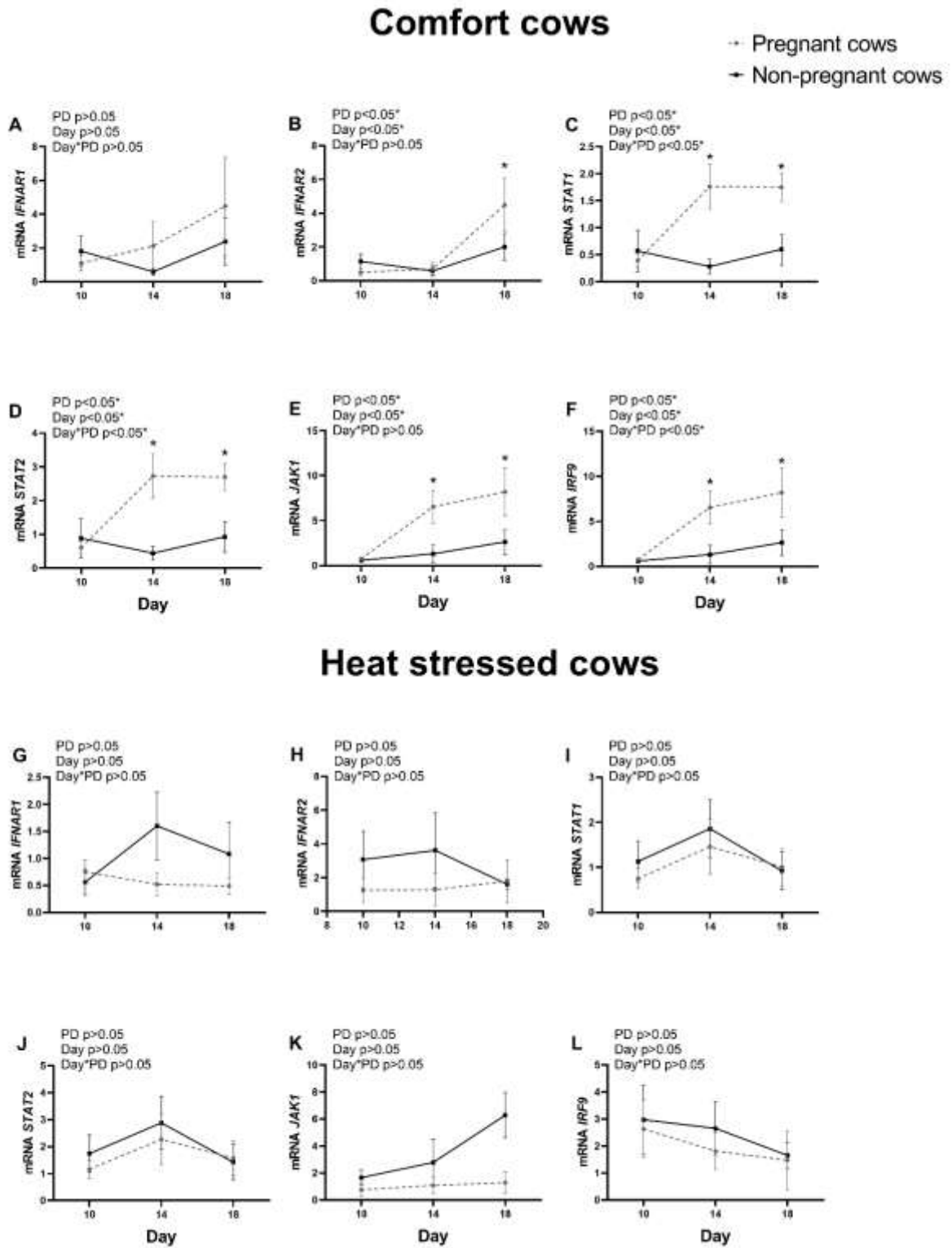


759

760 Fig 3.

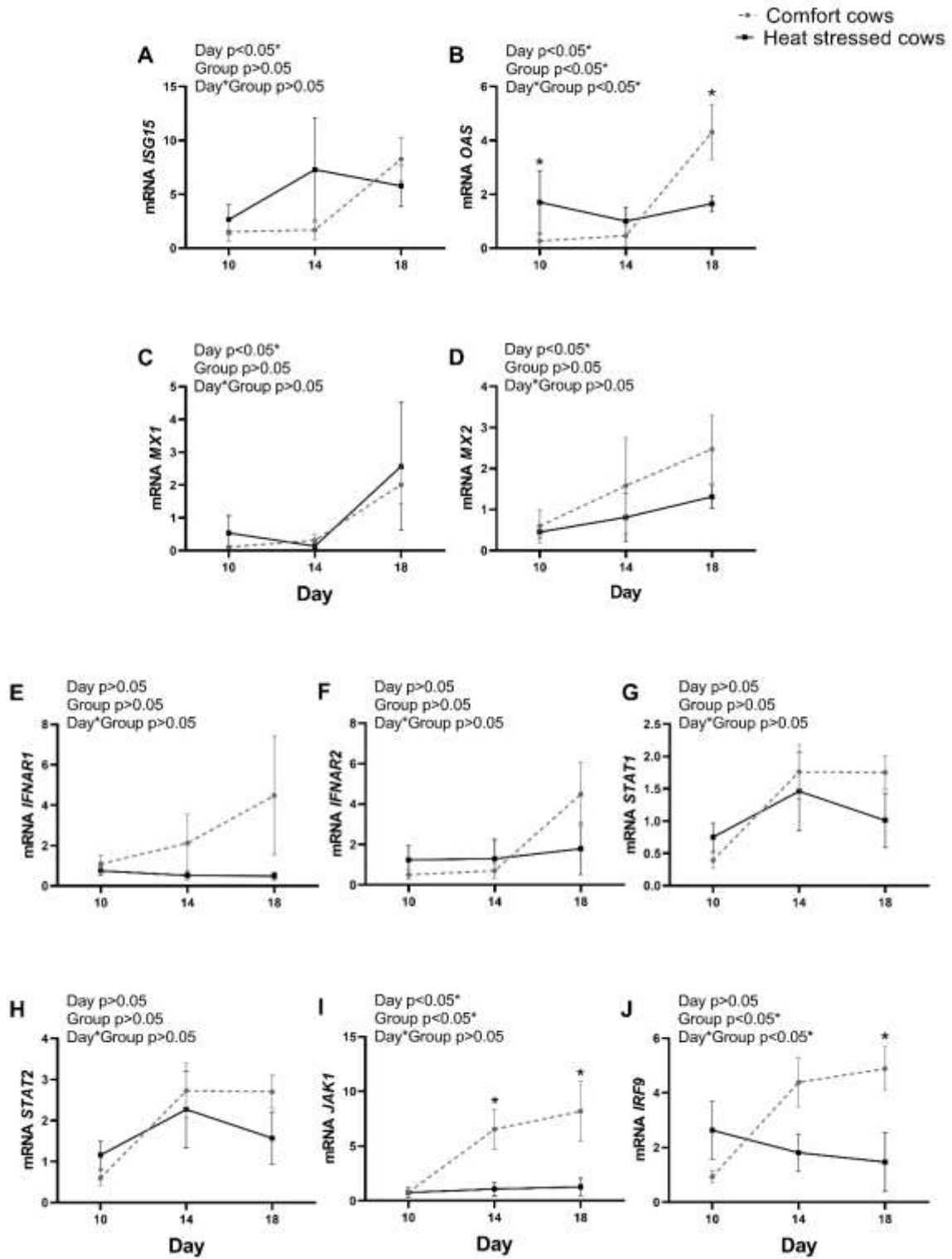


762 Fig 4.

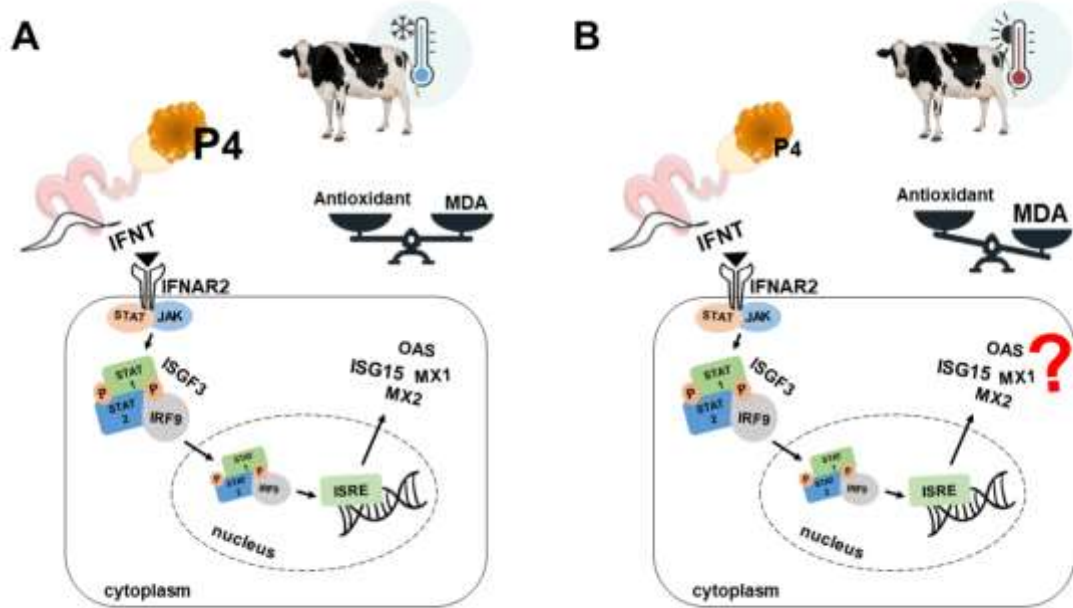


764 Fig 5.

## Pregnant cows



766 Fig 6.



767

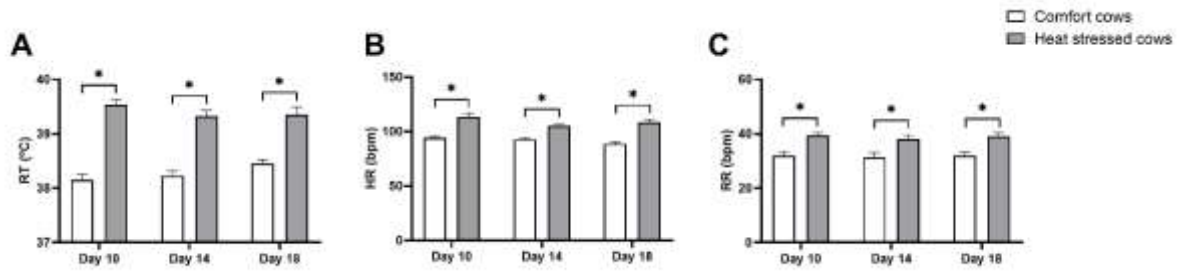


768 **S1 Table.**

<b>Days</b>	<b>Comfort cows</b>	<b>Heat stressed cows</b>
<b>0</b>	67.5	83.6
<b>10</b>	67.4	81.8
<b>14</b>	69.8	84.7
<b>18</b>	70.4	82.0

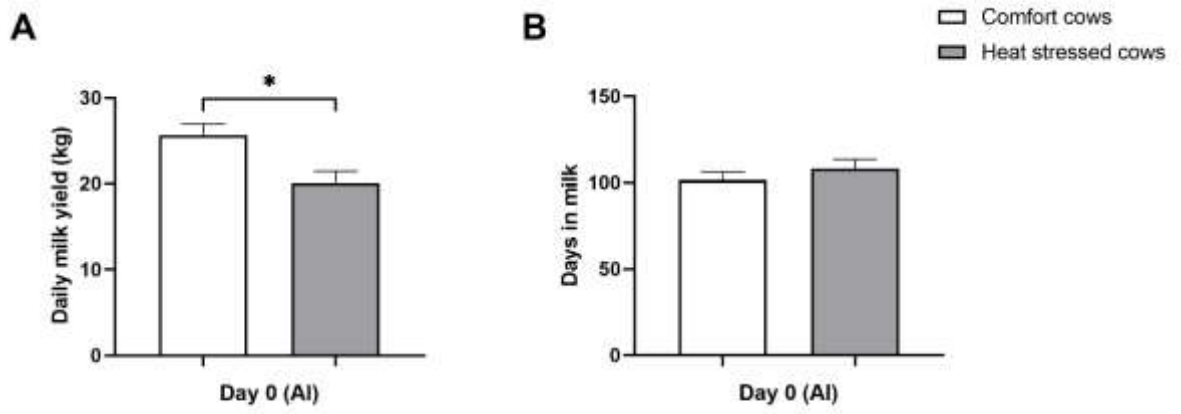
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770 S1 Fig.



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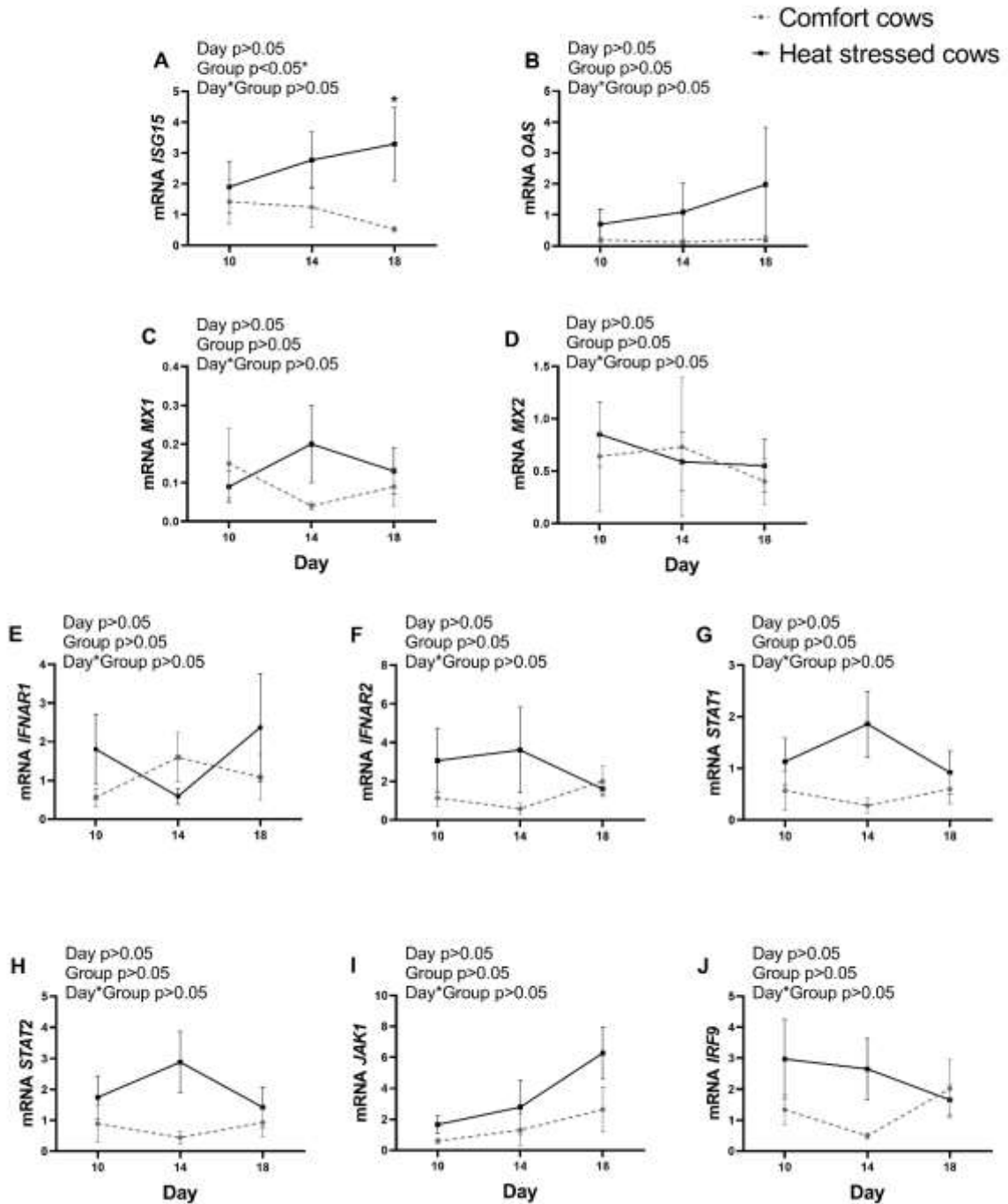
772 S2 Fig.



773

774 S3 Fig.

### Non-pregnant cows



775

**ARTIGO 2**

TRABALHO SUBMETIDO PARA PUBLICAÇÃO

**Heat stress modulates polymorphonuclear cell response in early pregnancy cows: II. pro-  
and anti-inflammatory markers**

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Quites Antoniazzi

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1 **Heat stress modulates polymorphonuclear cell response in early pregnancy cows: II.**  
2 **pro- and anti-inflammatory markers**

3

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13

14 **Short title:** Heat stress, pro- and anti-inflammatory marker genes

15

16 **+ These authors contributed equally**

17 **Abstract**

18 High environmental temperature impacts immune functions, which may lead to pregnancy  
19 loss. We hypothesized the heat stress (HS) alters mRNA of pro- and anti-inflammatory genes  
20 in polymorphonuclear cells (PMNs) response towards a pro-inflammatory state in early  
21 pregnant dairy cows. In our study, PMNs were characterized during comfort or HS seasons on  
22 anti-inflammatory and pro-inflammatory markers. Cows had their estrous cycle synchronized  
23 and artificially inseminated (AI) during the comfort or HS season. Pregnancy was determined  
24 by ultrasound on Days 30 and 60 post-AI. Blood samples were collected on Days 10, 14, and  
25 18 post-AI to analyze mRNA expression on PMNs. Our results provide evidence that HS  
26 upregulates pro-inflammatory genes (*IL17*, *NFKB* and *IL1B*) and also upregulates anti-  
27 inflammatory genes *ARG1*, *TGFB*, and *IFNA* in PMNs of early pregnancy. *IFNG* and *INOS*  
28 were regulated only by HS and *IL10* only by pregnancy. Early pregnancy establishment  
29 requires an anti-inflammatory PMNs response, and a sudden shift to a pro-inflammatory can  
30 cause pregnancy loss. Our results indicate that HS alters anti- and pro-inflammatory status in  
31 early pregnant dairy cows. The PMNs response varies from pro- and anti-inflammatory during  
32 HS, possibly to maintain inflammation while preventing extensive damage in early  
33 pregnancy.

34

35 **Keywords:** hyperthermia, inflammation, pregnancy, immune response

## 36 1. Introduction

37           Pregnancy loss in dairy cows is a factor that negatively influences reproductive  
38 performance and occurs more frequently in the first 30 days of pregnancy (Wiltbank et al.,  
39 2016). During the summer, heat stress (HS) disrupts several reproductive processes, resulting  
40 in a significant decline in dairy cows conception rate worldwide (De Rensis and Scaramuzzi,  
41 2003). Exposure to higher temperatures suppresses the immune response in dairy cows,  
42 increases the risk of mastitis (Zhong et al., 2020a, 2020b) and somatic cell count (Bertocchi et  
43 al., 2014; Zhong et al., 2020c), impairs follicular development and steroidogenesis, reduces  
44 gametes quality, and increases to oxidative stress (Amaral et al., 2020; Roth, 2015).

45           The success of pregnancies depends on an immune challenge: the unresponsiveness to  
46 the semi-allogeneic fetus *in utero* and the responsiveness to pathogens (Kropf et al., 2007). In  
47 ruminants, maternal recognition of pregnancy is period the conceptus signals to the maternal  
48 system from Days 10-25 of pregnancy (Godkin et al., 1984). The major signaling molecule  
49 involved is interferon tau (IFNT) (Farin et al., 1990). IFNT acts in several tissues and cells,  
50 including immune cells (Green et al., 2010; Kizaki et al., 2013; Shirasuna et al., 2012).  
51 Immune cell's response to IFNT can be classically characterized by the expression of  
52 interferon-stimulated genes (ISGs) (Kizaki et al., 2013; Oliveira et al., 2008; Shirasuna et al.,  
53 2012), but also by the expression of pro- and anti-inflammatory genes (Talukder et al., 2019,  
54 2018).

55           Immunity can be described as the mechanism by which the organism protects it host  
56 from disease (Ratcliffe, 1989). Usually, these components act in a collective and coordinated  
57 manner towards a foreign molecule generating an immune response, termed innate and  
58 adaptive immunity. Innate immunity represents the first line of defense, it is a mechanism that  
59 relies on a numbered repertoire of receptors shared by a large group of foreign antigens like  
60 microbes, injured or dead host cells (Turvey and Broide, 2010). It starts to generate a



61 protective inflammatory response immediately or within hours after exposure to the pathogen.  
62 Also, innate immunity has a central role in activating the adaptive immune response (Arck  
63 and Hecher, 2013; Beutler, 2004). Conversely, adaptive immunity has a repertoire of  
64 recognition for both self- and non-self-antigens (Bonilla and Oettgen, 2010). This type of  
65 response entails a closely regulated relationship between antigen-presenting cells and T and B  
66 lymphocytes, which promotes the development of immunologic memory, the activation of  
67 pathogen-specific effector pathways, and the control of host immunological homeostasis  
68 (Bonilla and Oettgen, 2010; Marshall et al., 2018; Tian, 2010).

69 Polymorphonuclear cells (PMNs) may be essential in the implantation process due  
70 their higher sensitivity to early embryonic signals compared to other leukocytes (Manjari et  
71 al., 2016). Pregnant cows' PMNs had greater expression of anti-inflammatory markers such as  
72 transforming growth factor-beta (TGFB), interleukin 10 (IL10), and forkhead box P3  
73 (FOXP3) and suppressed pro-inflammatory marker tumor necrosis alpha (TNFA) (Talukder et  
74 al., 2019). The expression of pro- and anti-inflammatory genes in bovine leukocytes is also  
75 altered by heat stress (Inbaraj et al., 2016; Lacetera et al., 2005).

76 In dairy cows, severe HS alters peripheral mononuclear blood cells (PBMCs)  
77 proliferation capacity (Lacetera et al., 2005) and impairs phagocytosis, and leads to reactive  
78 oxygen species (ROS) production in PMNs (Amaral et al., 2021; Lecchi et al., 2016),  
79 suggesting that HS induces higher infection rates. The ROS constitute the oxidant system,  
80 which comprises indispensable molecules for embryo implantation and uterine and cervical  
81 modification during the last gestational phase. However, it is important to balance oxidative  
82 stress when it can reach high levels, such as during early and late pregnancy (Sciorsci et al.,  
83 2020).

84 Considering the effects of HS on innate immune response and also the effects of  
85 pregnancy on the innate immune response, we hypothesize the heat stress shifts the innate

86 immune response genes in early pregnant dairy cows from anti-inflammatory towards a pro-  
87 inflammatory state. Consequently, this study aims to describe PMN profile of non-pregnant  
88 and pregnant dairy cows during comfort or heat stress using anti-inflammatory (*TGFB*, *ARG1*,  
89 *IL10*, *VEGF*, and *IFNA*) markers that confer a more tolerant phenotype, and pro-inflammatory  
90 (*IL17*, *TNFA*, *IFNG*, *IL1b*, *NFKB*, *CCL2*, and *INOS*) markers that present a more suppressive  
91 phenotype.

92

## 93 **2. Material and methods**

### 94 **2.1. Chemicals**

95 Unless otherwise indicated, chemicals and reagents were purchased from Sigma  
96 Chemical Company (Sigma-Aldrich, St. Louis, MO, USA).

97

### 98 **2.2. Cattle and herd management**

99 Thirty-two Holstein cows in lactation from the same herd were included in this study.  
100 The multiparous cows were 3 to 6 years old, body condition score greater than 2.5 (1=thin and  
101 5=fat on a scale of 1 to 5), milked twice a day, and fed complete ration and corn silage, with  
102 *ad libitum* access to water. Cows presented no detectable reproductive and clinical diseases  
103 during the experimental period. The study was approved by the Animal Care Use Committee  
104 (CEUA-UFSM # 5728120217) of Federal University of Santa Maria.

### 105 **2.3. Experimental design, synchronization protocol, and artificial insemination**

106           The experimental design was conducted according our previous studies (Amaral et al.,  
107 2021). Briefly, the experiment was managed during two distinct seasons on the same  
108 commercial dairy farm. Comfort cows (n=15) were collected in September (Late Winter/Early  
109 Spring) and the heat-stressed cows (n=17) were collected in January (Summer). Cows were  
110 considered at HS when the temperature and humidity index was above 74 as suggested  
111 (Armstrong, 1994). Both groups had their estrous cycle synchronized with the same protocol  
112 (Pereira et al., 2015). Only animals that exhibited standing estrus were included in the  
113 experiment (Comfort group n=12; Heat Stressed group n=13). Artificial insemination (AI)  
114 was performed using conventional semen (ST genetics®) stored in liquid nitrogen, and  
115 thawed at 36°C for 30 seconds for subsequent AI (Amaral et al., 2021).

116

### 117 **2.4. Blood sample collection and isolation of polymorphonuclear peripheral blood cells**

118           Blood was collected from the coccygeal vein using a 21G needle coupled to a vacuum  
119 collection system (BD Vacutainer®) in EDTA-containing tubes on Days 10, 14, and 18  
120 following AI for isolation of polymorphonuclear cells (PMNs), as described (Amaral et al.,  
121 2021). PMN samples were stored at -80°C for subsequent total RNA extraction. After  
122 isolation of PMN fraction, a glass-slide fraction-film was prepared to determine the purity of  
123 each fraction. A differential cell count was performed by identifying 100 consecutive  
124 leukocytes using a 100x objective. Samples above 95% of specific cell type (PMN) (Kizaki et  
125 al., 2013) were included in this study.

126

### 127 **2.5. Pregnancy diagnosis by ultrasound scanning**

128 In both groups, pregnancy status was evaluated using a Mindray DP10 ultrasound with  
129 a 6.5 MHz linear transducer. Pregnancy rate was determined by dividing the number of  
130 pregnant cows at the pregnancy diagnosis at 30- and 60-days following AI by the total  
131 number of cows artificially inseminated (P/AI).

132

## 133 **2.6. RNA extraction, reverse transcription, and real-time PCR**

134 Total RNA was extracted from the samples using Tri Reagent (BD), according to the  
135 manufacturer's recommendations. Quantification and estimation of RNA purity were  
136 performed using Nanodrop spectrophotometer (Thermo Scientific, Waltham, MA, USA).  
137 RNA was treated with DNase Amplification Grade (Thermo Fisher, Waltham, MA, USA) for  
138 15 minutes at 27°C to degrade any DNA molecules. DNase was inactivated with 1 µl EDTA  
139 for 10 minutes at 65°C. Reverse transcription and quantitative polymerase chain reaction  
140 (qPCR) were conducted in a thermocycler (BioRad, Hercules, CA, USA) as described method  
141 (Amaral et al., 2021). Samples were run in duplicates and the results of expression of all  
142 analyzed genes were expressed by  $\Delta\Delta Cq$  method, using *GAPDH* and *RPS18* as reference  
143 genes, as described (Pfaffl, 2001). The genes assessed in this study are presented in Table 1.

144

## 145 **2.7. Statistical analysis**

146 Continuous data were checked for normality using the Shapiro-Wilk test and data that  
147 did not follow a normal distribution were transformed to logarithms. mRNA expression data  
148 were analyzed by repeat measures (MANOVA) for within-group analysis and standard least  
149 squares for between-group (comfort vs. heat stress and pregnant vs. non-pregnant cows)  
150 analysis. The main effects of day, pregnancy diagnosis (PD), treatment group, day by group  
151 interaction (day\*group), or day by pregnancy diagnosis interaction (day\*PD) were indicated.  
152 All data analysis was performed using the JMP7 Software (SAS Institute Inc., Cary, NC,

153 USA). Results are presented as mean  $\pm$  standard error of the mean (SEM), and  $P < 0.05$  was  
154 considered significant.

155

### 156 **3. Results**

#### 157 **3.1. Physiological and reproductive parameters of cows on comfort or under heat stress** 158 **environment**

159 It was observed that the THI was elevated during the heat stress environment,  
160 compared to the comfort environment. HS affected both RT, HR, and RR of dairy cows  
161 ( $p < 0.05$ ), and this was evident on all measurement days. In relation to milk production, heat  
162 stressed cows had lower daily milk yield than the cows that were on comfort. Effect of season  
163 on estrous occurrence and pregnancy rate were not different between groups ( $P > 0.05$ ). Estrus  
164 occurrence rate was 80% in comfort group and 76.47% in heat stressed group. Pregnancy rate  
165 was 50% in comfort group and 38.46% in heat stressed group (Amaral et al., 2021).

166

#### 167 **3.2. Pro- and anti-inflammatory markers mRNA expression in PMN cells of non-** 168 **pregnant or pregnant cows in comfort**

169 Relative mRNA expression of *ARG1*, *TGFB*, *IL10*, *VEGF*, *IL17*, *IFNA*, *IFNG*, *TNFA*,  
170 *IL1B*, *NFKB*, *CCL2*, and *INOS* was compared in PMNs of non-pregnant and pregnant cows in  
171 the comfort group on Days 10, 14 and 18 after AI (Fig 1). Expression of *ARG1* (Fig 1A),  
172 *VEGF* (Fig 1D), *IFNG* (Fig 1G), *TNFA* (Fig 1H), *CCL2* (Fig 1K), and *INOS* (Fig 1L) did not  
173 differ ( $P > 0.05$ ) between non-pregnant and pregnant cows in comfort season. However, anti-  
174 inflammatory markers *TGFB* (Fig 1B), *IL10* (Fig 1C), and *IFNA* (Fig 1E) were upregulated  
175 on Day 18 in pregnant cows ( $P < 0.05$ ). Among the pro-inflammatory markers, *IL1B* (Fig 1I)

176 was upregulated on Days 10, 14, and 18, and *IL17* (Fig 1F) and *NFKB* (Fig 1J) were  
177 upregulated on Day 18 in pregnant cows ( $P<0.05$ ).

178

### 179 **3.3. Pro- and anti-inflammatory markers mRNA expression in PMN cells of non-** 180 **pregnant or pregnant cows in heat stress**

181 Relative mRNA expression of *ARG1*, *TGFB*, *IL10*, *VEGF*, *IL17*, *IFNA*, *IFNG*, *TNFA*,  
182 *IL1B*, *NFKB*, *CCL2*, and *INOS* was compared in PMNs of non-pregnant and pregnant cows in  
183 heat stress on Days 10, 14 and 18 after AI (Fig 2). Abundance of *VEGF* (Fig 2D), *TNFA* (Fig  
184 2H), *IL1B* (Fig 2I), and *CCL2* mRNA (Fig 2K) did not differ ( $P>0.05$ ) between non-pregnant  
185 and pregnant cows in heat stress. Among the anti-inflammatory marker genes, *ARG1* (Fig  
186 2A), *TGFB* (Fig 2B), and *IFNA* (Fig 2E) were upregulated on Day 18 in leukocytes of  
187 pregnant cows ( $P<0.05$ ); *IL10* (Fig 2C) was upregulated on Day 14 in pregnant cows  
188 ( $P<0.05$ ). However, pro-inflammatory marker genes *IL17* (Fig 2F), *IFNG* (Fig 2G), and  
189 *NFKB* (Fig 2J) were upregulated on Day 18 in pregnant cows ( $P<0.05$ ); and *INOS* (Fig 2L)  
190 was upregulated on Days 10, 14 and 18 in pregnant cows ( $P<0.05$ ).

191

### 192 **3.4. Pro- and anti-inflammatory markers mRNA expression in PMN cells of non-** 193 **pregnant cows under comfort or heat stress**

194 To identify differences in non-pregnant cows, relative mRNA expression of *ARG1*,  
195 *TGFB*, *IL10*, *VEGF*, *IL17*, *IFNA*, *IFNG*, *TNFA*, *IL1B*, *NFKB*, *CCL2*, and *INOS* mRNA was  
196 compared in PMNs only in non-pregnant cows in a comfort or heat stress on Days 10, 14 and  
197 18 after AI (Fig 3). mRNA of *ARG1* (Fig 3A), *IL10* (Fig 3C), *VEGF* (Fig 3D), *TNFA* (Fig  
198 3H), *CCL2* (Fig 3K), and *INOS* mRNA (Fig 3L) did not differ ( $P>0.05$ ) in non-pregnant cows  
199 in comfort or heat stress among all days. But in heat-stressed non-pregnant cows, the anti-  
200 inflammatory marker genes *TGFB* (Fig 3B) and *IFNA* (Fig 3E) were upregulated on Day 18

201 after AI ( $P < 0.05$ ). Among pro-inflammatory marker genes, *IL17* (Fig 3F), *IFNG* (Fig 3G),  
202 and *NFKB* (Fig 3J) were upregulated on Day 18 after AI ( $P < 0.05$ ) in heat-stressed cows; and  
203 mRNA abundance *IL1B* (Fig 3I) was upregulated on Days 10 and 18 after AI ( $P < 0.05$ ) in  
204 heat-stressed cows.

205

### 206 **3.5. Pro- and anti-inflammatory markers mRNA expression in PMN cells of pregnant** 207 **cows under comfort or heat stress**

208 Relative mRNA expression of *ARG1*, *TGFB*, *IL10*, *VEGF*, *IL17*, *IFNA*, *IFNG*, *TNFA*,  
209 *IL1B*, *NFKB*, *CCL2*, and *INOS* was compared in PMNs of pregnant cows in comfort or heat  
210 stress on Days 10, 14 and 18 after AI (Fig 4). *ARG1* (Fig 4A), *VEGF* (Fig 4D), *TNFA* (Fig  
211 4H), *IL1B* (Fig 4I), *CCL2* (Fig 4K), and *INOS* (Fig 4L) mRNA abundance did not differ  
212 ( $P > 0.05$ ) in pregnant cows in comfort or heat stress among all days analyzed. Among the anti-  
213 inflammatory marker genes, *TGFB* (Fig 4B) and *IFNA* (Fig 4E) were upregulated on Day 18  
214 of heat-stressed pregnant cows ( $P < 0.05$ ); *IL10* (Fig 4C) was upregulated on Days 14 and 18 of  
215 heat-stressed pregnant cows ( $P < 0.05$ ). Among pro-inflammatory marker genes, *IL17* (Fig 4F),  
216 *IFNG* (Fig 4G), and *NFKB* (Fig 4J) were upregulated on Day 18 after AI ( $P < 0.05$ ) in heat-  
217 stressed pregnant cows.

218

## 219 **4. Discussion**

220 This study provides an overview of the influence of heat stress on early pregnancy in  
221 cows considering genes indicators pro- and anti-inflammatory. The experimental model  
222 evaluated the effect of cold and warm seasons of the year on the estrous cycle and pregnancy  
223 rates, temperature-humidity index, rectal temperature, heart rate, and respiratory rate was  
224 published by our group (Amaral et al., 2021; Supporting Information). Our previous study  
225 demonstrated that the expression of ISGs and type I IFN pathway genes in PMNs of pregnant

226 cows in comfort increased in a time-dependent manner by Day 18, while non-pregnant cows  
227 kept a baseline expression. The expression of ISGs and type I IFN pathway in PMNs from  
228 heat-stressed cows did not differ between non-pregnant and pregnant cows on all days.  
229 Malondialdehyde (MDA) levels were higher in both non-pregnant and pregnant cows under  
230 heat stress. At the same time, the activity of the antioxidant enzymes superoxide dismutase  
231 (SOD) and catalase (CAT) did not have a proportional increase, indicating that heat stress  
232 leads to oxidative stress (Amaral et al., 2021). For this reason, the results of the study  
233 presented herein characterize the pro- and anti-inflammatory mRNA expression in PMNs in  
234 non-pregnant and pregnant cows during comfort or heat stress seasons; and verify if stressors  
235 like pregnancy and heat stress alter these indicators.

236 In heated-stressed pregnant cows, *ARG1* mRNA level peaked on Day 18. *ARG1* is an  
237 essential regulatory enzyme for the availability of arginine (Bronte et al., 2003; Kropf et al.,  
238 2007). Arginine is a substrate for both *ARG1* and *INOS*, distinctive anti- and pro-  
239 inflammatory markers, respectively (Maarsingh et al., 2006). To diminish the severity of HS,  
240 *ARG1* can create an anti-inflammatory milieu and suppress pro-inflammatory reactions  
241 (Bronte et al., 2003; Gobert et al., 2004; Müller et al., 2009). *IL4*, *IL10*, and, mainly, *TGFB*  
242 can activated *ARG1* (Chatterjee et al., 2006; Gobert et al., 2004). Additionally, the  
243 upregulation of *ARG1* and *INOS* in heat-stressed pregnant cows on Day 18 may inhibit T cell  
244 activation (Müller et al., 2009). The greater level of *ARG1* mRNA was observed on Day 18  
245 HS pregnant cows may reflect maternal immune suppression.

246 The anti-inflammatory marker *TGFB* was upregulated on Day 18 in comfort and heat-  
247 stressed cows, independent of pregnancy status. Changes in cytokine levels typically indicate  
248 a shift from pro- to anti-inflammatory immunity in response to HS (Kelley et al., 1982).  
249 According to several studies, *TGFB* may induce the expression of the heat-shock proteins  
250 *HSP70* and *HSP90* in embryos and pancreatic cells exposed to high temperatures (Takenaka



251 and Hightower, 1992; Weber et al., 2000). The *TGFB* mRNA abundance on this present study  
252 is comparable to those of hyperthermia-induced overexpression. Greater *TGFB* mRNA level  
253 may be linked to defense against the negative effects of HS (Flanders et al., 1993). Therefore,  
254 *TGFB* mRNA upregulation in response to HS might be a part of a process to generate cellular  
255 defense against stressful conditions (Weber et al., 2000). Conversely, early pregnancy may  
256 also be considered as a stressor that increases *TGFB* expression to generate an anti-  
257 inflammatory environment (Talukder et al., 2018). A comparison between pregnant cows in  
258 comfort or HS demonstrates that heat-stressed cows had greater *TGFB* mRNA increase on  
259 Day 18, suggesting that pregnancy-derived signals, possibly IFNT, modulate PMN response  
260 to a tolerant environment.

261 In the same way, *IFNA* mRNA abundance was regulated by both pregnancy and heat  
262 stress, isolated or together. *IFNA* is a member of Type I IFN family, which comprises  
263 cytokines important for bridging innate and adaptive defenses to shield the organism against  
264 viral infection (González-Navajas et al., 2012). *IFNA* has a 50% homology with IFNT, and is  
265 known to use the same signaling pathway (González-Navajas et al., 2012). It is an important  
266 cytokine for the host defense and maintenance of the immunological balance (Kopitar-Jerala,  
267 2017; Liu et al., 2019). However, *IFNA* mRNA level was upregulated in Day 18 non-pregnant  
268 and pregnant heat-stressed cows. Type I IFN are key mediators to innate immune response  
269 with pleiotropic effects in many cell types (Pestka et al., 2004). The expression of *IFNA*  
270 mRNA during stressful situations like HS might suggest PMNs still a protective role.

271 In both comfort and heat stress conditions, pregnant cows expressed more *IL10*  
272 mRNA than non-pregnant cows. However, in non-pregnant cows, *IL10* mRNA abundance did  
273 not differ between comfort and heat-stressed animals, indicating that pregnancy but not HS  
274 was the source of *IL10* regulation. Coincidentally, the greater expression of *IL10* mRNA in  
275 pregnant cows was on Day 18, the same way as IFNT (Amaral et al., 2021). *IL10* is related to

276 immune tolerance during pregnancy establishment. IL10 binds to a specific receptor complex  
277 and activates the Janus kinase (JAK/STAT) signaling pathway (Cheng and Sharma, 2016; Wu  
278 et al., 2017). IL10 and IFNA can be upregulated by the JAK/STAT signaling pathway  
279 (González-Navajas et al., 2012; Wu et al., 2017), but not only by it, as JAK/STAT pathway is  
280 upregulated also by IFNT in pregnant cows in comfort conditions, except by heat stress, as it  
281 was demonstrated in our previous paper (Amaral et al., 2021).

282         Among the pro-inflammatory cytokines, our data show that *IFNG* mRNA abundance  
283 is regulated only by heat stress, not by pregnancy. Interferon-gamma (IFNG) is the sole type  
284 II interferon, is structurally different from the type I IFNs, and signals through the IFNG  
285 receptor (Medzhitov, 2008). IFNG exhibits potent pro-inflammatory signaling by priming  
286 macrophages for antimicrobial actions (Kopitar-Jerala, 2017). Furthermore, it has been  
287 reported that persistent heat stress impairs the levels of IFNG, CD4+ and CD8+ cells, as well  
288 as cytotoxic T-lymphocytes (Hu et al., 2007). Our study has shown an upregulation of pro-  
289 inflammatory *INOS* mRNA on Days 10, 14, and 18 in heat-stressed pregnant cows, but no  
290 changes were reported in pregnant cows in comfort conditions, suggesting that *INOS* is  
291 upregulated by heat stress. In response to pro-inflammatory mediators such TNFA, IL1B, and  
292 IFNG immune cells and tissues express INOS mRNA (Marks-Konczalik et al., 1998). The  
293 imbalance between antioxidants and oxidants that occurs during heat stress, as shown by our  
294 group (Amaral et al., 2021), and the pro-inflammatory action of IFNG may both contribute to  
295 the upregulation of *INOS* in PMNs of cows during HS.

296         On all days tested, pregnancy upregulated *IL1B* mRNA expression in comfort cows.  
297 When non-pregnant cows' *IL1B* mRNA levels were compared, the heat-stressed group  
298 showed an upregulation. It means that *IL1B* may be regulated by both pregnancy and heat  
299 stress. *IL1B* is part of IL1 family of cytokines, which can play a role in innate and acquired  
300 immunity (Dinarello, 2018). In agreement, *NFKB* mRNA level was greater in pregnant cows

301 from comfort and heat stressed groups. The non-pregnant heat-stressed group had greater  
302 levels of *NFKB* mRNA; and when only pregnant cows were compared, the heat-stressed  
303 group similarly had greater levels of *NFKB* mRNA expression. *NFKB* belongs to a family of  
304 inducible transcription factors, which regulate several genes involved in different processes of  
305 the immune and inflammatory responses (Liu et al., 2017). For immune cells with pro-  
306 inflammatory phenotype, such as M1 macrophages, *NFKB* is a key transcription factor. It is  
307 required for the induction of various inflammatory genes, including *IL1B* (Wang et al., 2014).  
308 Typical cellular priming inducers include *TNFA* and *IL1B*, which are known to activate  
309 *NFKB* pathway (Liu et al., 2017).

310 Furthermore, regardless of the treatment group, Day 18 pregnant cows had greater  
311 expression of *IL17* mRNA. Also, *IL17* mRNA abundance was greater in Day 18 non-pregnant  
312 heat-stressed cows, compared with non-pregnant comfort cows. *IL17* is a key cytokine linking  
313 T cell activation to neutrophil mobilization and activation. *IL17* can contribute to the  
314 pathogenesis of inflammatory diseases with pro-inflammatory effects (Zenobia and  
315 Hajishengallis, 2000). Our results demonstrate that pregnancy and heat stress regulate *IL1B*,  
316 *NFKB*, and *IL17*, regardless of whether they occur separately or simultaneously. Pre-  
317 implantation pregnant cows usually have a more anti-inflammatory profile (Fiorenza et al.,  
318 2021; Talukder et al., 2017). However, the HS and oxidative stress that these cows are  
319 experiencing (Amaral et al., 2021) induce the expression of pro-inflammatory cytokines (Bae  
320 et al., 2017; Fonseca et al., 2016).

321 Moreover, in comfort, the expression of *TNFA* did not differ in cows during the first  
322 few days of pregnancy (Manjari et al., 2016; Shirasuna et al., 2012), corroborating with the  
323 presented results for comfort and heat-stressed cows. *TNFA* is connected to inflammatory  
324 processes that affect the success of implantation, placentation, and pregnancy. Exposure to  
325 daily heat increased *TNFA* (Hop et al., 2018), leading to complications during pregnancy

326 (Azizieh and Raghupathy, 2015). We believe that other cytokines, like IL17, IFNG, INOS,  
327 NFKB, and IL1B, act on PMNs to induce this response. The hypothesis that TNFA can act in  
328 other tissues of these cows, such as endometrium (Talukder et al., 2017), is not discarded.

329 In summary, the current study provides *in vivo* evidence that HS modulates PMN  
330 response with greater level of mRNA of the pro-inflammatory cytokines *IL17*, *NFKB*, and  
331 *IL1B*, and anti-inflammatory cytokines *ARG1*, *TGFB*, and *IFNA* in early pregnancy dairy  
332 cows. *IFNG* and *INOS* were regulated by HS and *IL10* by pregnancy. The presented results  
333 suggest that *ARG1*, *TGFB*, and *IFNA* mRNA expression modulate PMNs response under HS,  
334 offering a protective role during pregnancy, while higher expression of *IL17*, *NFKB*, and *IL1B*  
335 mRNA may indicate the innate response is more active and consequently induce the  
336 expression of other cytokines. Also, heat-stressed cows may suffer health consequences from  
337 *IFNG* and *INOS* (Fig 5). In conclusion, the present study demonstrated that heat stress  
338 modifies anti- and pro-inflammatory status in pregnant dairy cows. PMNs response shifts  
339 between pro- and anti-inflammatory patterns during heat stress condition.

340

#### 341 **Declaration of interest**

342 The authors have nothing to declare.

343

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353

**354 Authorship statement**

355 CSA and AQA: Conceptualization. CSA and MFF: Data curation; Investigation;  
356 Methodology. VMP and CSA Formal analysis. AQA and VMP: Funding acquisition; Project  
357 administration; Resources; Software; Supervision; Validation; Visualization. CSA and MFF:  
358 Writing - original draft. CSA, MFF, VMP and AQA: Writing - review & editing.

359

**360 Figure legends**

361 **Fig 1. Pro- and anti-inflammatory markers mRNA expression in polymorphonuclear**  
362 **cells comparing non-pregnant and pregnant cows in a comfort environment on Days 10,**  
363 **14, and 18 post-AI. A) *ARG1*; B) *TGF $\beta$* ; C) *IL10*; D) *VEGF*; E) *IFNA*; F) *IL17*; G) *IFNG*; H)**  
364 ***TNFA*; I) *IL1B*; J) *NFKB*; K) *CCL2*; L) *INOS*.** Values are presented as mean  $\pm$  S.E.M. The  
365 main effects of pregnancy diagnosis (PD), day and day by pregnancy diagnosis interaction  
366 (day\*PD) are indicated. Asterisk represents the difference at  $P < 0.05$  between pregnant and  
367 non-pregnant cows.

368

369 **Fig 2. Pro- and anti-inflammatory markers mRNA expression in polymorphonuclear**  
370 **cells comparing non-pregnant and pregnant cows in heat stress environment on Days 10,**

371 **14, and 18 post-AI.** A) *ARG1*; B) *TGFB*; C) *IL10*; D) *VEGF*; E) *IFNA*; F) *IL17*; G) *IFNG*; H)  
 372 *TNFA*; I) *IL1B*; J) *NFKB*; K) *CCL2*; L) *INOS*. Values are presented as mean  $\pm$  S.E.M. The  
 373 main effects of pregnancy diagnosis (PD), day and day by pregnancy diagnosis interaction  
 374 (day\*PD) are indicated. Asterisk represents the difference at  $P < 0.05$  between pregnant and  
 375 non-pregnant cows.

376

377 **Fig 3. Pro- and anti-inflammatory markers mRNA expression in polymorphonuclear**  
 378 **cells of non-pregnant cows under comfort or heat stress environment on Days 10, 14,**  
 379 **and 18 post-AI.** A) *ARG1*; B) *TGFB*; C) *IL10*; D) *VEGF*; E) *IFNA*; F) *IL17*; G) *IFNG*; H)  
 380 *TNFA*; I) *IL1B*; J) *NFKB*; K) *CCL2*; L) *INOS*. Values are presented as mean  $\pm$  S.E.M. The  
 381 main effects of day, group, and day-by-group interaction (day\*group) are indicated. Asterisk  
 382 represents the difference at  $P < 0.05$  between comfort and heat stressed groups.

383

384 **Fig 4. Pro- and anti-inflammatory markers mRNA expression in polymorphonuclear**  
 385 **cells of pregnant cows under comfort or heat stress environment on Days 10, 14, and 18**  
 386 **post-AI.** A) *ARG1*; B) *TGFB*; C) *IL10*; D) *VEGF*; E) *IFNA*; F) *IL17*; G) *IFNG*; H) *TNFA*; I)  
 387 *IL1B*; J) *NFKB*; K) *CCL2*; L) *INOS*. Values are presented as mean  $\pm$  S.E.M. The main effects  
 388 of day, group, and day-by-group interaction (day\*group) are indicated. Asterisk represents the  
 389 difference at  $P < 0.05$  between comfort and heat stressed groups.

390

391 **Fig 5. Schematic model illustrating the experimental design and the main conclusions.**

392 A) Cows from both groups had their estrus synchronized with the same protocol. AI was  
 393 considered Day 0 of experiment. Blood collections were performed on Days 10, 14, and 18

394 following AI for isolation of PMNs. Pregnancy diagnosis was performed on Days 30 and 60  
395 following AI by ultrasonography to subdivide the two groups into non-pregnant and pregnant  
396 cows. B) The main results of mRNA expression: *IL10* was upregulated by pregnancy; *ARG1*,  
397 *TGFB*, *IFNA* *IL1B*, *IL17*, and *NFKB* were upregulated both by pregnancy and heat stress; and  
398 *IFNG* and *INOS* were upregulated by heat stress. *CCL2*, *TNFA*, and *VEGF* did not differ  
399 between groups.

## 400 Tables

401 Table 1. Primers designed for quantitative real-time PCR analysis.

Target	Forward and reverse primer sequence	GenBank
<i>ARG1</i>	F: CCAGAAGAAGTGACTCGAACAG R: GGTGGGCTAAGGTAATCAATAGG	NM_001046154.1
<i>TGFB</i>	F: CTGAGCCAGAGGCGGCGGACTAC R: CTGTGCGAGCTAGACTTCATTTTG	NM_001166068.1
<i>IL10</i>	F: GAGATGCGAGCACCCCTGTCT R: GGCTGGTTGGCAAGTGGATA	NM_174088.1
<i>VEGF</i>	F: ATTTTCAAGCCGTCCTGTGT R: TATGTGCTGGCTTTGGTGAG	NM_001316955.1
<i>IL17</i>	F: CACAGCATGTGAGGGTCAAC R: GGTGGAGCGCTTGTGATAAT	NM_001008412.2
<i>IFNA</i>	F: TCTGCAAGAGAAGAGACACAGC R: TCTCCTGAAACTCTCCTGCAAG	NM_001017411.1
<i>IFNG</i>	F: TATCTCAGGGGCCAACTAGG R: GGCATCATTTTCATTTATCAGCA	NM_174086.1
<i>TNFA</i>	F: CAAAAGCATGATCCGGGATG R: TTCTCGGAGAGCACCTCCTC	NM_173966.3
<i>IL1B</i>	F: GAGAGGGTTTCCATTCTGAAGT R: CATCAGCACTTCTCAAATCGAAGA	NM_174093.1
<i>NFKB</i>	F: CCTGCTGAATGCTCTGTCTG R: TCCTCCTTCACCTCTGTGCT	NM_001045868.1
<i>CCL2</i>	F: TGCAGACCCCAAGCAGAAAT R: AGAGGGCAGTTAGGGAAAGC	NM_174006.2



<i>INOS</i>	F: GATCCAGTGGTCGAACCTGC R: CAGTGATGGCCGACCTGATG	NM_001076799.1
<i>GAPDH</i>	F: GATTGTCAGCAATGCCTCCT R: GGTCATAAGTCCCTCCACGA	NM_001034034.2
<i>RPS18</i>	F: CCTTCCGCGAGGATCCATTG R: CGCTCCCAAGATCCAACACTAC	XM_024983403.1

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402 F: Forward; R: Reverse.

403

404 **References**

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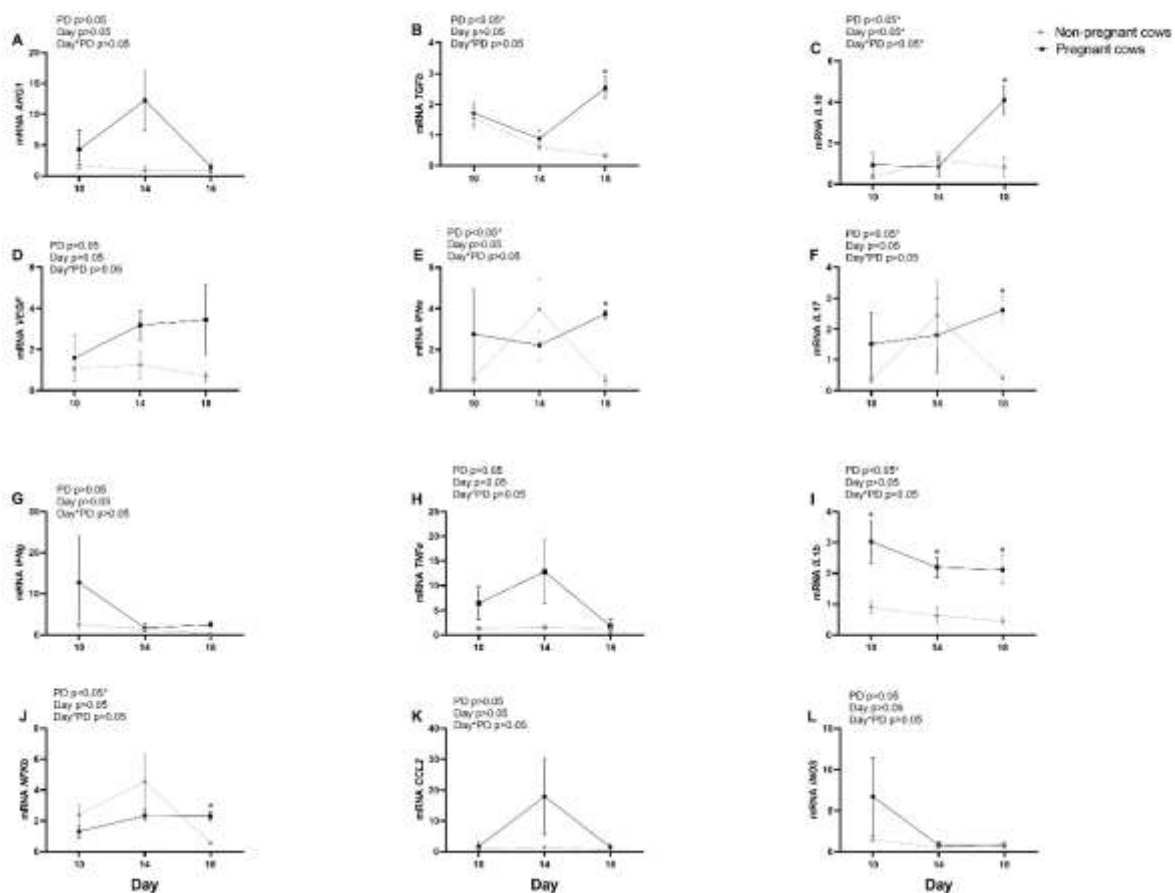


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608 Fig 1.

Comfort cows

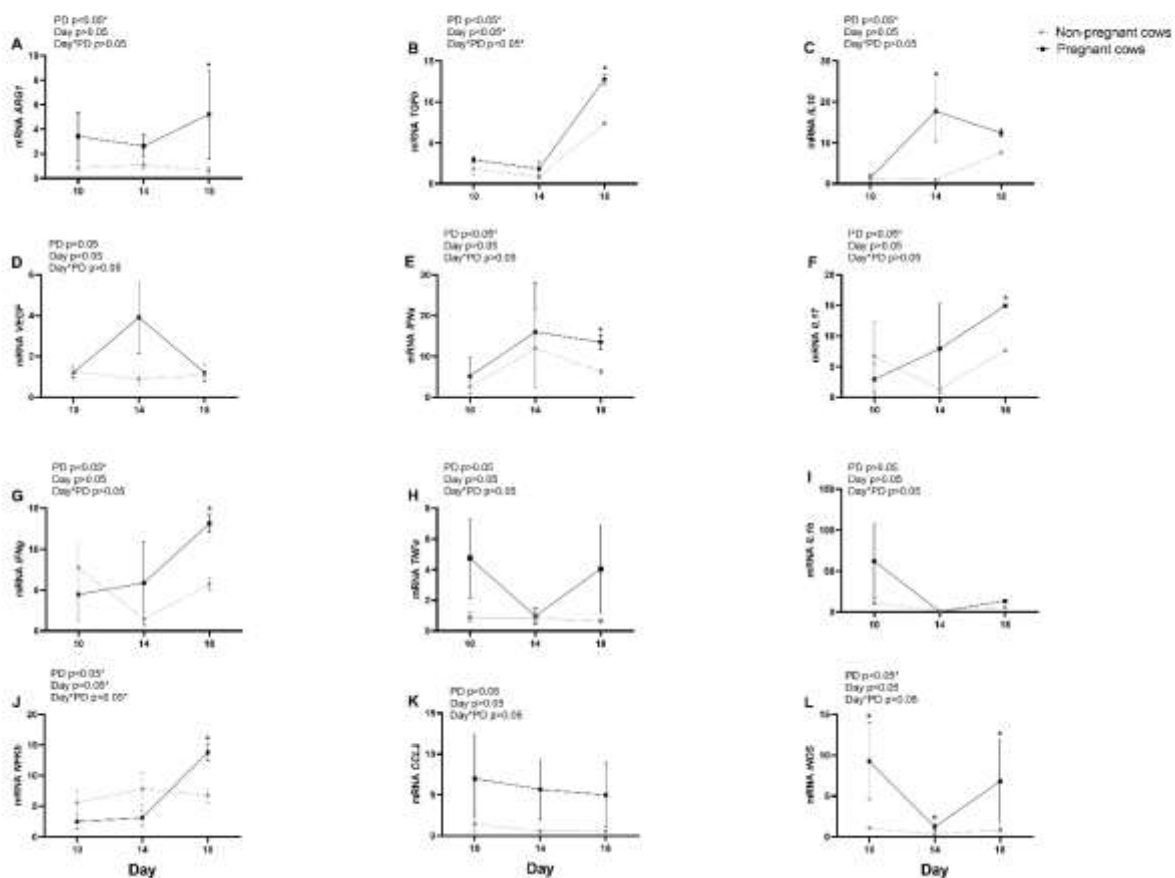


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611 Fig 2.

Heat stressed cows

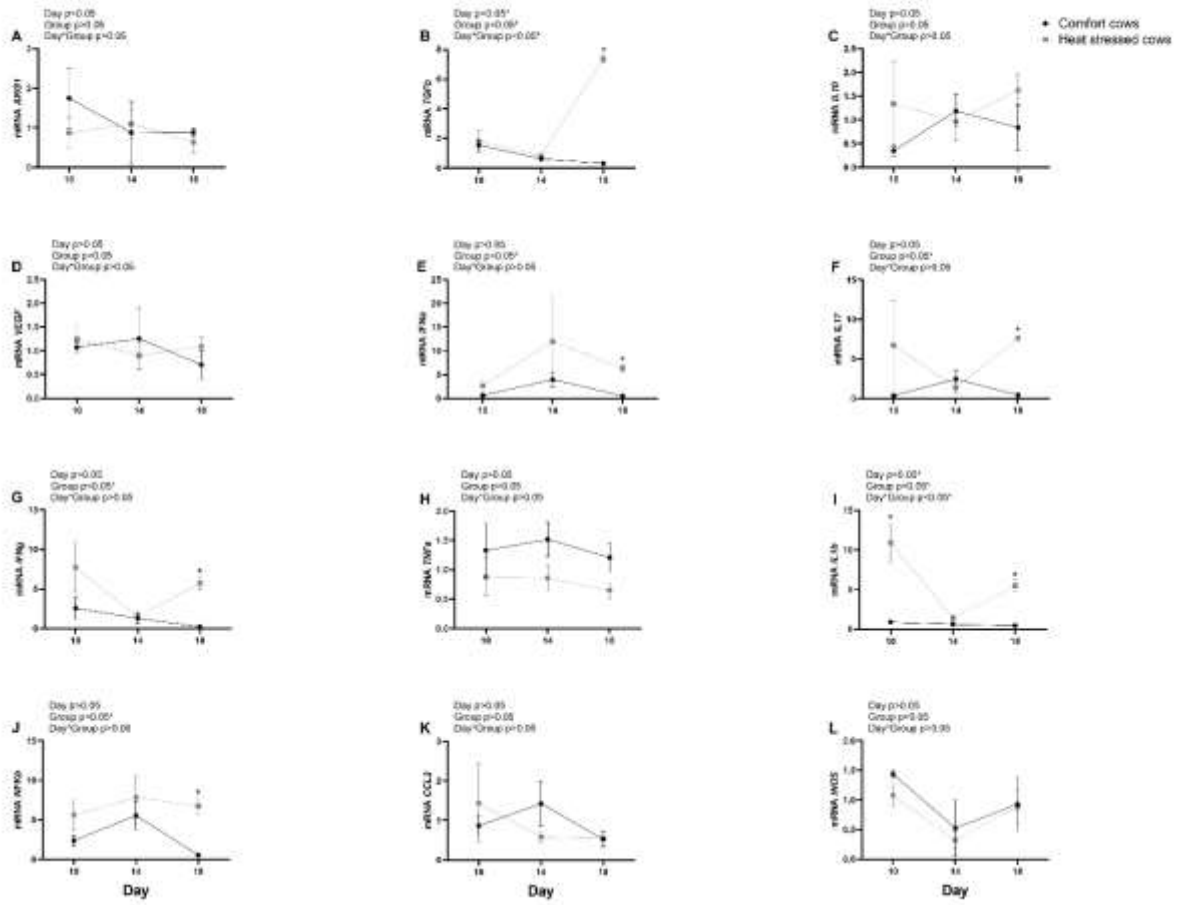


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614 Fig 3.

Non-pregnant cows

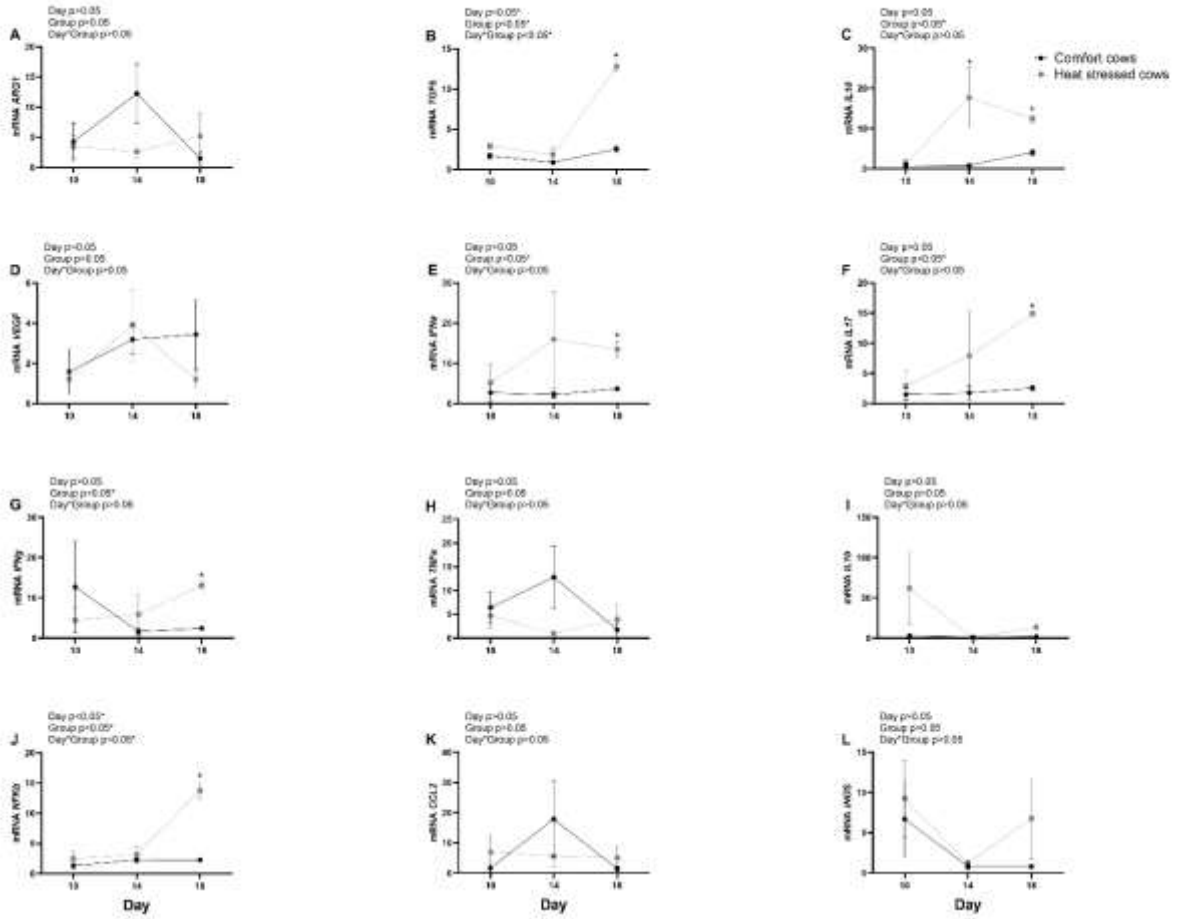


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617 Fig 4.

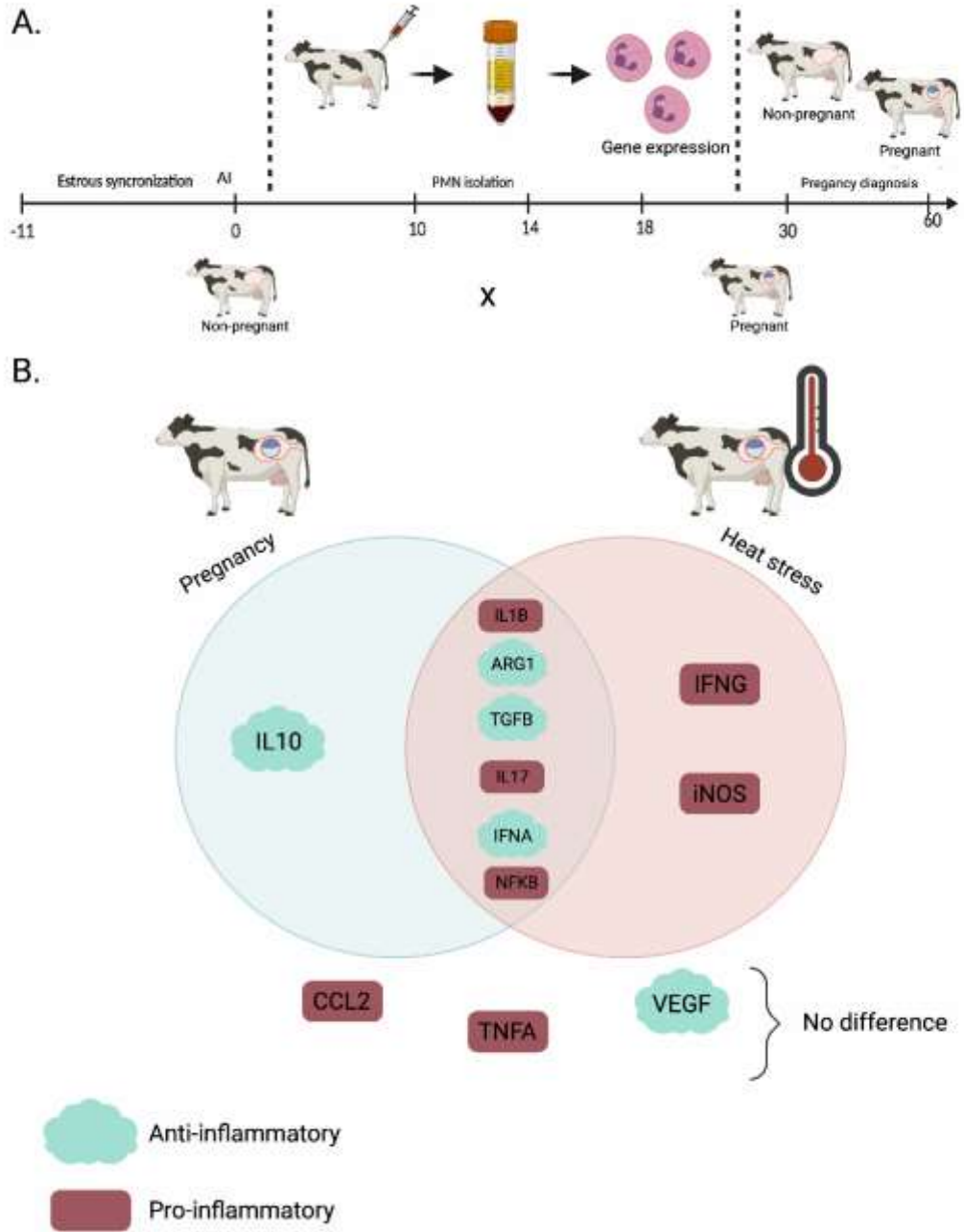
Pregnant cows



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620 Fig 5.



**ARTIGO 3**

TRABALHO A SER SUBMETIDO PARA PUBLICAÇÃO

**Oxidative stress caused by heat stress modulates endoplasmic reticulum stress and heat shock proteins protective response in peripheral blood mononuclear cell in early pregnant cows**

Carolina dos Santos Amaral, Amanda Luiza Prante, Manuela Wolker Manta, Valério Marques Portela, Alfredo Quites Antoniazzi

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1 **Oxidative stress caused by heat stress modulates endoplasmic reticulum stress and heat**  
2 **shock proteins protective response in peripheral blood mononuclear cell in early**  
3 **pregnant cows**

4

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14

15 **Short title:** ER stress and HSP response in heat-stressed cows

**16 Abstract**

17           We aimed verify early pregnancy modulation of ER stress and HSPs response in  
18 peripheral blood mononuclear cells (PBMCs) in cows, and whether this is affected by HS.  
19 Pregnant cows had their estrous cycle synchronized and randomly assigned to a comfort or  
20 HS group. Blood samples were collected on Days 10, 14 and 18 following artificial  
21 insemination (AI). Results are presented as mean±SEM. Under comfort conditions, there are  
22 no differences in ER stress pathway comparing pregnant and non-pregnant cows. HSP90 was  
23 greater in pregnant cows comparing with non-pregnant cows. Under HS conditions, HSPA5,  
24 uXBP1 and CHOP were upregulated on Day 10 of pregnant cows. Also, HSP70 and HSP90  
25 were both greater in pregnant cows. Comparing only non-pregnant cows, HSPA5 and sXBP1  
26 were upregulated on Day 10 of HS cows and there are no differences in HSPs response.  
27 However, in pregnant cows HSPA5, sXBP1, ATF6 and CHOP were upregulated on Day 10  
28 of HS cows. HSF, HSP70 and HSP90 was upregulated in HS cows. In conclusion, oxidative  
29 stress caused by HS triggers ER stress on PBMCs.

30

31 **Keywords:** heat stress, dairy cows, early pregnancy loss, ER stress, heat shock proteins.

## 32 **1. Introduction**

33 Heat stress (HS) is well-defined as the result of the animal's inability to dissipate or  
34 produce heat to maintain its physiological temperature (De Rensis et al., 2017; Dobson et al.,  
35 2000; Jeelani et al., 2019). It is one of the limiting factors in dairy production and contribute  
36 to economic losses among about 60% of the world's cattle population (Edwards et al., 2009).  
37 A variety of indicators were used to estimate HS in dairy cattle. Summer heat factors  
38 generates a high temperature-humidity index (THI), has been extensively applied for  
39 assessing HS in moderate to hot conditions (Thom, 1959). High THI may not only decrease  
40 milk production but also affects the reproductive performance and immune system of  
41 lactating dairy cows (Min et al., 2016). Major physiological responses of animals to heat  
42 stress start at THI 74. THI 74 to 79 induces moderate stress and  $\text{THI} \geq 80$  induces severe stress  
43 in the animals (Jeelani et al., 2019). It has been assumed that an increase in THI affects the  
44 body temperature of dairy cows (Armstrong, 1994), which leads to hyperthermia and impairs  
45 cellular function of several tissues including the reproductive system (Wolfenson et al., 2000).

46 HS induces the occurrence of oxidative stress (Slimen et al., 2014). Several studies  
47 have concluded that exposure to heat enhances ROS production and induces oxidative stress,  
48 which can lead to cytotoxicity (Amaral et al., 2020; Bernabucci et al., 2002). Oxidative stress  
49 is also a precursor and a major contributor to the occurrence of endoplasmic reticulum (ER)  
50 stress (Hotamisligil, 2010). ER stress is an imbalance between the capacity for conformational  
51 changes of proteins in the ER and the protein load, which consequently leads to  
52 conformational changes or unfolded proteins that end up accumulating in the lumen of the  
53 ER, impairs the ER homeostasis (Cnop et al., 2012). The mechanism that mediates this  
54 homeostasis regulation, trying to inhibit ER stress, is the Unfolded Protein Response (UPR)  
55 pathway (Harding et al., 1999). During UPR pathway activation, the presence of stress is  
56 detected by 3 ER transmembrane proteins: PERK (PKR-like RE kinase), ATF6 (Activating

57 transcription factor 6) e IRE1 (Inositol-requiring enzyme 1). These proteins associate with the  
58 HSPA5 luminal domains when released induce different regulatory mechanism. It has been  
59 suggested that HSPA5, also known as glucose regulated protein 78 (GRP78) or binding  
60 protein (BiP), is an important marker of ER stress because it is a resident chaperone in the ER  
61 and plays a vital role in regulation of ER homeostasis (Hotamisligil, 2010; Liu et al., 2011).

62 Another marker of HS both *in vitro* and *in vivo* is the presence of heat shock-induced  
63 proteins (HSPs), mostly HSP70 and HSP90 (Arya et al., 2007; Sakatani, 2017). HSPs are a  
64 heterogeneous group of proteins named chaperones, which have specific molecular weight  
65 and determined biological function. Heat shock response is a cellular adaptive response,  
66 which helps maintain cellular homeostasis under stress. In general, chaperones support  
67 misfolded an injured protein, preventing lethal cell damage (Mayer and Bukau, 2005). The  
68 mechanism of the HSPs starts by activation of the heat shock transcription factor (HSF). HSF  
69 is inactively present in the cytoplasm, where it is trimerized and translocated to the cell  
70 nucleus, which will bind to specific sites to transcribe HSPs message. Although the action of  
71 HSPs is not restricted to heat stress, it is believed that these proteins use energy from ATP  
72 hydrolysis to denature proteins damaged/altered by hyperthermia, enabling refolding,  
73 supporting misfolded proteins to attain or regain their native states (Mayer and Bukau, 2005;  
74 Slimen et al., 2014; Sreedhar et al., 2000).

75 Both ER stress and HSPs mechanisms acts on reproductive events like ovulation,  
76 oocyte maturation, fertilization, embryo development and late pregnancy (Khan et al., 2015;  
77 Lin et al., 2019; Song et al., 2012). However, it is unclear about the ER stress pathway and the  
78 protective effect of HSPs on bovine early pregnancy signaling. In the pre-implantation period  
79 occurs the major pregnancy losses (Wiltbank et al., 2016). Classically, in ruminants, the  
80 conceptus signals its presence between Days 10-20 after fertilization in the period named  
81 Maternal Recognition of Pregnancy (MRP). Trophoblast cells produces interferon tau (IFNT),

82 a cytokine which is responsible for the embryo-maternal interaction to avoid luteolysis  
83 (McCracken et al., 2012; Niswender et al., 2018; Oliveira et al., 2008). IFNT also signals to  
84 peripheral blood cells using type I IFN-pathway (Toji et al., 2017). Our previous data indicate  
85 that HS impairs endocrine MRP signaling in peripheral blood cells and induces oxidative  
86 stress (Amaral et al., 2021).

87         Considering the cascade of events generated by HS and oxidative stress on early  
88 pregnancy, we hypothesized that oxidative stress caused by heat stress negatively impacts  
89 endoplasmic reticulum, leading to ER stress and also induces heat shock proteins protective  
90 response in peripheral blood mononuclear cells (PBMC) from early pregnant cows. The aim  
91 of this study is to evaluate early pregnancy modulation of ER stress markers and HSPs  
92 response in PBMC in dairy cows, and whether this modulation is affected by heat stress  
93 occurrence.

94

## 95 **2. Material and methods**

### 96 **2.1. Chemicals**

97         Unless otherwise indicated, chemicals and reagents were purchased from Sigma  
98 Chemical Company (Sigma-Aldrich, St. Louis, MO, USA).

99

### 100 **2.2. Cows and herd management**

101         The study was conducted on a commercial dairy farm in Southern Brazil. Thirty-two  
102 multiparous Holstein dairy cows in lactation from the same herd were included in the study.  
103 Cows were 3 to 6 years old, body condition score greater than 2.5 (1=thin and 5=fat in a scale  
104 1 to 5), absent of any evident reproductive and clinical disorders during the study period.  
105 Cows were milked twice a day and fed complete ration and corn silage, with access to water

106 *ad libitum*. The study was approved by the Animal Care Use and Committee (CEUA-UFSM #  
107 5728120217) of Federal University of Santa Maria.

108

### 109 **2.3. Experimental design, synchronization protocol and artificial insemination (AI)**

110 The experiment was conducted during two distinct seasons. The comfort group  
111 samples (n=15) were collected in late winter/early spring, when the temperature-humidity  
112 index (THI) was approximately 65-70 in Southern Brazil. The samples from the heat stressed  
113 group (n=17) were collected in summer characterized by high temperatures associated with  
114 high humidity, when THI was approximately 80-85. Both groups had their estrus  
115 synchronized with the same protocol (Pereira et al., 2015). Only animals that exhibited  
116 standing estrus by 48 hours after intravaginal device withdrawal were included in the  
117 experiment (Comfort cows group n=12; Heat Stressed cows group n= 13). AI was performed  
118 48 hours (Day 0) after intravaginal device withdrawal. The semen was purchased from a  
119 commercial company, assessed, stored in liquid nitrogen, and thawed at 36°C for 30 seconds  
120 for subsequent AI (Amaral et al., 2021).

121

### 122 **2.4. Blood sample collection and isolation of peripheral blood mononuclear cells** 123 **(PBMCs)**

124 Blood was collected from the coccygeal vein using a 21G needle coupled to a vacuum  
125 collection system (BD Vacutainer®) into 4 mL EDTA-containing tubes. The collections were  
126 performed on Days 10, 14 and 18 following AI. Blood was obtained in two tubes of 4 mL  
127 containing EDTA for each experimental time point. Isolation of PBMCs was performed as  
128 follows. Briefly, after blood collection, 2 mL of whole blood was diluted in equal volume of  
129 0.9% NaCl, followed by addition of 3mL of Ficoll-Paque PREMIUM®. Centrifugation was  
130 performed at 400xg for 15 minutes at room temperature. After centrifugation, the following

131 layers were obtained: PBMC, Ficoll-Paque, PMN, and erythrocytes. PBMCs were collected  
132 from the higher white layer. PBMCs samples were stored in a cryotube at -80°C for  
133 subsequent total RNA extraction. After isolation of PBMC fraction, a glass-slide fraction-film  
134 was prepared to determine the purity of each fraction. Slides were stained using a rapid stain  
135 (Diff-Quik Differential Stains Set; Fisher Scientific, Waltham, MA, USA) according to the  
136 manufacturer's recommendations. The cell fraction purity was assessed based on cell  
137 morphology. PBMCs are classified as lymphocytes and monocytes. An experienced clinical  
138 pathologist examined the slides. A differential cell count was done by identifying 100  
139 consecutive leukocytes using a 100x objective. Samples above 95% of specific cell type  
140 (PBMC) were included in this study.

141

## 142 **2.5. RNA extraction, reverse transcription, and real-time PCR**

143 Total RNA was extracted from the PBMCs using Tri Reagent (BD), according to the  
144 manufacturer's recommendations. Quantification and estimation of RNA purity was  
145 performed using Nanodrop spectrophotometer (Thermo Scientific, Waltham, MA, USA;  
146 Absorbance 260/280 nm ratio). RNA was treated with DNase Amplification Grade (Thermo  
147 Fisher, Waltham, MA, USA) for 15 minutes at 27°C to degrade any DNA molecules. DNase  
148 was inactivated with 1 µl EDTA for 10 minutes at 65°C. Reverse transcription was performed  
149 using iScript cDNA synthesis Kit (BioRad, Hercules, CA, USA) for 5 minutes at 25°C  
150 followed by 30 minutes at 42°C and 5 minutes at 85°C. Quantitative polymerase chain  
151 reaction (qPCR) was conducted in a thermocycler (BioRad, Hercules, CA, USA) using  
152 cDNA, forward and reverse bovine specific primers and GoTaq® Master Mix (Promega  
153 Corporation, Madison, USA). Amplification was performed with initial denaturation at 95°C  
154 for 5 minutes followed by 40 cycles of denaturation at 95°C for 15 seconds and  
155 annealing/extension at 60°C for 30 seconds. To optimize the RT-qPCR assays, serial dilutions

156 of cDNA templates were used to generate a standard curve, and efficiency between 90 and  
157 110% was considered. Samples were run in duplicate and the results of expression of all  
158 analyzed genes were expressed relative to geometric mean of *GAPDH* and *ACTB* reference  
159 genes (Pfaffl, 2001). The genes assessed in this study are presented in Table 1.

160

## 161 **2.6. Pregnancy diagnosis by ultrasound scanning**

162 In both groups, uteri were evaluated using a Mindray DP10 ultrasound with a 6.5 MHz  
163 linear transducer to select only animals free of any evident pathology. Pregnancy rate was  
164 determined by dividing the number of pregnant cows at the pregnancy diagnosis at 30- and  
165 60-days following AI by the total number of cows artificially inseminated (P/AI).

166

## 167 **2.7. Statistical analysis**

168 Continuous data were checked for normality using Shapiro-wilk test. mRNA  
169 expression and oxidative stress data were analyzed by repeat measures for within-group  
170 analysis and standard least squares for between-group (comfort cows vs. heat stressed cows  
171 and non-pregnant vs. pregnant cows) analysis. The main effects of day, pregnancy diagnosis  
172 (PD), treatment group, day by group interaction (day\*group), or day by pregnancy diagnosis  
173 interaction (day\*PD) were indicated. All data analysis was performed using the JMP7  
174 Software (SAS Institute Inc., Cary, NC, USA). Results are presented as mean  $\pm$  standard error  
175 of the mean (SEM) and are considered different at  $P < 0.05$ .

176

## 177 **3. Results**

### 178 **3.1. Physiological and reproductive parameters of cows on comfort or under heat stress**

179 It was observed that the THI was elevated during the heat stress environment,  
180 compared to the comfort environment. HS affected both RT, HR, and RR of dairy cows



181 (p<0.05), and this was evident on all measurement days. In relation to milk production, heat  
182 stressed cows had lower daily milk yield than the cows that were heat-not stressed. Effect of  
183 season on estrous occurrence and pregnancy rate were not different between groups (P>0.05).  
184 Estrus occurrence rate was 80% in comfort group and 76.47% in heat stressed group.  
185 Pregnancy rate was 50% in comfort group and 38.46% in heat stressed group (Amaral et al.,  
186 2021).

187

### 188 **3.2. Effect of heat stress on endoplasmic reticulum stress marker mRNA expression in** 189 **mononuclear cells from non-pregnant and pregnant cows on Days 10, 14 and 18 post-AI**

190 Relative mRNA expression of *HSPA5*, *ATF6*, *uXBP1*, *sXBP1* and *CHOP* was  
191 evaluated in PBMCs of non-pregnant and pregnant cows on Days 10, 14 and 18 after AI of  
192 groups: comfort cows and heat-stressed cows (Fig 1). Under comfort condition (Fig 1 A-E),  
193 there is no difference between non-pregnant and pregnant cows in all genes evaluated on  
194 Days 10, 14 and 18 after AI (P>0.05). However, under heat stress condition (Fig 1 F-J),  
195 *HSPA5*, *sXBP1* and *CHOP* expression were upregulated in Day 10 in pregnant when  
196 compared to non-pregnant cows (P<0.05). There is no difference between expression of all  
197 analyzed genes on Days 14 and 18 comparing non-pregnant to pregnant cows (P>0.05). Also,  
198 *uXBP1* and *ATF6* were no different in all days (P>0.05).

199 Relative mRNA expression of *HSPA5*, *ATF6*, *uXBP1*, *sXBP1* and *CHOP* also was  
200 analyzed on heat stress or non-heat stress in non-pregnant and pregnant cows (Fig 2). In non-  
201 pregnant cows (Fig 2 A-E), *HSPA5* and *sXBP1* were upregulated in Day 10 in heat stressed  
202 compared to non-heat stressed cows (P<0.05), but in Days 14 and 18 there were no difference  
203 (P>0.05). Also, *ATF6*, *uXBP1* and *CHOP* expression there was no difference in all days  
204 (P>0.05). However, in pregnant cows (Fig 2 F-J), *HSPA5*, *ATF6*, *sXBP1* and *CHOP* were  
205 upregulated on Day 10 (P<0.05) in heat stressed when compared to non-heat stressed cows

206 (P<0.05), and on Days 14 and 18 there was no difference (P>0.05). *uXBPI* was not different  
207 in all experimental days (P>0.05).

208

### 209 **3.3. Effect of heat stress on heat shock transcription factor and heat shock proteins**

#### 210 **mRNA expression in mononuclear cells from non-pregnant and pregnant cows on Days** 211 **10, 14 and 18 post-AI**

212 Relative mRNA expression of *HSF*, *HSP70* and *HSP90* was evaluated in PBMCs of  
213 non-pregnant and pregnant cows on Days 10, 14 and 18 after AI of comfort cows and heat  
214 stressed cows (Fig 3). Cows on comfort (Fig 3 A-C), *HSP90* had greater expression on Days  
215 10, 14 and 18 after AI (P<0.05). However, *HSF* and *HSP70* were not different (P>0.05); and  
216 cows under heat stress condition (Fig 3 D-F), *HSF* was not different (P>0.05) and *HSP70* and  
217 *HSP90* were upregulated in pregnant cows on Days 10 and 18 (P<0.05).

218 Relative mRNA expression of *HSF*, *HSP70* and *HSP90* also was analyzed comparing  
219 the occurrence of heat stress or non-heat stress in non-pregnant and pregnant cows (Fig 4). In  
220 non-pregnant cows (Fig 4 A-C), no differences in heat stress and non-heat stress were found  
221 (P>0.05). However, in pregnant cows (Fig 4 D-F), *HSF* was upregulated on Day 10 after AI  
222 from heat stressed cows (P<0.05). *HSP70* was upregulated on Days 14 and 18 after AI from  
223 HS cows (P<0.05) and *HSP90* was upregulated on Days 10, 14 and 18 after AI from HS cows  
224 (P<0.05).

225

## 226 **4. Discussion**

227 This article characterizes ER stress and HSPs response on PBMCs of heat-stressed  
228 dairy cows on Days 10, 14 and 18 following AI, period that coincides with the endocrine  
229 signaling of pregnancy. Firstly, we validated experimental model, allowing to evaluate the  
230 influence of either cold or warm season of the year on temperature-humidity index (THI), and

231 physiological parameters rectal temperature (RT), heart rate (HR) and respiratory rate (RR).  
232 Cows naturally exposed to the heat challenge in this experiment had increased body  
233 temperature, with significant increases in RT, HR and RR and a decline in daily milk yield  
234 compared to cows in a comfort environment. Our previous studies demonstrated that the  
235 expression of type I IFN pathway and ISGs genes in PMNs of pregnant cows on comfort  
236 increased in time-dependent manner, reaching a peak on Day 18. Whilst the non-pregnant  
237 cows had no increase in the expression of the same targets. The expression of type I IFN  
238 pathway and ISGs in PMNs from heat-stressed cows did not differ between non-pregnant and  
239 pregnant cows on all days. Also, oxidative stress occurs in heat stressed cows, independent of  
240 pregnancy status. This led us to conclude that oxidative stress was induced by heat stress, but  
241 not by pregnancy (Amaral et al., 2021). For this reason, we assessed ER stress and HSPs  
242 profile in this early pregnancy *in vivo* model.

243         In order to measure mRNA expression responses *in vivo*, non-invasive sample collect  
244 was done to minimize the impact on experimental animals, so that the parameters evaluated  
245 were not masked by the experimental design, but that the expression of the ER stress and HSP  
246 response was reliable. Our results presented herein revealed significant findings: On comfort,  
247 there are no differences in ER stress pathway comparing non-pregnant and pregnant cows in  
248 all experimental days. Among HSP responses, *HSP90* was greater in pregnant when  
249 compared to non-pregnant cows. Under HS conditions, *HSPA5*, *uXBP1* and *CHOP* increased  
250 on Day 10 pregnant cows. Also, *HSP70* and *HSP90* were both upregulated in pregnant cows.  
251 Considering only non-pregnant cows, *HSPA5* and *sXBP1* increased on Day 10 of heat stressed  
252 cows and there were no differences in HSPs response. However, in pregnant cows *HSPA5*,  
253 *sXBP1*, *ATF6* and *CHOP* were upregulated on Day 10 heat stressed cows; and the HSPs  
254 responses, *HSF*, *HSP70* and *HSP90* were upregulated in heat stressed cows.

255 Early pregnancy by itself is a stressful event. Physiological, biochemical and  
256 immunological adaptations occur during this period so that the embryo can survive and  
257 develop (Hansen, 2011; Nagaoka et al., 2000; Wiltbank et al., 2016). For this reason,  
258 unbalanced oxidative stress occurs. There is some understanding of how ROS affect a variety  
259 of physiologic functions (i.e. oocyte maturation, ovarian steroidogenesis, ovulation,  
260 implantation, formation of blastocyst, luteolysis and luteal maintenance in pregnancy)  
261 (Agarwal et al., 2005). Warm conditions also seem to affect the oxidative stress in cows  
262 (Slimen et al., 2016). Heat stress impairs maternal recognition signaling and induces oxidative  
263 stress, according studies from our group (Amaral et al., 2021, 2020). Therefore, we consider  
264 that ER stress and the HSP response are induced by a consequence of oxidative stress in these  
265 cows (Gao et al., 2012).

266 Comfort cows (non-pregnant and pregnant) do not have modifications on ER stress  
267 pathway, suggesting no difference in all mRNA expression. Also, non-pregnant heat stressed  
268 cows had no difference on ER stress genes. In contrast, heat-stressed pregnant cows increase  
269 *HSPA5*, *sXBP1* and *CHOP* expression. Analyzing only non-pregnant cows, heat-stressed  
270 cows upregulate *HSPA5* and *sXBP1*. Although when we analyze only pregnant cows, *HSPA5*,  
271 *sXBP1*, *ATF6* and *CHOP* increase. It means *HSPA5* and *sXBP1* were upregulated by heat  
272 stress, independent of pregnancy status. But *ATF6* and *CHOP* were upregulated by the  
273 pregnancy and HS associated. *HSPA5* chaperone have antiapoptotic property and its elevation  
274 under various stress conditions suggests your involvement in cell survival (Pfaffenbach and  
275 Lee, 2012; Wang et al., 2009). *HSPA5* is also known to be an important component in  
276 modulating the UPR. Under conditions of ER stress, *HSPA5* is released from the UPR sensors  
277 (*ATF6*, *PERK*, and *IRE1*), leading to their activation. The activated UPR relieves ER stress  
278 by decreasing protein translation and increasing the folding capacity of the ER, and  
279 consequently upregulates *HSPA5* to repeat this process. Activated *IRE1* encoding the *XBP1*

280 and thus through to be an important marker reflecting IRE1 signaling response (Hirota et al.,  
281 2006). It suggests that HS activate the IRE1 sensor of UPR, due to the increase in *HSPA5* and  
282 *sXBP1* expression and it is occurring due to the attempted survival and adaptation of the cell  
283 affected by heat stress. Importantly, if ER homeostasis cannot be restored, the UPR is capable  
284 of inducing apoptosis by increase of caspases and CHOP pathway (Wang et al., 2009). So,  
285 pregnancy and HS associated upregulate *ATF6* and *CHOP* expression in PBMC. Both ATF6  
286 and IRE1 coordinate downstream components which initially promote cell-protective events;  
287 and CHOP can be mediating apoptosis in this case (Hu et al., 2019; Li et al., 2014).

288         Moreover, under conditions of hyperthermia, ER stress can occur simultaneously to  
289 heat shock protein (HSP) response (Xu et al., 2011). If HS exceeds the cell's ability to limit  
290 damage, the highly conserved process of apoptosis is initiated to remove damaged cells and  
291 maintain tissue function. But first, the cellular stress response function is initiated which  
292 limits damage and supports cell recovery (Jee et al., 2021). In comfort cows, *HSF* and *HSP90*  
293 were not different between groups and only *HSP90* was upregulated in pregnant cows. But in  
294 heat-stressed cows, both *HSP70* and *HSP90* were greater in pregnant cows. It suggests *HSP90*  
295 expression is controlled by pregnancy, not by HS. It was postulated HSP90 is an ATP-  
296 dependent chaperone protein involved in regulating the stability of a wide range of proteins,  
297 including the JAK/STAT pathway (Fiskus et al., 2011; Marubayashi et al., 2010). It is known  
298 that JAK/STAT pathway may be being expressed in leukocytes from pregnant cows (Amaral  
299 et al., 2021), supporting this association. Therefore, in non-pregnant cows, there was not  
300 different in all HSP gene response analyzed. However, in pregnant heat-stressed cows, *HSF*,  
301 *HSP70* and *HSP90* were greater comparing to comfort pregnant cows. In other words, what  
302 influences the HSF and HSP70 response is not only the HS, but the occurrence of the HS and  
303 pregnancy associated.

304 In conclusion, the findings of the present study support our hypothesis that oxidative  
305 stress caused by HS trigger ER stress on PBMCs and it is intensified with the presence of two  
306 associated stressors: early pregnancy and HS occurrence, which activates apoptosis pathway.  
307 Although the ER stress response apparently downregulated after Day 10, PBMCs continue to  
308 respond to HS and pregnancy by HSPs response, which remains high in subsequent days.

309

### 310 **Declaration of interest**

311 The authors have nothing to declare.

312

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322

### 323 **Author Contributions**

324 CSA and AQA designed the study. CSA did all the experimental procedures. MWM and ALP  
325 did blood samples collects. VMP and CSA performed the statistical analyzes. AQA and VMP  
326 obtained funding. CSA wrote the draft. CSA, AQA and VMP developed the final version of  
327 the manuscript. All authors read and approved the final version of the manuscript.

328

329 **Figure legends**

330

331 **Fig 1. Endoplasmic reticulum stress marker mRNA expression in mononuclear cells of**  
 332 **comfort or heat stressed cows sorted in non-pregnant and pregnant on Days 10, 14 and**  
 333 **18 post-AI.** A-E represents ER stress markers of cows in comfort conditions; F-J represents  
 334 ER stress markers of heat stressed cows. A and F: *HSPA5*; B and G: *ATF6*; C and H: *uXBP1*;  
 335 D and I: *sXBP1*; E and J: *CHOP*. Values are presented as mean  $\pm$  S.E.M. The main effects of  
 336 pregnancy diagnosis (PD), day and day by pregnancy diagnosis interaction (day\*PD) are  
 337 indicated. Asterisk represents difference at  $P < 0.05$  between non-pregnant and pregnant cows.

338

339 **Fig 2. Endoplasmic reticulum stress marker mRNA expression in mononuclear cells of**  
 340 **non-pregnant and pregnant cows sorted in comfort or heat stressed on Days 10, 14 and**  
 341 **18 post-AI.** A-E represents ER stress components of non-pregnant cows; F-J represents ER  
 342 stress components of pregnant cows. A and F: *HSPA5*; B and G: *ATF6*; C and H: *uXBP1*; D  
 343 and I: *sXBP1*; E and J: *CHOP*. Values are presented as mean  $\pm$  S.E.M. The main effects of  
 344 day, group and day by group interaction (day\*group) are indicated. Asterisk represents  
 345 difference at  $P < 0.05$  between comfort and heat stressed group.

346

347 **Fig 3. Heat shock transcription factor and heat shock proteins mRNA expression in**  
 348 **mononuclear cells of comfort or heat stressed cows sorted in non-pregnant or pregnant**  
 349 **on Days 10, 14 and 18 post-AI.** A-C represents HSF and HSPs of comfort cows; D-F  
 350 represents HSF and HSPs components of heat stressed cows. A and D: *HSF*; B and E: *HSP70*;  
 351 C and F: *HSP90*. Values are presented as mean  $\pm$  S.E.M. The main effects of pregnancy  
 352 diagnosis (PD), day and day by pregnancy diagnosis interaction (day\*PD) are indicated.  
 353 Asterisk represents difference at  $P < 0.05$  between pregnant and non-pregnant cows.

354

355 **Fig 4. Heat shock transcription factor and heat shock proteins mRNA expression in**  
356 **mononuclear cells of non-pregnant or pregnant cows sorted in comfort or heat stressed**  
357 **cows on Days 10, 14 and 18 post-AI.** A-C represents HSF and HSPs of non-pregnant cows;  
358 D-F represents HSF and HSPs components of pregnant cows. A and D: *HSF*; B and E:  
359 *HSP70*; C and F: *HSP90*. Values are presented as mean  $\pm$  S.E.M. The main effects of day,  
360 group and day by group interaction (day\*group) are indicated. Asterisk represents difference  
361 at  $P < 0.05$  between comfort and heat stressed group.



## 362 Tables

363 Table 1. Primers designed for quantitative real-time PCR analysis.

Target	Primer sequence	GenBank
<i>HSPA5</i>	F: CGTGCGTTTGAGAGCTCAGT	NM_001075148.1
	R: GACAGCTTCATCTTTCCAGCG	
<i>ATF6</i>	F: GAACTTCGAGGATGGGTTCATAGG	XM_024989877.1
	R: CCAGAGCACCCCTGAAGAATACG	
<i>uXBP1</i>	F: GCAGAGACCAAGGGGAATGG	NM_001034727.3
	R: GGGTCCAAGTTGAACAGAATGC	
<i>sXBP1</i>	F: AGCAGAGACCAAGGGGAATG	NM_001271737.1
	R: TCAGAGTCCATGGGGAGATGT	
<i>CHOP</i>	F: GGTGCTGTCCTCAGATGAAAATCG	NM_001078163.1
	R: GGTCCCTGGCTCCTCAGTAAGC	
<i>HSF</i>	F: ACCTGGACAACCTGCAGACC	NM_001076809.1
	R: GGAGCTCCTGGATCTGGCTG	
<i>HSP70</i>	F: CTTCAACATGAAGAGCGCCG	NM_203322.3
	R: TGATGGGGTTACACACCTGC	
<i>HSP90</i>	F: GAGGAAACACTCTCGGACGG	NM_174700.2
	R: TCGGTCTTGCTGCTCCATAC	
<i>GAPDH</i>	F: GCCATCAATGACCCCTTCAT	NM_001034034.2
	R: TGCCGTGGGTGGAATCA	
<i>ACTB</i>	F: GGATGAGGCTCAGAGCAAGAGA	NM_173979.3
	R: TCGTCCCAGTTGGTGACGAT	

364

F: Forward; R: Reverse.

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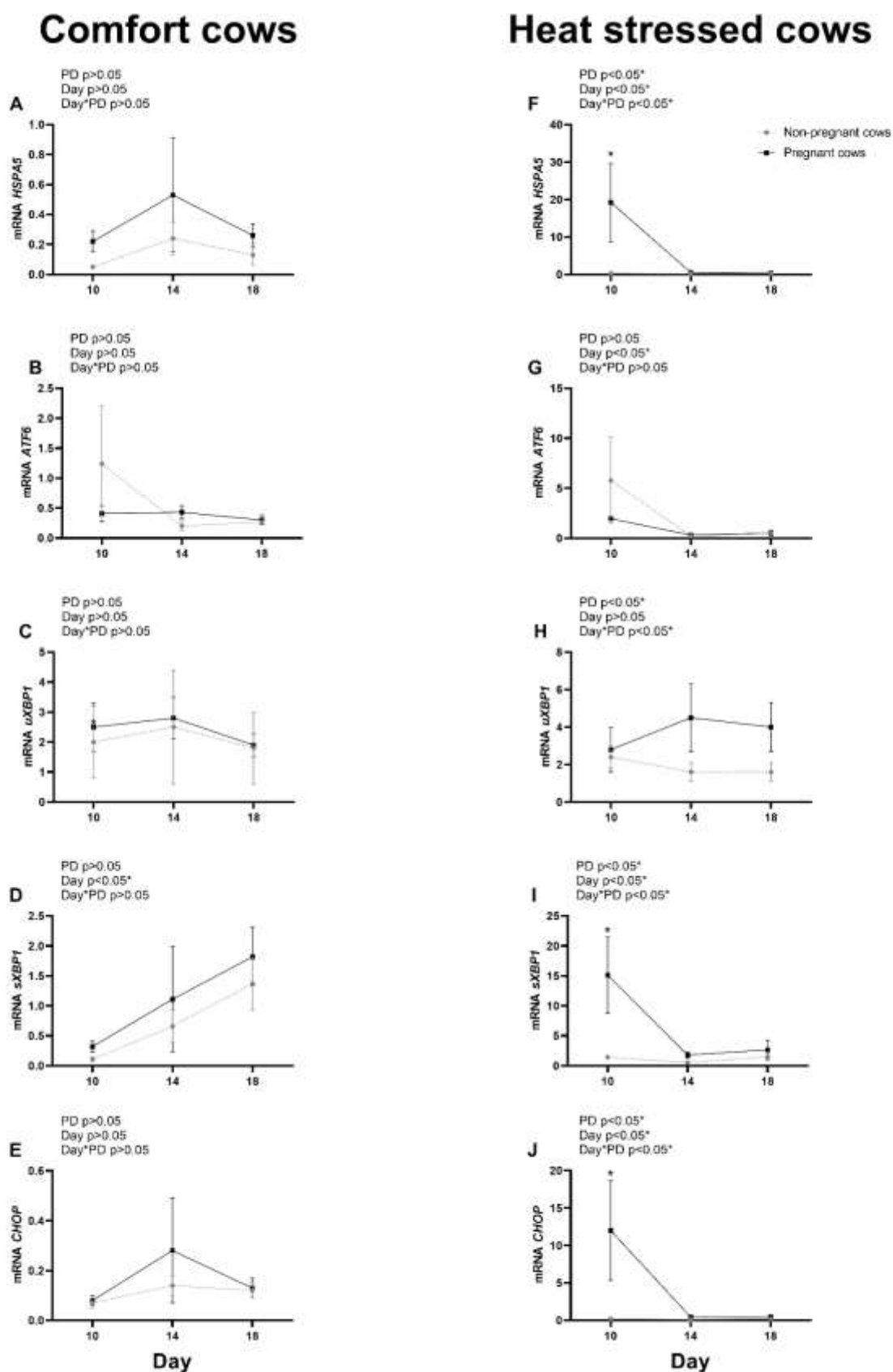
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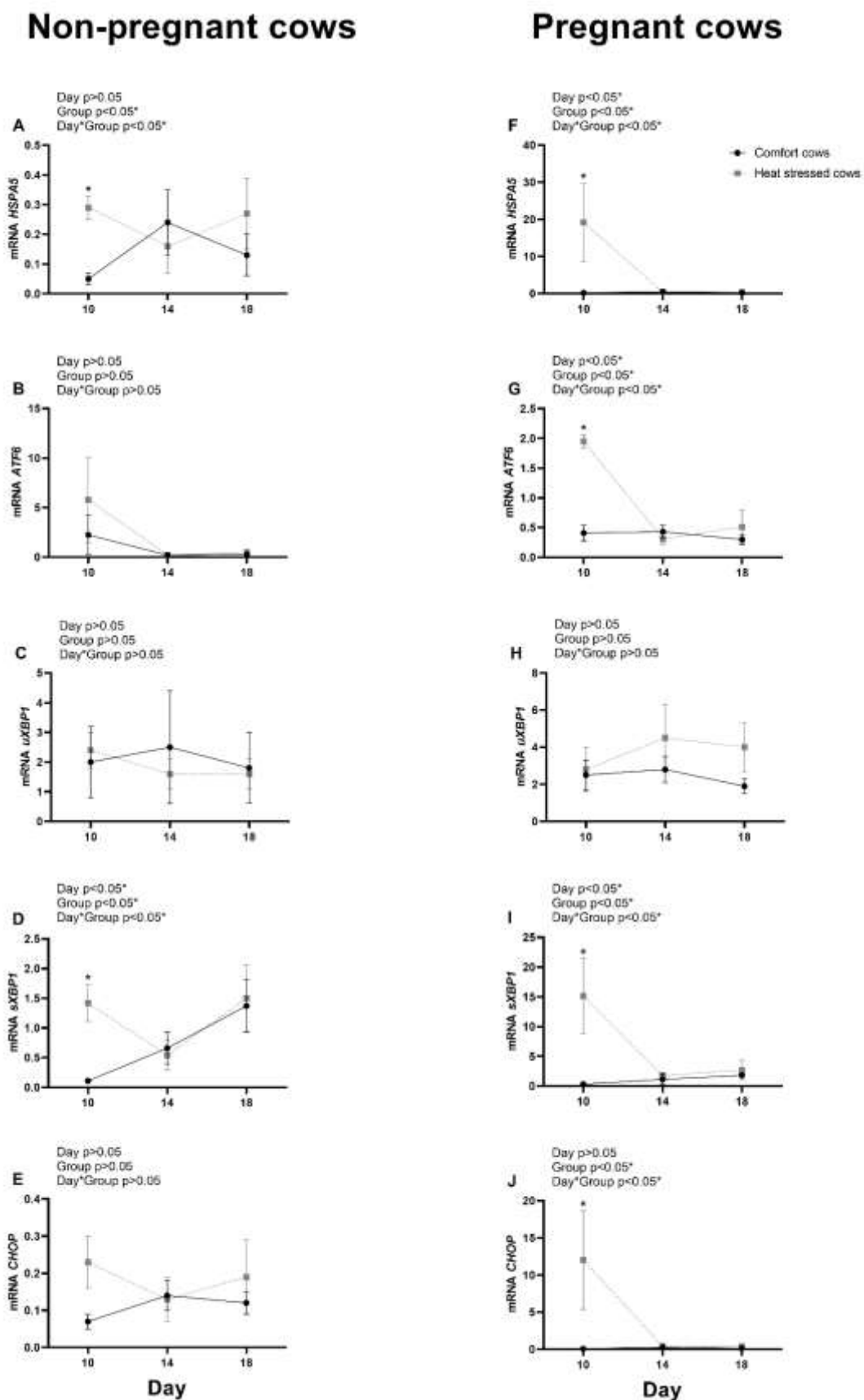
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512 Fig 1.

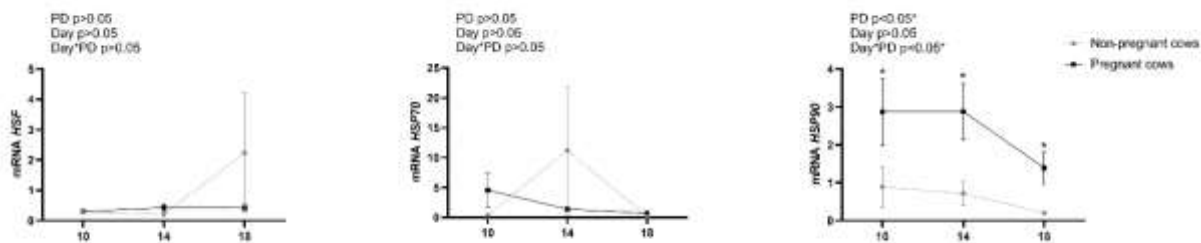


514 Fig 2.

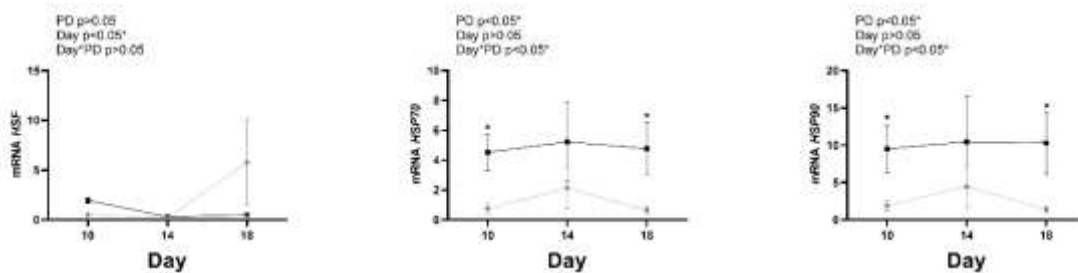


516 **Fig 3.**

**Comfort cows**



**Heat stressed cows**

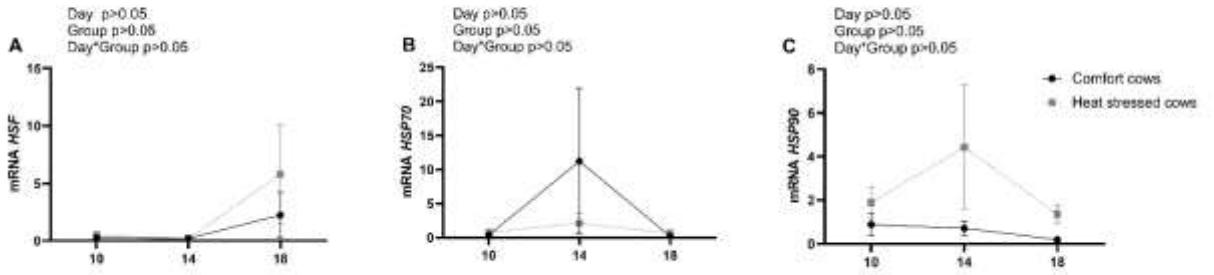


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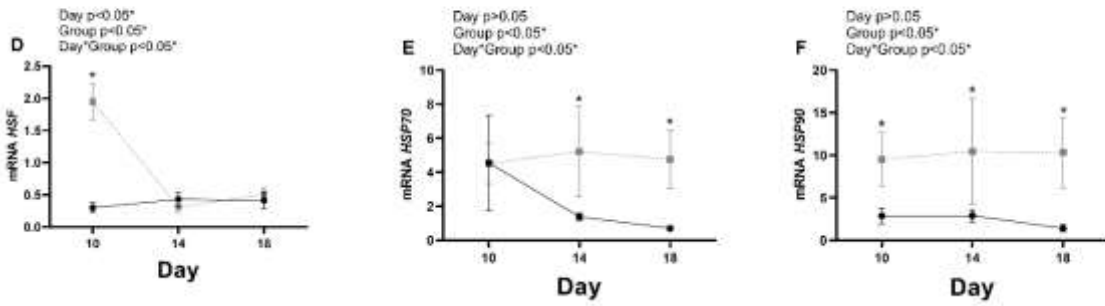
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519 Fig 4.

**Non-pregnant cows**



**Pregnant cows**



520

521

### 3. DISCUSSÃO

Perdas gestacionais são responsáveis por reduzir o desempenho reprodutivo em rebanhos leiteiros, ocorrendo com maior frequência nos primeiros 30 dias de gestação (AYALON, 1978; WILTBANK *et al.*, 2016). Uma das principais causas de perda embrionária e falha de implantação durante o início da prenhez é o estresse térmico causado pelo aumento das temperaturas durante as estações quentes (DE RENSIS *et al.*, 2015; WOLFENSON; ROTH; MEIDAN, 2000). Os efeitos do estresse térmico no desenvolvimento folicular, esteroidogênese e qualidade de gametas já foram demonstrados (AL-KATANANI; PAULA-LOPES; HANSEN, 2002; BRIDGES; BRUSIE; FORTUNE, 2005; LUCIO *et al.*, 2016; ROTH, 2016). Embriões bovinos produzidos *in vitro* demonstraram inibição do desenvolvimento quando expostos a altas temperaturas, reduzindo as taxas de clivagem e blastocisto (RIVERA, 2004). Nesse sentido, nosso grupo de pesquisa busca entender e caracterizar os efeitos do estresse térmico em vias de sinalização durante o reconhecimento materno da gestação.

No primeiro trabalho do nosso grupo, demonstramos os efeitos do estresse térmico em embriões produzidos *in vitro*. Nesse experimento, a temperatura de 40,5°C durante 6 horas foi estabelecida como modelo para induzir estresse térmico com base em resultados que mostram a variação de temperatura corporal de vacas em lactação durante o verão (NABENISHI *et al.*, 2012). Embriões foram submetidos ao estresse térmico nas diferentes etapas da produção *in vitro*: maturação oocitária, fertilização, primeiro dia de cultivo embrionário, e um grupo que foi estressado durante as 3 etapas consecutivas. Comparados a um grupo controle mantido a 38,5°C, os grupos submetidos ao estresse térmico tiveram menores taxas de clivagem e blastocistos desenvolvidos no dia 7, menor expressão de RNAm e proteína de IFNT, aumento da produção de ROS, gerando estresse oxidativo (AMARAL *et al.*, 2020). A partir desse primeiro artigo que apresenta resultados *in vitro*, buscamos investigar os efeitos do estresse térmico na via de sinalização endócrina do reconhecimento materno da gestação, em leucócitos do sangue periférico em um modelo *in vivo*.

A presente tese apresenta 3 artigos desenvolvidos a partir de um experimento. O experimento foi conduzido dentro de uma mesma fazenda em duas estações do ano distintas. As vacas do grupo conforto térmico foram coletadas em setembro e as vacas em estresse térmico coletadas em janeiro, onde foi levado em consideração o índice de temperatura e umidade (*Temperature-humidity index*; THI) de cada época. Alto THI pode não apenas

diminuir a produção de leite, mas também afetar o desempenho reprodutivo e o sistema imunológico de vacas em lactação (MIN *et al.*, 2016). Portanto, o primeiro trabalho da tese buscou investigar se o estresse térmico estaria influenciando na sinalização endócrina do reconhecimento materno da gestação em células PMN do sangue periférico, pela análise da expressão de RNAm da via dos IFNs tipo 1 e ISGs. Existem estudos que comparam a expressão de ISGs nos leucócitos do sangue periférico com a gestação precoce (GREEN *et al.*, 2010; HAQ *et al.*, 2016; SHEIKH *et al.*, 2018; TOJI *et al.*, 2017). A expressão de RNAm foi analisada em frações separadas de leucócitos concluíram que a fração de células PMN são mais sensíveis ao estímulo por IFNT quando comparados à fração de PBMC (KIZAKI *et al.*, 2013). Por essa razão, no primeiro trabalho foi utilizada apenas a fração de PMNs. Os resultados revelam que vacas prenhes em ambiente de conforto possuem aumento da expressão de RNAm da via dos IFNs tipo 1 e dos ISGs de maneira tempo-dependente, exibindo um pico de expressão no dia 18 após a IA, indicando a regulação positiva da prenhez na expressão desses genes. Porém, o mesmo padrão de expressão não foi observado em vacas prenhes em condições de estresse térmico. PMNs são conhecidos por serem a primeira resposta no processo inflamatório (MANTOVANI *et al.*, 2011). Dessa forma, possivelmente essas células podem estar sendo afetadas pela ocorrência do estresse térmico.

Ainda no primeiro artigo, também investigamos se as altas temperaturas durante o verão afetam o equilíbrio oxidativo nesses animais, visto que relatamos que o estresse oxidativo ocorre em embriões submetidos a altas temperaturas *in vitro* (AMARAL *et al.*, 2020). Os resultados mostram que os níveis de malondialdeído (MDA) são maiores em vacas sob estresse térmico comparados aos níveis das vacas conforto térmico, enquanto a atividade das enzimas antioxidantes SOD e CAT não teve um aumento proporcional, indicando estresse oxidativo nas vacas em condições de estresse térmico, independente do *status* gestacional. Sabe-se que a exposição ao estresse térmico resulta em maiores níveis mitocondriais e plasmáticos de MDA, o principal produto da peroxidação lipídica (SAKATANI *et al.*, 2017; SLIMEN *et al.*, 2014). Foi demonstrado que o MDA pode ser usado como um marcador sanguíneo para o *status* oxidativo de vacas leiteiras durante períodos de calor (BERNABUCCI *et al.*, 2002). Embora estudos mostrem aumento de enzimas antioxidantes em situações de hipertermia, há um estudo mostrando a diminuição das enzimas SOD e CAT, o que resultou em uma redução na resistência térmica (SLIMEN *et al.*, 2014). Os resultados do artigo 1 demonstram que o processo enzimático antioxidante aparentemente não foi eficaz em vacas sob estresse térmico.

A modulação da resposta imune na gestação precisa encontrar um ajuste fino para não desequilibrar o *crosstalk* materno-embriônico, mas também ser capaz de oferecer proteção

contra patógenos. Vários estudos demonstraram a importância da modulação do sistema imunológico durante a gestação. As respostas imunológicas variam de anti- a pró-inflamatórias (BAUERSACHS *et al.*, 2012, 2006; FIORENZA *et al.*, 2021a; FIORENZA *et al.*, 2021b), e devem ser avaliadas de acordo com o estágio específico, por exemplo, reconhecimento materno, implantação, placentação ou gestação a termo (FIORENZA *et al.*, 2021b; TALUKDER *et al.*, 2018). Baseado nesses fatos e somados aos resultados obtidos no primeiro trabalho, nós buscamos caracterizar o perfil inflamatório de vacas gestantes submetidas ao estresse térmico. Fisiologicamente durante o período de reconhecimento materno da gestação, o IFNT modula a resposta imune materna, aumentando citocinas anti-inflamatórias, como IL10 e TGF $\beta$ , e reduzindo a expressão da citocina pró-inflamatória TNF $\alpha$  (AZIZIEH; RAGHUPATHY, 2015; HOP *et al.*, 2018). Outro estudo mostrou que o IFNT derivado de embriões dia 7 induzem resposta anti-inflamatória e regulação positiva de ISGs em PBMC (TODER *et al.*, 2003). Portanto, sugere-se que o IFNT induz a tolerância imunológica essencial para a sobrevivência e desenvolvimento do embrião. Os resultados do artigo 2 fornecem evidências de que o estresse térmico modula a resposta inflamatória em PMNs, com o aumento de RNAm das citocinas pró-inflamatórias IL17, NF $\kappa$ B e IL1 $\beta$  e citocinas anti-inflamatórias ARG1, TGF $\beta$  e IFN $\alpha$  em vacas no início da gestação. Além disso, IFN $\gamma$  e iNOS foram regulados por exclusivamente pela ocorrência do estresse térmico; e IL10 foi regulado exclusivamente pela prenhez. Os resultados apresentados sugerem que ARG1, TGF $\beta$  e IFN $\alpha$  oferecem um papel protetor durante a gestação de vacas em estresse térmico, ao mesmo tempo que IL17, NF $\kappa$ B e IL1 $\beta$  atuam induzindo uma resposta pró-inflamatória, que pode ser prejudicial à manutenção e desenvolvimento do embrião.

Posteriormente nós desenvolvemos o terceiro trabalho para avaliar se o estresse oxidativo, demonstrado no primeiro artigo, foi capaz de induzir o estresse de RE e a resposta proterora das HSPs. Para esse artigo, utilizamos a fração sanguínea de PBMCs. Já foi relatado que o estresse oxidativo é um precursor e um dos principais contribuintes para a ocorrência de estresse do RE (HOTAMISLIGIL, 2010). O estresse de RE é um desequilíbrio entre a capacidade de alterações conformacionais das proteínas no RE e a carga proteica, o que conseqüentemente leva a alterações conformacionais ou proteínas desdobradas que acabam se acumulando no lúmen do RE, prejudicando sua homeostase (CNOP; FOUFELLE; VELLOSO, 2012). Outra resposta à estímulos estressantes é a indução da expressão das HSPs. Essas chaperonas auxiliam no remodelamento de uma proteína lesionada, evitando um dano celular letal (TAKENAKA; HIGHTOWER, 1992). As vacas do grupo conforto térmico, independente do *status* gestacional, não apresentam modificações na via UPR do estresse de RE, sugerindo

não haver estresse de RE. Além disso, vacas não prenhes do grupo estresse térmico também não tiveram alterações na via UPR. Porém, vacas prenhes do grupo estresse térmico aumentam a expressão de RNAm de HSPA5, sXBP1 e CHOP. Analisando apenas vacas não prenhes, vacas do grupo estresse térmico regulam positivamente HSPA5 e sXBP1. Embora quando analisamos apenas vacas prenhes, HSPA5, sXBP1, ATF6 e CHOP aumentam. Isso significa que HSPA5 e sXBP1 foram regulados positivamente pelo estresse térmico, independente do estado de gestação. Mas ATF6 e CHOP foram regulados positivamente pela gestação e estresse térmico associados. CHOP é uma molécula importante na via de apoptose induzida pelo estresse de RE (HU *et al.*, 2019). Esses achados indicam que o estresse oxidativo, consequência do estresse térmico, desencadeia estresse de RE em PBMCs e é intensificado com a presença de dois estressores associados: gestação precoce e ocorrência de estresse oxidativo, que juntos ativam a via CHOP de apoptose. Além disso, sob condições de hipertermia, o estresse do RE pode ocorrer simultaneamente à resposta das HSPs (XU *et al.*, 2011). Avaliamos duas chaperonas importantes no controle ao dano do estresse térmico: HSP90 e HSP70, além do fator de transcrição HSF. Nossos resultados sugerem que HSP90 é regulada pela gestação e não pelo estresse térmico, visto que esteve elevada também no grupo de vacas prenhes em conforto térmico. A gestação por si só já é um evento estressante e que pode contribuir para o estresse celular, gerando a necessidade da ação das chaperonas (HANSEN, 2011; NAGAOKA *et al.*, 2000). Também foi demonstrado que no grupo de vacas gestantes estressadas pelo calor, HSF, HSP70 e HSP90 foram maiores em comparação com vacas gestantes de conforto. Em outras palavras, o que influencia a resposta do HSF e HSP70 não é apenas o estresse térmico, mas a ocorrência do estresse térmico e a gestação associados.

A partir dos dados demonstrados nos 3 trabalhos e apresentados nessa tese, foi possível determinar que o estresse térmico altera os padrões de sinalização endócrina da gestação em PMNs de vacas submetidas ao estresse térmico, observados na expressão de RNAm da via dos IFNs tipo 1 e ISGs, além de gerar estresse oxidativo. Ainda, modifica o perfil inflamatório em PMNs de vacas gestantes submetidas ao estresse térmico, e induz estresse de RE. Além de caracterizar diferentes rotas de sinalização durante o período de reconhecimento materno da gestação, os resultados dessa tese abrem caminho para pesquisas futuras.



#### 4. CONCLUSÃO

O estresse térmico diminui a produção de progesterona, altera a expressão de RNAm de ISGs e da via dos IFNs tipo 1 em PMNs e causa estresse oxidativo em vacas leiteiras no início da gestação. O estresse térmico em vacas prenhes não apenas altera a expressão de ISGs, mas também interfere na ativação da via dos IFNs tipo 1, impedindo que ocorra a sinalização para o reconhecimento materno da gestação em PMNs. Nosso estudo também demonstrou que o estresse térmico modifica a expressão de RNAm de genes anti e pró-inflamatórios em vacas prenhes. A resposta dos PMNs muda entre os padrões pró e anti-inflamatórios durante a condição de estresse por calor. E, ainda, o estudo corrobora com a hipótese de que o estresse oxidativo causado pelo estresse térmico desencadeia estresse de RE em PBMCs e é intensificado com a presença de dois fatores estressantes associados: gestação inicial e estresse térmico, que juntos ativam a via de apoptose. Portanto, os resultados mostrados nessa tese fornecem novas informações sobre os efeitos do estresse térmico na gestação inicial de vacas leiteiras.

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